**BURSA MALAYSIA INFORMATION SDN BHD**

12th FLOOR EXCHANGE SQUARE

BUKIT KEWANGAN

50200 KUALA LUMPUR

MALAYSIA

infoservices@bursamalaysia.com

www.bursamalaysia.com

**INFORMATION SERVICES LICENCE AGREEMENT – FORM 1**

***(Give description or tick* ✓ *where applicable)***

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Table 1 Licensee Profile** | | | | | |
|  | Licensee name |  | | | |
|  | Registered Address |  | | | |
|  | Correspondence Address |  | | | |
|  | Telephone |  | | | |
|  | Primary Email |  | | | |
|  | Website Address |  | | | |
|  | Company Registration Number  *(Tax ID for US Company)* |  | | | |
|  | Country of Incorporation |  | | | |
|  | Company Description |  | Information Vendor (IV) |  | Participating Organisation (PO) / Trading Participant (TP) of Bursa Malaysia |
|  | Financial Institution |  | Non-Financial Institution |
|  | Index Creator |  | Original Works Creator |
|  | Others *(please specify)*:  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |
| 1.10 | Please provide further description of your company and the business related to Bursa Malaysia Information |  | | | |
| 1.11 | Do you have a licence to create, distribute and/or commercially sell/licence indices, financial benchmarks or other original works using Bursa Malaysia Information? | |  |  | | --- | --- | |  | Yes | | | |  |  | | --- | --- | |  | No | | |
| 1.12 | Affiliated Companies to be covered by this Agreement | |  |  | | --- | --- | |  | Yes |   *If Yes, complete Table 4.1* | | |  |  | | --- | --- | |  | No | | |
| 1.13 | Service Facilitators to be covered by this Agreement | |  |  | | --- | --- | |  | Yes |   *If Yes, complete Table 4.2* | | |  |  | | --- | --- | |  | No | | |

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| 1.14 | Access Point to Bursa Malaysia Information |  | Bursa Malaysia Wide Area Network (WAN) |
|  | Bursa Malaysia Co-Location |
|  | Bursa Malaysia Website |
|  | CME Globex |
|  | Information Vendor(s)  (Name of Information Vendor(s)) |
|  | Participating Organisation or Trading Participant  (Name of PO/TP) |
|  | Internet  *please specify* URL: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
|  | Others  *please specify*: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| 1.15 | Commencement Date | dd/mm/yyyy | |

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| --- | --- | --- | --- |
| **Table 2 Information Products** | | | |
|  |  | **Effective Date\* (DD/MM/YY)** | |
|  |  | **First Connectivity** | **Subsequent Connectivity** |
| **2.1** | **Equities** |  | |
| Real Time | dd/mm/yyyy | dd/mm/yyyy |
| - Market Depth 5 | dd/mm/yyyy | dd/mm/yyyy |
| - Market Depth 10 | dd/mm/yyyy | dd/mm/yyyy |
| Delayed | dd/mm/yyyy | dd/mm/yyyy |
| End of Day | dd/mm/yyyy | dd/mm/yyyy |
|  |  |  |
| **2.2** | **Bursa Sectorial Indices** |  |  |
| Real Time | dd/mm/yyyy | dd/mm/yyyy |
| Delayed | dd/mm/yyyy | dd/mm/yyyy |
| End of Day | dd/mm/yyyy | dd/mm/yyyy |
|  |  |  |
| **2.3** | **Derivatives** |  |  |
| Real Time | dd/mm/yyyy | dd/mm/yyyy |
| Delayed | dd/mm/yyyy | dd/mm/yyyy |
| End of Day | dd/mm/yyyy | dd/mm/yyyy |
|  |  |  |
| **2.4** | **Bond** |  |  |
| Real Time | dd/mm/yyyy | dd/mm/yyyy |
| Delayed | dd/mm/yyyy | dd/mm/yyyy |
| End of Day | dd/mm/yyyy | dd/mm/yyyy |
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| **2.5** | **Historical Data Package** | | | | | | | | | | | |
| Product code | | Product description | | | Frequency (Daily, Weekly, Monthly, Yearly) | | Start Date | | | End Date | Agreed Fee |
|  | |  | | |  | | dd/mm/yyyy | | | dd/mm/yyyy |  |
| Royalty Fee | | | | | | | | | | |  |
| Term of Agreement | | | | | | | | | | | |
|  | Fixed term and automatic renewal | | | | | dd/mm/yyyy | | | |  | |
|  | Fixed term only | | | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
|  | | | | | | | | | | | | | |
| **2.6** | **Company Announcements** | | | | | | | | | | | |
| **Access Point/ Format** | | | | | **Effective Date** | | | | | | |
| Company Announcements via Website Linking Service | | | | | dd/mm/yyyy | | | | | | |
| URL: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | |
| Company Announcements | | | | | Real Time: dd/mm/yyyy | | | | End of Day: dd/mm/yyyy | | |
|  |  | | | |  | | | | | | | |
| **2.7** | **Other Information** | | |  | Reference Information | | | | | | | |
|  | Extracted Information | | | | | | | |
|  | Others (please specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | |

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| **Table 3 Use and Distribution of Information** | | | | | | | | |
| **3.1** | | **Use and Distribution of Information** | **Equities** | **Bursa Sectorial Indices** | **Derivatives** | **Bond** | **Company Anncmt** | **Others** |
| a | | Own personal or internal business use | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| b | | Distribution outside of Licensee/ Licensee Group | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| c | | Use of Information for trading by: |  |  |  |  |  |  |
| 1. Licensee/ Licensee Group | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| 1. Clients of Licensee/ Licensee Group | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| 1. Private Use Subscribers | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| d | | Use of Information for digitalization *(Please specify)*: | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
|  |  | |  |  |  |  |  |  |
| **3.2** | | **Mode of Distribution** | **Equities** | **Bursa Sectorial Indices** | **Derivatives** | **Bond** | **Company Anncmt** | **Others** |
| a | | Terminals | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| b | | Datafeeds | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| c | | Internet/Websites | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| d | | Mobile application, handheld devices, mobile phones, PDAs, PEDs etc. – apps and widgets | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| e | | Public display boards, monitors, TV, wallboards | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| f | | Website and/or Television Ticker Service | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| g | | Digital platform *(Please specify):* | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |
| h | | Others *(Please specify):* | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy | dd/mm/yyyy |

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| **3.3** | | **Non Display Usage (NDU)** | | | **Equities** | | | | **Derivatives** | | | | **Bond** | | |
| a | | Internal usage within Licencee’s organisation and/or same Group of companies | Realtime | | dd/mm/yyyy | | | | dd/mm/yyyy | | | | dd/mm/yyyy | | |
| Delayed | | dd/mm/yyyy | | | | dd/mm/yyyy | | | | dd/mm/yyyy | | |
| End of Day | | dd/mm/yyyy | | | | dd/mm/yyyy | | | | dd/mm/yyyy | | |
| b | | External / Redistribution to third parties | Realtime | | dd/mm/yyyy | | | | dd/mm/yyyy | | | | dd/mm/yyyy | | |
| Delayed | | dd/mm/yyyy | | | | dd/mm/yyyy | | | | dd/mm/yyyy | | |
| End of Day | | dd/mm/yyyy | | | | dd/mm/yyyy | | | | dd/mm/yyyy | | |
| c | | Describe the functions performed by Company and the relevant systems or application supporting the functions. | | |  | | | | | | | | | | |
| The non display usage covers:- | | | | | | | | | | | | | |
| 1. Category 1: Automated Trading Application is regarded as application that accesses or uses Market Information for automatic calculation, processing and analysis for the purpose of generating orders or quotes which include robotic program, artificial intelligent program and algo program. | | | dd/mm/yyyy | | | | dd/mm/yyyy | | | | dd/mm/yyyy | | |
| 1. Category 2: Risk Management, Portfolio Valuation and Administrative purposes. | | | dd/mm/yyyy | | | | dd/mm/yyyy | | | | dd/mm/yyyy | | |
| 1. Others: Please state any other non display usage of Market Information that does not fall under category (i) and (ii): | | | dd/mm/yyyy | | | | dd/mm/yyyy | | | | dd/mm/yyyy | | |
|  | | | | | | | | | | | | | | | |
| **3.4** | | **Original Created Works (OCW)** | | | **Equities** | | **Bursa Sectorial Indices** | | | **Derivatives** | | | | **Bond** | |
| a | | Usage of Bursa Malaysia Information for OCW for:- | | | | | | | | | | | | | |
| 1. Internal usage within same Group of companies | | Realtime | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| Delayed | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| End of Day | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| 1. Disseminated/ distributed to third parties other than internal users | | Realtime | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| Delayed | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| End of Day | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| 1. Disseminated/ distributed via digital platform | | Realtime | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| Delayed | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| End of Day | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| b | | Describe the type of OCW which will incorporate Bursa Malaysia Information. The OCW usage covers:- | | | | | | | | | | | | | |
| 1. Index/financial benchmark creation (internal usage) | | | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| 1. Index/financial benchmark creation (for commercial purposes) | | | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| 1. For creation of financial products (internal usage) | | | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| 1. For creation of financial products (external distribution) | | | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |
| 1. Please state any other OCW of Bursa Information that does not fall under category (i), (ii), (iii) and (iv): | | | dd/mm/yyyy | | dd/mm/yyyy | | | dd/mm/yyyy | | | | dd/mm/yyyy | |

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| **3.5** | **Details of Data Management / Operation Control of Bursa Malaysia Market Data** | | | | | | | | |
| a | Please provide a network diagram of each of your permissioning/entitlements systems for the control of Bursa Malaysia Information. | | |  | | Yes |  | | No |
| b | Please provide a description of each of your permissioning/ entitlements systems for the control of Bursa Malaysia Information. | | |  | | Yes |  | | No |
| c | Are any of your terminal services reported usage based upon 'honesty statements’, an equivalent of ‘honesty statements’ or other non-electronic records?  If Yes, please list the products and services and describe how data usage is reported. | | |  | | Yes |  | | No |
|  | | | | | |
| d | Are any of your datafeed services reported usage based upon 'honesty statements’, the equivalent of ‘honesty statements’, or other non-electronic records?  If Yes, please list the products and services and describe how data usage is reported. | | |  | | Yes |  | | No |
|  | | | | | |
| e | Are there any other services which will distribute Bursa Malaysia data have reported usage based upon 'honesty statements' or other non-electronic records?  If Yes, please list the products and services and describe how data usage is reported. | | |  | | Yes |  | | No |
|  | | | | | |
| f | Confirmation of Operational Controls in place  (Document/certificate to be provided within 30 days of Commencement Date). | | |  | | Yes |  | | No |

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| **Table 4 Licensee Group and Service Facilitators** | | | | | | |
| **4.1 Affiliated Companies** | | | | | | |
| **Licensee’s Organisation Chart enclosed** | | |  | Yes |  | No |
| **No** | **Name** | **Registered Address** | | | | **Licensee Group Holding (%)\*** |
|  |  |  | | | |  |
|  |  |  | | | |  |

*\* Percentage of share capital held by Licensee or other Affiliated Companies*

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **4.2** | **Service Facilitators** | | | | | | | | | |
| a | **Name of Service Facilitator** |  | | | | | | | | |
| b | **Registered Address** |  | | | | | | | | |
| c | **Company Registration Number**  *(Tax ID for US Company)* |  | | | | | | | | |
| d | **Service Function** |  | | | | | | | | |
| e | **Relationship with Licensee** |  | | | | | | | | |
| f | **Type of Information** | **Frequency** | | **Effective Date** | | **Approval Date** | | **Termination Date** | | |
|  |  | | dd/mm/yyyy | | dd/mm/yyyy | | dd/mm/yyyy | | |
| g | Display and entitlement by Licencee and Service Facilitator | **Licencee** | | | | **Service Facilitator** | | | | |
| Display software |  | Yes |  | No |  | Yes | |  | No |
| Display software details |  | | | | | | | | |
| Who determines the authorised recipients’ entitlement to the information? |  | Yes |  | No |  | Yes | |  | No |

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| **Table 5** **Licencee’s Contacts Authorised to Deal with Bursa Malaysia** | | | |
|  |  | **Main Contact** | **Alternate Contact** |
| **5.1** | **Business/ Agreement Notices and Amendments** | | |
| Name |  |  |
| Title |  |  |
| Phone |  |  |
| Address |  |  |
| Email |  |  |
| **5.2** | **Compliance and Audit** | | |
| Name |  |  |
| Title |  |  |
| Phone |  |  |
| Address |  |  |
| Email |  |  |
| **5.3** | **Reporting** | | |
| Name |  |  |
| Title |  |  |
| Phone |  |  |
| Address |  |  |
| Email |  |  |
| **5.4** | **Billings/Payment** | | |
| Name |  |  |
| Title |  |  |
| Phone |  |  |
| Address |  |  |
| Email |  |  |
| **5.5** | **Technical** | | |
| Name |  |  |
| Title |  |  |
| Phone |  |  |
| Address |  |  |
| Email |  |  |
| **5.6** | **Help Desk** | | |
| Name |  |  |
| Title |  |  |
| Phone |  |  |
| Address |  |  |
| Email |  |  |
| Hours of Operation |  |  |
| **5.7** | **Notifications** | | |
| Name |  |  |
| Title |  |  |
| Phone |  |  |
| Address |  |  |
| Email |  |  |

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| --- | --- | --- | --- |
| **Table 6 Administration** | | | |
| **6.1** | **Reporting of External Users by Licensee** | | **Effective Date** |
|  | Direct to Bursa Malaysia | dd/mm/yyyy |
|  | Via Information Vendor(s)  Name of Information Vendor(s) | dd/mm/yyyy |
| **6.2** | **Invoice (Choose one only)** | |  |
|  | Billing in MYR | dd/mm/yyyy |
|  | Billing in USD | dd/mm/yyyy |
| **6.3** | **For Information Vendors only** | | |
| |  | | --- | |  |   We hereby agree / disagree that Bursa Malaysia publishes (Name of Licensee) as one of its Information Vendor on its website.  Licensee’s website: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |

***NOTE:***

1. *The applicant may supplement the information provided herein by attaching corporate profiles, product brochures or other relevant information to support the application.*
2. *Bursa reserves the right to reject the application without assigning any reason(s) thereto.*

|  |  |  |
| --- | --- | --- |
| **Table 7 Declaration by Companies Incorporated in Malaysia ONLY** | | |
| 1 | The Licensee is:- | |
| 1.1 |  | a company listed on Bursa Malaysia Berhad. |
| 1.2 |  | a Market Participant of Bursa Malaysia eg. Participating Organisation, Trading Participant, Authorised Depository Agent or Clearing Participant. |
| 1.3 |  | an Advisor / Sponsor registered with Bursa Malaysia or an adviser who has given an undertaking to comply with the Listing Requirements of Bursa Securities. |
| 1.4 |  | none of the above. |
| 2 | The Licensee is a holding company or a subsidiary of :- | |
| 2.1 |  | a company listed on Bursa Malaysia Berhad.  Name of related company listed on Bursa Malaysia Berhad  ……………………………………………………………………………………. |
| 2.2 |  | a Market Participant of Bursa Malaysia eg. Participating Organisation, Trading Participant, Authorised Depository Agent or Clearing Participant. |
| Name of related company listed on Bursa Malaysia Berhad |
| ……………………………………………………………………………………. |
| 2.3 |  | an Advisor / Sponsor registered with Bursa Malaysia or an adviser who has given an undertaking to comply with the Listing Requirements of Bursa Securities. |
| Name of related company listed on Bursa Malaysia Berhad |
| ……………………………………………………………………………………. |
| 2.4 |  | none of the above. |

I/We hereby:

* Affirm that all the information stated in this form is true and correct;
* Undertake to notify Bursa Malaysia Information Sdn Bhd (BMISB) of any change of particulars or information provided by us in this form.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Authorised Signatory

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |  |  |
| --- | --- | --- | --- |
| **For Bursa Internal Process Only** | | | |
| **Received by:** | **Date:** | **Remarks:** |
| **Approved by:** | **Date:** | **Remarks:** |