

# APPLICATION FORM FOR TRANSFER / EXIT FOR DEALER'S REPRESENTATIVE/PROPRIETARY DAY TRADER

### 1. Application Particulars

Full Name of Dealer's Representative:	
License Number:	
Date of License Granted	
NRIC of Dealer's Representative	Old:
	New:
Current Status of Dealer's Representative	
Proposed Status of Dealer's Representative	
Current Participating Organisation	
Prospecting Participating Organisation	
Transfer Fees Borne By	
Transfer Fees (RM)	

### 2. Background & Justifications

Applicant's Previous Transfer Information (indicated the latest transfer first)

No	Name of Participating Organisation		Voor
NO	From	То	Year
i)			
ii)			
iii)			
iv)			
v)			



Reason of leaving Current Participating Organisation	
Reason of joining Prospecting Participating Organisation	
How did you learn about the vacancy in the new Participating Organisation	
Do you have any outstanding position in your Current Participating Organisation	No Yes (please state the amount) RM
Any other comments that may be useful for Bursa Securities to access the transfer application	
Applicant's Declaration I acknowledge that I, the applicant document(s) if any are true and correc	declares that all information given herein and the attached t.
Applicant's Signature	
Full Name of Applicant	
Date	
Participating Organisation's Decla We confirm the above request and have any other Participating Organisation of	ve no objection/ objection to release the above applicant to join
Authorised Representative Signature	
Full Name of Authorised Person	
Participating Organisation	
Designation	
Date	



# GUIDELINES FOR APPLICATION FOR TRANSFER / EXIT FOR DEALER'S REPRESENTATIVE/PROPRIETARY DAY TRADER

## 1. PLEASE DO SUBMIT THE APPLICATION AND ITS SUPPORTING DOCUMENTS TO THE FOLLOWING:

**Hard Copy Submission** Head, Participants Affairs

Participants Supervision

Regulation

Bursa Malaysia Berhad 11<sup>th</sup> Floor, Exchange Square

50200 Kuala Lumpur pa@bursamalaysia.com

**General Correspondence** Tel: (603) 2732 4999 or (603) 2034 7000

Fax: (603) 2026 3691

#### 2. DOCUMENTATIONS REQUIRED

The applicant shall submit to Bursa Malaysia Securities Berhad the following:

- a) **Completed application** (Please complete all sections of the form in full. **This document must be typewritten**):
  - i. A letter of Release from the Participating Organisation that the Dealer's Representative is employed or engaged with (if applicable) and
  - ii. Confirmation of payment

#### 3. GUIDANCE NOTES

All applicants are requested to carefully study the Rules and Directives of Bursa Malaysia Securities Berhad before submitting their application.



### 4. FEES FOR THE TRANSFER OF DEALER'S REPRESENTATIVE

The fee payable to Bursa Malaysia Securities Berhad for the transfer of a Dealer's Representative is as follows:

(a) Commissioned Dealer's Representative:

	RM
First transfer	2,000.00
Second transfer	4,000.00
Third transfer	8,000.00

(b) Salaried Dealer's Representative:

	RM
First transfer	20,000.00
Second transfer	24,000.00
Third transfer	32,000.00