

PARTICIPATING ORGANISATIONS' CIRCULAR

Date : 16th OCTOBER 2009

No : R/R 14 OF 2009

AMENDMENTS TO MEMBERS' CIRCULAR NO. R/R 11 OF 1995 IN RELATION TO 'MINIMUM STANDARDS AND CONDUCT OF MEMBER COMPANIES IN RELATION TO TRADING IN CALL WARRANTS' ("THE CIRCULAR") AND RISK DISCLOSURE STATEMENTS

Reference is made to Members' Circular No. R/R 11 of 1995 read together with Participating Organisations' Circular No. R/R 20 of 2007 ("Relevant Circulars") where a Participating Organisation is required to provide a risk disclosure statement (in the form prescribed by the Exchange vide Members' Circular No. L/O 2848 of 1994) to a client before executing trades in call warrants.

Kindly be advised that reference to 'call warrants' in the Relevant Circulars and the risk disclosure statement is amended to "Structured Warrants" (the "Said Amendments").

The definition of Structured Warrants follows the same definition of 'structured warrants' in Paragraph 5.02 of the Main Market Listing Requirements which is set out in the table below:

structured warrants	means individually or collectively as the context may require, call warrants, put warrants, basket warrants, bull equity-linked structures or such other structures that may be specified by the Exchange from time to time.
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The Said Amendments will take effect from **16th November 2009** ("Effective Date").

All rules, directives, circulars in force which make reference or contain provisions relating to the above matter shall have effect from the Effective Date as if such reference or provisions relate to the amendments.

This Circular is available at:

http://www.bursamalaysia.com/website/bm/regulation/rules/bursa_rules/bm_securities.html

In the event of any queries in relation to the Said Amendments, kindly contact the following persons:

1. Ng G-Ming: 03-2034 7364
2. Lim Yoke May: 03- 2034 7312

REGULATORY POLICY & ADVISORY