

**AMENDMENTS TO THE LISTING REQUIREMENTS IN RELATION TO DELAYS IN ISSUANCE OF FINANCIAL STATEMENTS**

	<b>EXISTING PROVISIONS</b>		<b>AMENDED PROVISIONS</b>
Para		Para	
	New provision	9.26	<p><b>Suspension and/or de-listing for failure to comply</b></p> <p><b>(1) A listed issuer must comply with the timeframes stated in paragraphs 9.22 and 9.23 above or such extension of time granted by the Exchange (the timeframes and extensions of time granted by the Exchange, if any, shall individually or collectively, as the context may require, be referred to in this Part K as “the Relevant Timeframes”).</b></p> <p><b>(2) A listed issuer which intends to request for the extension of time referred to in subparagraph (1) above must do so not later than 15 days prior to the expiry of the Relevant Timeframes, failing which the Exchange will not consider such application. The listed issuer must make an immediate announcement to the Exchange of any extension of time granted in relation to such application.</b></p> <p><b>(3) If a listed issuer fails to issue its quarterly report, annual audited accounts or annual report, as the case may be, (referred to in this Part K either individually or collectively, as the context may require, as “the outstanding Financial Statements”) on or before the expiry of the Relevant Timeframes, it must:-</b></p> <p align="center"><b>(a) make an immediate announcement to the Exchange on the date of expiry of the Relevant Timeframes on its failure to issue the outstanding Financial Statements on or before the expiry of the Relevant Timeframes including the reasons for such failure; and</b></p>

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			<p>(b) announce the status of the issuance of the outstanding Financial Statements on or before the last market day of each month following the date of expiry of the Relevant Timeframes until the issuance of the outstanding Financial Statements;</p> <p>which shall, in addition, include the information contained in Part I of Appendix 9A.</p> <p>(4) If a listed issuer fails to issue the outstanding Financial Statements within 3 months from the expiry of the Relevant Timeframes (the last day of this 3 month period shall hereinafter be referred to in this Part K as “the Suspension Deadline”), in addition to any enforcement action that the Exchange may take, the Exchange shall suspend trading in the securities of such listed issuer. The suspension shall be effected on the market day following the expiry of the Suspension Deadline and shall be uplifted on the market day following the issuance of the outstanding Financial Statements unless otherwise determined by the Exchange.</p> <p>(5) A listed issuer which fails to issue the outstanding Financial Statements on or before the 7<sup>th</sup> day prior to the expiry of the Suspension Deadline (the 7<sup>th</sup> day prior to the expiry of the Suspension Deadline shall hereinafter be referred to in this Part K as “the Said Day”) must make an immediate announcement to the Exchange on the Said Day or in the event that the Said Day is not a market day, on the market day preceding the Said Day. Such announcement shall include the information contained in</p>

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			<p><b>Part J of Appendix 9A.</b></p> <p><b>(6) If a listed issuer fails to issue the outstanding Financial Statements within 6 months from the expiry of the Relevant Timeframes, in addition to any enforcement action that the Exchange may take, de-listing procedures shall be commenced against such listed issuer.</b></p> <p><b>(7) For the purposes of this paragraph, “issue” means give to the Exchange for public release and/or issue to shareholders as provided under paragraph 9.22 or 9.23, as the case may be.</b></p>
	<p>New provision</p>		<p><b>Part I of Appendix 9A</b></p> <p><b>Information on delay in the issuance of quarterly reports, annual audited accounts or annual report</b></p> <p><b>(Paragraph 9.26(3))</b></p> <p><b>(a) The tentative timeline in respect of the steps taken or proposed to be taken to achieve the issuance of the outstanding quarterly report, annual audited accounts or annual report, as the case may be, and the status of compliance with such timeline;</b></p> <p><b>(b) The expected date of issuance of the outstanding</b></p>

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			<p>documents referred to in subparagraph (a) above; and</p> <p>(c) The consequences of non-compliance with the listed issuer’s obligations under paragraph 9.22 or 9.23 above, subject to any extension of time granted by the Exchange, including the possibility of suspension and/or de-listing.</p>
	<p>New provision</p>		<p><b>Part J of Appendix 9A</b></p> <p><b>Information on the suspension in view of a delay in the issuance of quarterly reports, annual audited accounts or annual report</b></p> <p><b>(Paragraph 9.26(5))</b></p> <p>(a) A statement that the listed issuer has not issued its quarterly report, annual audited accounts or annual report, as the case may be, as at the date of the announcement;</p> <p>(b) The reasons for failing to issue the outstanding documents referred to in subparagraph (a) above within the Relevant Timeframes;</p> <p>(c) Whether the listed issuer is able to issue the outstanding documents referred to in subparagraph (a) above on or before the expiry of the Suspension Deadline; and</p> <p>(d) That trading in the securities of the listed issuer will be suspended on the market day following the expiry of the Suspension Deadline and shall only be uplifted,</p>

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			<b>unless otherwise determined by the Exchange, on the market day following the issuance of the outstanding documents referred to in subparagraph (a) above.</b>
16.02	<p><b>Suspension of trading imposed by the Exchange</b></p> <p>(1) The Exchange may at any time suspend the trading of any class of the listed securities of a listed issuer in any of the following circumstances:-</p> <ul style="list-style-type: none"> <li>(a) in the event of any substantial corporate exercise or capital restructuring of a listed issuer;</li> <li>(b) in the event of a conversion exercise of singly quoted shares to shares which are separately quoted on the Official List;</li> <li>(c) where, in the opinion of the Exchange, it is necessary or expedient in the interest of maintaining an orderly and fair market in securities traded on the Exchange;</li> <li>(d) in any circumstances as provided in paragraphs 8.14B, 8.14C, or 8.15;</li> <li>(e) in the event of any breach of these Requirements by a listed issuer;</li> <li>(f) upon notice by the Commission to the Exchange that in its opinion a listed issuer has breached or has failed to</li> </ul>	16.02	<p><b>Suspension of trading imposed by the Exchange</b></p> <p>(1) The Exchange may at any time suspend the trading of any class of the listed securities of a listed issuer in any of the following circumstances:-</p> <ul style="list-style-type: none"> <li>(a) in the event of any substantial corporate exercise or capital restructuring of a listed issuer;</li> <li>(b) in the event of a conversion exercise of singly quoted shares to shares which are separately quoted on the Official List;</li> <li>(c) where, in the opinion of the Exchange, it is necessary or expedient in the interest of maintaining an orderly and fair market in securities traded on the Exchange;</li> <li>(d) in any circumstances as provided in <b>these Requirements</b>;</li> <li>(e) in the event of any breach of these Requirements by a listed issuer;</li> <li>(f) upon notice by the Commission to the Exchange that in its opinion a listed issuer has breached or has failed to</li> </ul>

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	<b>EXISTING PROVISIONS</b>		<b>AMENDED PROVISIONS</b>
	<p>comply with any provision of the Securities Industry Act 1983, the Securities Industry (Central Depositories) Act 1991, the Securities Commission Act 1993 or the Commission’s Policies and Guidelines on Issue/Offer of Securities, or that it is necessary or expedient in the public interest and where it would be for the protection of investors;</p> <p>(g) in the event of maturity of a debt security, warrant, convertible security or call warrant; or</p> <p>(h) where the Exchange deems it appropriate for some other reason.</p> <p>(2) The Exchange shall notify the Commission of any decision to suspend the trading of any class of the listed securities of a listed issuer pursuant to subparagraphs (1)(c) or (e) above.</p>		<p>comply with any provision of the Securities Industry Act 1983, the Securities Industry (Central Depositories) Act 1991, the Securities Commission Act 1993 or the Commission’s Policies and Guidelines on Issue/Offer of Securities, or that it is necessary or expedient in the public interest and where it would be for the protection of investors;</p> <p>(g) in the event of maturity of a debt security, warrant, convertible security or call warrant; or</p> <p>(h) where the Exchange deems it appropriate for some other reason.</p> <p>(2) The Exchange shall notify the Commission of any decision to suspend the trading of any class of the listed securities of a listed issuer pursuant to subparagraphs (1)(c) or (e) above.</p>
16.09	<p><b>De-listing by the Exchange</b></p> <p>The Exchange may at any time de-list a listed issuer or any class of its listed securities from the Official List in any of the following circumstances:-</p> <p>(a) where the listed issuer fails to comply with these Requirements, subject to consultation with the Commission;</p> <p>(b) in other circumstances as provided under paragraphs 8.14B or 8.14C subject to consultation with the Commission; or</p>	16.09	<p><b>De-listing by the Exchange</b></p> <p>The Exchange may at any time de-list a listed issuer or any class of its listed securities from the Official List in any of the following circumstances:-</p> <p>(a) where the listed issuer fails to comply with these Requirements, subject to consultation with the Commission;</p> <p>(b) in other circumstances as provided under paragraphs 8.14B, 8.14C <b>or 9.26</b> subject to consultation with the Commission; or</p>

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	<p>(c) in circumstance provided under paragraph 8.15(5) whereupon the Exchange shall notify the Commission of the same; or</p> <p>(d) where in the opinion of the Exchange, circumstances exist which do not warrant the continued listing of a listed issuer or any class of its listed securities, subject to consultation with the Commission, except where the de-listing is due to the maturity or expiry of a class of securities.</p>		<p>(c) in circumstance provided under paragraph 8.15(5) whereupon the Exchange shall notify the Commission of the same; or</p> <p>(d) where in the opinion of the Exchange, circumstances exist which do not warrant the continued listing of a listed issuer or any class of its listed securities, subject to consultation with the Commission, except where the de-listing is due to the maturity or expiry of a class of securities.</p>