ATTACHMENT

TABLE OF COMMENTS

COMMENTS TO ISSUES RAISED IN CONSULTATION PAPER NO. 2/2019

PROPOSED AMENDMENTS TO THE RULES OF BURSA MALAYSIA DEPOSITORY SENDIRIAN BERHAD IN RELATION TO

CDS eSERVICES (RELEASE 2) & OTHER AMENDMENTS

Regulatory Policy & Advisory

Bursa Malaysia Berhad

9th Floor, Exchange Square

Bukit Kewangan

50200 Kuala Lumpur

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Facsimile: +603-2732 0065

|  |  |  |
| --- | --- | --- |
| NAME OF RESPONDENT | : |  |
| NAME OF COMPANY | : |  |
| CONTACT PERSON & CONTACT NUMBER | : |  |
| EMAIL ADDRESS | : |  |

| ISSUES | | COMMENTS |
| --- | --- | --- |
| PROPOSAL 1 | | |
|  | Do you agree that the processing period for the application to open a CDS account begins to run only upon the ADA receiving the complete application form with the relevant supporting documents and that the processing period will not commence in the event the ADA requires further necessary information or supporting documents from the applicant?  If not, please state the reasons for your view. | Agree  Disagree  No comment  *Reasons:*   |  | | --- | | Click or tap here to enter text. | |
| PROPOSAL 3 | | |
|  | Do you agree with the discontinuance of issuing the statement of accounts via email or hard copy to depositors who have registered for CDS e-Services as the information will be available on CDS e-Services which can be viewed and downloaded by the depositor anytime?  If not, please state the reasons for your view. | Agree  Disagree  No comment  *Reasons:*   |  | | --- | | Click or tap here to enter text. | |
| PROPOSAL 4 | | |
|  | Do you agree that changes to the name, NRIC/passport number, e-mail address and mobile phone number of a depositor are critical and such changes can only be permitted to be done in person at the ADA’s office?  If not, please state the reasons for your view or any suggestions in this regard. | Agree  Disagree  No comment  *Reasons:*   |  | | --- | | Click or tap here to enter text. | |
| PROPOSAL 5 | | |
|  | Do you agree to allow depositors to register for e-Dividend via CDS eServices?  If not, please state the reasons for your view. | Agree  Disagree  No comment  *Reasons:*   |  | | --- | | Click or tap here to enter text. | |
| PROPOSAL 6 | | |
|  | Do you agree that the Rules of Bursa Depository should remove references to ESA since the processing and verification of information by Bursa Depository for all IPO share applications (both manual and electronical) is the same based on the allotment list provided by the issuer?  If not, please state the reasons for your view. | Agree  Disagree  No comment  *Reasons:*   |  | | --- | | Click or tap here to enter text. | |
| PROPOSAL 7 | | |
| 6. | Do you agree with the proposal to maintain the existing relevant provisions that the investors who have been previously successful in their IPO share applications would have to formalise their CDS account before they are allowed to sell, transfer or withdraw any of the securities that are deposited in the CDS account?  If not, please state the reasons for your view. | Agree  Disagree  No comment  *Reasons:*   |  | | --- | | Click or tap here to enter text. | |

[End of Attachment]