

**BURSA MALAYSIA DERIVATIVES BERHAD**

**Date: 19 September 2018**

**Trading Participant Circular: 17/2018**

**BUSINESS CONTINUITY PLAN (BCP) SIMULATION EXERCISE ON 13 OCTOBER 2018**

This circular is to give advance notice to Trading Participants (TPs) that a BCP Industry Wide Test (IWT) will be conducted on **13 October 2018**.

The BCP exercise will simulate the failover from CME Globex main site in Chicago to CME Globex Disaster Recovery site in New York. The objective of the exercise is to ensure that Trading Participants can continue to trade in such a scenario.

Participation in this exercise is **mandatory** for all TPs as it is essential that TPs are well prepared and familiar with procedures to handle such situations.

TPs are to make the necessary arrangements with their respective order management system (OMS) vendors for participation in the upcoming exercises.

Provided herewith is the "Acknowledgement & Participation Confirmation Form". All TPs must complete this form and submit to the following email by **Wednesday, 26 September 2018**:

[BMDCustomerSupport@bursamalaysia.com](mailto:BMDCustomerSupport@bursamalaysia.com)

In addition, the chronology of planned activities to be carried out for the exercise and the feedback form are provided in this circular. The attached feedback form is to be submitted by TPs after completion of the BCP IWT exercise.

Should you have any queries, please do not hesitate to contact the Trading Operations Department.

	<u>Name</u>	<u>Contact No.</u>	<u>E-Mail Address</u>
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Thank you.

**DERIVATIVES TRADING OPERATIONS  
MARKET OPERATIONS**

Bursa Malaysia Derivatives Berhad 261937-H

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Bukit Kewangan | Fax : 03-2026 3731  
50200 Kuala Lumpur, Malaysia | Website : [www.bursamalaysia.com](http://www.bursamalaysia.com)

Please submit completed form before 26 September 2018 to: -  
Email: [bmdcustomersupport@bursamalaysia.com](mailto:bmdcustomersupport@bursamalaysia.com)

**ACKNOWLEDGEMENT & PARTICIPATION CONFIRMATION FORM**

SUBJECT: BCP SIMULATION EXERCISE FOR TRADING PARTICIPANTS

Q1. Will your company be participating in the BCP IWT exercise on 13 October 2018?

Yes  No

Q2. If the answer to Q1 is "No", please provide the reason.

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Q3. Person-In-Charge on 13 October 2018:

Name	Contact Number
1)	
2)	

Company Name: \_\_\_\_\_

Authorized Personnel: \_\_\_\_\_

Signatory: \_\_\_\_\_

Date: \_\_\_\_\_

<u>Day</u>	<u>Time</u>	<u>Activities</u>
BCP IWT Saturday 13 <sup>th</sup> October 2018	9:00 pm – 9:15 pm	CME Globex DR environment ready for connectivity testing. TPs to connect their OMS to CME DR environment
	9:15 pm – 9:30 pm	Pre-opening commences; TPs commence testing
	9:30 pm – 11:30 pm	Market opens; TPs continue testing
	11:30 pm	Testing ends. TPs to complete and submit feedback form.

**Note:** The timetable of activities provided here consists of targeted timings and may be subject to change based on the actual time taken for the recovery process. Any variation to the timings provided here will be duly informed by Derivative Trading Operations during the exercise

The salient points to take note of on the DR process:

- All sequence numbers are reset
- All open orders from CME Globex Production are unavailable and will be purged by the trading engine
- Cancellation messages will not be sent to customers
- Customers have to do the necessary to clear their internal systems of any working orders (GTC/GTD)

TPs may refer to the following URL for details of how the disaster recovery process is being handled in such a situation:

<http://www.cmegroup.com/confluence/display/EPICSANDBOX/Order+Entry+Path+DR+Process>

Submit completed form to: -  
 Email: [BMDCustomerSupport@bursamalaysia.com](mailto:BMDCustomerSupport@bursamalaysia.com)

**TRADING PARTICIPANTS' FEEDBACK FORM**

SUBJECT: BCP INDUSTRY WIDE TEST SIMULATION EXERCISE – 13<sup>TH</sup> OCTOBER 2018

To: Derivative Trading Operations, Bursa Malaysia

We hereby confirm the following:

No.	Test Requirement	TP's feedback
1.	Successfully connected to CME Globex DR	OK <input type="checkbox"/> NO <input type="checkbox"/>
2.	Pre-Opening and Continuous Trading Phase 1. Enter buy/sell orders 2. Execute trades 3. Amend orders 4. Delete orders 5. Verify market data on OMS	OK <input type="checkbox"/> NO <input type="checkbox"/>
3.	Name of OMS used: _____ (primary) _____ (backup)  OMS tested: primary / backup / both <i>(Please circle the OMS that was tested)</i>	
4.	Other comments if any:	

<b>Company Name:</b>		
Responsibility	Name	Signature
Person-in charge		
Test executioner		