



APPENDIX 1
AMENDMENTS TO THE RULES OF LABUAN INTERNATIONAL FINANCIAL EXCHANGE INC
Consequential to the Personal Data Protection Act 2010
[As at 15 November 2013]

CHAPTER 8 - MISCELLANEOUS

Appeals to the Exchange Committee

1. The Exchange Committee shall have the general power to hear appeals made by the aggrieved parties against any decisions made by any of the Specific Committees or delegates thereof. The decisions of the Exchange committee on such appeals shall be final and binding.

Practice Notes

2. The Exchange shall have the power to issue practice notes in order to clarify, elaborate or amplify any of the provisions in these Rules.
3. The Exchange shall as soon as practicable notify the Listing Sponsors and the Trading Agents of the issue of such practice notes.

Amendments to these Rules

4. The Exchange may amend any of the provisions in these Rules. The Exchange shall as soon as practicable notify the Listing Sponsors and the Trading Agents of such amendments.

Procedure where none laid down

5. If any act or step is required to be done or taken under these Rules and no form is prescribed or procedure laid down either in these Rules or the practice notes, application may be made to the Exchange for directions as to the manner in which the same may be done or taken, and any act or step done or taken in accordance with such directions shall be a valid performance of such act or step.

Waiver and Modifications

6. The Exchange may waive or modify any provisions in these Rules either generally or to suit the circumstances of a particular case.
7. Application for such waiver or exemption may be made in writing to the Exchange, either with the relevant application to the Exchange or anytime thereafter.

Submission of Documents

8. All information and documents submitted to the Exchange for any purpose under these Rules must be in English or accompanied by an English translation certified to be accurate by a person acceptable to the Exchange.
9. Any accounts or financial statements required to be submitted to the Exchange for any purpose under these Rules must be prepared and audited in accordance with internationally recognized accounting and auditing standards acceptable to the Exchange.

Notice by the Exchange

10. Any notice as may be required under these Rules to be given by the Exchange to the Listing Sponsor or the Trading Agent shall be deemed to have been duly given to the Listing sponsor or Trading Agent when delivered to the address or transmitted to such facsimile number or electronic mail address of such Listing Sponsor or Trading Agent as recorded in the registers kept by the Exchange pursuant to Rule 17 of Chapter 3.
11. Unless direct communication with the issuer is specifically provided, any notice as may be required under these Rules to be given by the Exchange to the issuer may be given by the Exchange to the issuer's Listing Sponsor.

Exclusion of Liability

12. The Exchange, the Exchange Committee and delegates thereof, the Specific Committees and any delegates thereof and any officer of the Exchange disclaim any liability for or on account of, or in respect of, any act done or statement made, omitted to be done or made, in pursuance of or in performance of or in execution of their powers and functions under these Rules.

Confidentiality of Information

13. Information and documents submitted to the Exchange relating to the activities of the Exchange may be designated by the Exchange to be confidential. Such confidential information shall not be divulged to any other party except as required by the law.

Interpretation of the Rules

14. Any question on the interpretation of the Rules shall be decided by the Exchange Committee, whose decision shall be final and conclusive.

Personal Data Notice

15. Any person who provides or has provided personal data to the Exchange pursuant to or in connection with these Rules should read and be aware of the relevant notification in relation to the Personal Data Protection Act 2010 (“PDPA”) available at the Exchange’s website at <http://lfxsys.lfx.com.my/> (“**Personal Data Notice**”).
16. Where the personal data provided is of another individual (“**data subject**”), the person providing such data must have notified the data subject in writing of the Personal Data Notice before providing the personal data unless:
 - (a) section 41 of the PDPA applies; or
 - (b) the Exchange otherwise specifies in connection with the PDPA.
17. For the purposes of this paragraph, “**personal data**” shall have the same meaning given in section 4 of the PDPA.

[The rest of this page is intentionally left blank]