

PARTICIPATING ORGANISATIONS' CIRCULAR

Date : 3 NOVEMBER 2009	No : R/R 18 of 2009
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CORRIGENDUM TO PARTICIPATING ORGANISATIONS' CIRCULARS:

(1) R/R 16 OF 2009 DATED 21 OCTOBER 2009 ON AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES BERHAD TO EXPAND THE CATEGORIES OF PERSONS WHO MAY VERIFY AND ATTEST SECURITIES ACCOUNT OPENING DOCUMENTATION ("R/R 16 OF 2009"); AND

(2) R/R 15 OF 2009 DATED 19 OCTOBER 2009 ON AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES BERHAD IN RELATION TO ON-MARKET MARRIED TRANSACTIONS ("R/R 15 OF 2009")

1. R/R 16 of 2009

1.1 Rule 404.4(7)(b)(iv)

Delete "(pass through activities)" after "Item 1(b) of Schedule 4".

As such, the amendment to Rule 404.4(7)(b)(iv) pursuant to R/R 16 of 2009 was:

(7) **Exception:** Notwithstanding Rule 404.4(6)(a), a Participating Organisation may at its discretion -

(b) in the case of an application by a client other than that specified in Rule 404.4(7)(a), allow the authentication of a client's application for account opening under Rule 404.4(6)(a) to be done by any of the following persons in place of a Dealer's Representative –

(iv) officer of a registered person referred to under the third column of Item 1(b) of Schedule 4 of the Capital Markets and Services Act, who is authorised by such registered person to perform the activities referred to under the second column of the said Item 1(b) of Schedule 4;

2. R/R 15 of 2009

2.1 Rule 701.8A(1)(e)

"Rule 704A" should read "Rule 301A".

As such, the amendment to Rule 701.8A(1)(e) pursuant to R/R 15 of 2009 was:

(1) A Participating Organisation must comply with the following conditions when executing an On-Market Married Transaction:

(e) Must not execute the On-Market Married Transaction for regulated short selling (as defined in Rule 704), permitted short selling (as defined in Rule 301A) and Day Trading;

Please be informed that this circular is available on Bursa Malaysia's website at:

http://www.bursamalaysia.com/website/bm/rules_and_regulations/bursa_rules/bm_securities.html

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