

APPENDIX 1 - RISK DISCLOSURE STATEMENT ON SECURITIES BORROWING

[Deleted]

APPENDIX 2

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**APPENDIX 3
[RULE 7.30(21)]**

**MONTHLY RETURNS ON CREDIT FACILITIES
EXTENDED IN RELATION TO MARGIN ACCOUNT**

[Deleted]

**APPENDIX 4 – DECLARATION ON COMPLIANCE FOR REGULATED SHORT SELLING AND
SECURITIES BORROWING AND LENDING
[Rules 8.23(c) and 7.20(c)]**

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APPENDIX 5 – NOTIFICATION REQUIRED IN ORDER TO CARRY OUT MARKET MAKING AND PERMITTED SHORT SELLING AND DECLARATION REQUIRED FOR PERMITTED SHORT SELLING

[RULE 4.06, RULE 4.12 AND RULE 4.13(5)]

To: Bursa Malaysia Securities Berhad

PART A

NOTIFICATION REQUIRED FOR MARKET MAKING

Pursuant to the requirements of Rule 4.06, we [name of Market Maker] provide notification as follows:

Name of Market Maker	
Designated trading account/s	
Designated Securities Account numbers:	
The date of opening of accounts:	

Dated this [] day of [] [year].

Signed : [Authorised Signatory]

Date :

PART B

Pursuant to the requirements of Rule 4.13(5), we [name of Market Maker] provide notification as follows:

Derivatives accounts numbers:	
The date of opening of accounts:	

DECLARATION ON COMPLIANCE

Pursuant to the requirements of Rule 4.12, we [name of Market Maker] provide notification as follows:

1. that we have formulated the internal guidelines for permitted short selling which have been approved by the board of directors; and
2. that all relevant systems and infrastructure including front office and/or back office systems have been verified and assessed in terms of application as well as software and the hardware capabilities and are confirmed to be operational and have all the functionalities, requirements and controls in place for the purpose of carrying out permitted short selling activities in accordance with these Rules.

Signed : _____

[Authorised Signatory]

Date : _____

**APPENDIX 6 – DECLARATION ON DIRECT BUSINESS TRANSACTION
[RULE 10.09(2)(b)]**

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