

RULES OF BURSA MALAYSIA SECURITIES BERHAD

AMENDMENTS IN RELATION TO THE REVISION OF THE UPTICK RULE AND SBL-NT FAILED TRADE PROPOSAL

RULE	EXISTING PROVISION	RULE	AMENDED PROVISION
7.23	<p>Reporting on borrowing of Eligible Securities</p> <p>If the Clearing House permits borrowing of Eligible Securities for the purpose of meeting the Participating Organisation's delivery obligations pursuant to a sale trade erroneously executed by the Participating Organisation, the Participating Organisation must submit to the Exchange a report of all Eligible Securities borrowed for the above purpose on a weekly basis, in the format and manner as may be prescribed by the Exchange from time to time, on the 1st Market Day of the following week.</p>	7.23	<p>Reporting on borrowing of Eligible Securities</p> <p>If the Clearing House permits borrowing of Eligible Securities for the purpose of meeting the Participating Organisation's delivery obligations pursuant to a sale trade erroneously executed by the Participating Organisation, the Participating Organisation must submit to the Exchange a report of all Eligible Securities borrowed for the above purpose on a weekly basis, in the format and manner as may be prescribed by the Exchange from time to time, on the 1st Market Day of the following week. <u>[Deleted]</u></p>
8.26	<p>Execution</p> <p>(1) A Participating Organisation must comply with the following before executing an order for a Regulated Short Sale whether for itself or a client:</p> <p>(c) the order price of the Approved Securities to be entered into the ATS is higher than the Last Done Price of the Approved Securities prior to the intended entry of the above order; and</p>	8.26	<p>Execution</p> <p>(1) A Participating Organisation must comply with the following before executing an order for a Regulated Short Sale whether for itself or a client:</p> <p>(c) the order price of the Approved Securities to be entered into the ATS is higher than the Last Done Price of the Approved Securities prior to the intended entry of the above order <u>is in compliance with all requirements as may be prescribed by the Exchange</u>; and</p>

[End of Rule Amendments]