

BURSA MALAYSIA DERIVATIVES BERHAD
Date: 29 October 2021
Trading Participant Circular: 17/2021
AMENDMENTS TO THE FOLLOWING DIRECTIVES OF BURSA MALAYSIA DERIVATIVES BERHAD:

- (I) DIRECTIVE ON COMPLIANCE FUNCTION (“DIRECTIVE NO. 3.36-001”);
 - (II) DIRECTIVE ON COMPLIANCE REPORT (“DIRECTIVE NO. 3.36-002”); AND
 - (III) DIRECTIVE ON COMPLIANCE FUNCTION FOR DEALING IN DERIVATIVES IN A PARTICIPATING ORGANISATION (“DIRECTIVE NO. 5.05-001”)
- (COLLECTIVELY, “BMD DIRECTIVES”)

1.0 AMENDMENTS TO THE BMD DIRECTIVES

- 1.1 Bursa Malaysia Derivatives Berhad (the “**Exchange**”) has amended Directives No. 3.36-001 and 3.36-002 with the aim to achieve, amongst others, the following objectives:
- (a) to re-organise and enhance the current compliance guidelines to streamline with the current rules, directives and market practices;
 - (b) to synchronise the compliance guidelines and compliance reports between Participating Organisations, Trading Participants and Clearing Participants, where appropriate; and
 - (c) to enhance the reporting of critical areas in the compliance reports.
- 1.2 Additionally, consequential amendments were made to Directive No. 5.05-001 to align it with the amended Directive No. 3.36-001 and Directive No. 3.36-002.
- 1.3 The detailed amendments to the BMD Directives are as set out in **Annexures 1, 2 and 3** respectively.

2.0 EFFECTIVE DATE

- 2.1 The amendments to the BMD Directives take effect on **30 November 2021**.

3.0 CONTACT PERSONS

In the event of any queries in relation to the above matter, kindly contact us at the following:

Name	Contact
Participants Supervision (Compliance)	isd3@bursamalaysia.com
Regulatory Policy & Advisory (Rules and Directives)	rpa@bursamalaysia.com

This Circular is available at:

https://www.bursamalaysia.com/regulation/derivatives/rules_of_bursa_malaysia_derivatives

Regulation