

#### **BURSA MALAYSIA DERIVATIVES BERHAD**

Date: 16 April 2019 **Trading Participant Circular: 7/2019** 

### **BUSINESS CONTINUITY PLAN (BCP) SIMULATION EXERCISE ON 11 May 2019**

A BCP Simulation Exercise will be conducted on Saturday, 11 May 2019. The BCP exercise will simulate the failover from CME's main site in Chicago to CME's Disaster Recovery site in New York. The objective is to ensure that Trading Participants can continue to trade in such a scenario.

Participation in the exercise is mandatory for all Trading Participants as it is essential that Trading Participants are well prepared and familiar with the procedures to handle such situations. Trading Participants and their customers may refer to the following URL for details of how the disaster recovery process is being handled.

http://www.cmegroup.com/confluence/display/EPICSANDBOX/Order+Entry+Path+DR+Process

Trading Participants are to make the necessary arrangements with their respective order management system vendors for participation in the upcoming exercise.

The salient points to take note of on the DR process:

- 1. All sequence numbers are reset.
- 2. All open orders from CME Globex Production are unavailable and will be purged by the trading engine.
- 3. Cancellation messages will not be sent to customers.
- 4. Customers must clear their systems of any working orders (GTC/GTD)

Provided herewith is the "Acknowledgement & Participation Confirmation Form". All Trading Participants must complete this form and submit to the following email before 26 April 2019: bmdcustomersupport@bursamalaysia.com

In addition, the chronology of planned activities to be carried out for the exercise and the feedback form are provided in this circular. The attached feedback form is to be submitted by Trading Participants after completion of the exercise.

Should you have any queries, please do not hesitate to contact the Derivatives Trading Operations Department.

	<u>Name</u>	Contact No.	E-Mail Address
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Thank you.

### **DERIVATIVES TRADING OPERATIONS** MARKET OPERATIONS



Please submit completed form before 26 April 2019 to: -Email: bmdcustomersupport@bursamalaysia.com

# **ACKNOWLEDGEMENT & PARTICIPATION CONFORMATION FORM**

SUBJECT: BCP SIMULATIN EXERCISE FOR	TRADING PARTICIPANTS						
Q1. Will your company be participating in the B	CP exercise on 11 May 2019?						
Yes No							
Q2. If the answer to Q1 is "No", please provide	the reason.						
Q3. Does your company maintain a DR/backup	facility?						
Yes No							
Q4. If your answer to Q3 is "No", please explain what your contingency plans are in the event that you encounter a failure to your main site facilities.							
Person-In-Charge	Contact Number						
1)							
2)							
Company Name:							
Authorized Personnel:							
Signatory:							
Date:							



Day	Time	Activities
Saturday 11 <sup>th</sup> May 2019	8:30 pm – 9:15 pm	CME Globex DR environment ready for connectivity testing. Trading Participants to connect their OMS to CME Globex production trading platform.
	9:15 pm – 9:30 pm	Pre-opening commences. Trading Participants commence testing.
	9:30 pm – 11:30 pm	Continuous Trading Trading Participants commence testing.
	11:30 pm	Testing ends. Trading Participants to complete and send feedback form.
		Trading Participants to ensure their respective OMS revert to original state as of before the test.

Note: The time table of activities provided here consists of targeted timings and may be subject to change based on the actual time taken for the recovery process. Any variation to the timings provided here will be duly informed by Derivatives Trading Operations during the exercise.



Please submit completed form to: -

Email: BMDCustomerSupport@bursamalaysia.com or

Fax: 03-2026 3731

# TRADING PARTICIPANTS' FEEDBACK FORM

SUBJECT: BCP SIMULATION EXERCISE FOR TRADING PARTICIPANTS ON 11 MAY 2019

To: Derivatives Trading Operations

We hereby confirmed the following:

No.	Test Requiremen		TP's feed	lback			
1.	Successfully conr	nected to CME Globex DR					
			OK	No			
2.	Check and confire	n all GTC/GTD orders are cancelled					
			ОК	No			
3.	Enter buy/sell of 2. Amend/Delete						
	3. Execute trades		OK	No			
7	4. Verify market d 5. Verify orders &	ata on OMS trades (OMS & firmsoft)					
4.	Name of OMS use	ed.	l	1			
	Main OMS:						
		_					
	Backup OMS:		_				
	OMS tested: mair	n / backup / both <i>(Please circle)</i>					
5.	Other comments if any:						
Company Name:							
Responsibility		Name	Signature				
Person-In-Charge							
Test Executioner							