

CONTACT PERSON FOR PARTICIPANTS SUPERVISION DIVISION, REGULATION

NO	CONTACT PERSON	DESIGNATION	TELEPHONE NO	FAX	E-MAIL
1	Lee Siew Thong	Head, Participants Supervision	03-2034 7362	03-2026 3691/92	Lee_St@bursamalaysia.com

FOR MATTERS RELATING TO:-

- Inspection / Readiness
- Monitoring of Financial requirement
- Monitoring of Non-Financial Compliance
- Clarification and / or interpretation of Rules
- Application for approval, waiver and exemption from the rules
- All other Compliance, Inspection and Capital Adequacy matters

GROUP	CONTACT PERSON	DESIGNATION	TELEPHONE NO	FAX NO	E-MAIL
IS 1	Pasupathy Velauthah	Head, Intermediaries Supervision	03-2034 7141	03-2026 3691	PasupathyVelauthah@bursamalaysia.com
	Vaithyanathan s/o Madavan	Senior Manager, Intermediaries Supervision	03-2034 7142		VaithyanathanMadavan@bursamalaysia.com
	Kelvin Koh Yong Boon	Senior Manager, Intermediaries Supervision	03-2034 7454		koh_yb@bursamalaysia.com
IS 2	Ng G-Ming	Head, Intermediaries Supervision	03-2034 7364	03-2026 3692	ng_gm@bursamalaysia.com
	Ahmad Tarmizi Hj Mahayuddin	Senior Manager, Intermediaries Supervision	03-2034 7450		Tarmizi@bursamalaysia.com
	Zarina Abd Rahman	Senior Manager, Intermediaries Supervision	03-2034 7268		Zarina_A@bursamalaysia.com
IS 3	Lum Chee Wah	Head, Intermediaries Supervision	03-2034 7734	03-2034 7252	Cheewah_L@bursamalaysia.com
	Choo Siew Fun	Senior Manager, Intermediaries Supervision	03-2034 7252		Sf_Choo@bursamalaysia.com
	Johari bin Ghazali	Senior Manager, Intermediaries Supervision	03-2034 7143		JohariGhazali@bursamalaysia.com

FOR MATTERS RELATING TO:-

- Registration, admission and all membership related matters
- Periodical submissions
- B5 Transfers
- Post-vetting of DBTs
- Post-vetting of Securities Transfers
- Maintenance of Defaulters
- Continuing obligations of participants and registered persons

GROUP	CONTACT PERSON	DESIGNATION	TELEPHONE NO	FAX NO	E-MAIL
PA 1	Ahmad Sufian Mokhtar	Head, Participant Affairs	03-2034 7242	03-2026 3685	Sufian@bursamalaysia.com
	Shaharun Shaari	Senior Manager, Participant Affairs	03-2034 7376		Shaharun@bursamalaysia.com
PA 2	Ahmad Sufian Mokhtar	Head, Participant Affairs	03-2034 7242		Sufian@bursamalaysia.com
	Mohd Faizal Rusli	Manager, Participant Affairs	03-2034 7785		Faizal@bursamalaysia.com
PA 3	Ahmad Sufian Mokhtar	Head, Participant Affairs	03-2034 7242	Sufian@bursamalaysia.com	
	Zamir Zamri	Manager, Participant Affairs	03- 2034 7404	Zamir@bursamalaysia.com	
PA 4	Ahmad Sufian Mokhtar	Head, Participant Affairs	03-2034 7242	Sufian@bursamalaysia.com	
	Nurul Hanim Salleh	Manager, Participant Affairs	03-2034 7261	Nurul_Hanim@bursamalaysia.com	

NO	PARTICIPATING ORGANISATIONS	INTERMEDIARIES SUPERVISION (Group)	PARTICIPANTS AFFAIRS (Group)
1	A. A. ANTHONY SECURITIES SDN BHD	IS 1	PA 1
2	AFFIN INVESTMENT BANK BERHAD	IS 3	PA 2
3	ALLIANCE INVESTMENT BANK BERHAD	IS 3	PA 1
4	AMINVESTMENT BANK BERHAD	IS 2	PA 2
5	BIMB SECURITIES SDN BHD	IS 3	PA 4
6	CIMB INVESTMENT BANK BERHAD	IS 2	PA 3
7	CLSA SECURITIES SDN BERHAD	IS 3	PA 2
8	CREDIT SUISSE SECURITIES (MALAYSIA) SDN BHD	IS 1	PA 3
9	ECM LIBRA INVESTMENT BANK BERHAD	IS 1	PA 1
10	FA SECURITIES SDN BHD	IS 2	PA 2
11	HONG LEONG INVESTMENT BANK BERHAD	IS 1	PA 1
12	HWANG-DBS INVESTMENT BANK BERHAD	IS 2	PA 1
13	INNOSABAH SECURITIES BHD	IS 3	PA 1
14	INTER-PACIFIC SECURITIES SDN BHD	IS 2	PA 2
15	JF APEX SECURITIES BHD	IS 3	PA 3
16	JP MORGAN SECURITIES (MALAYSIA) SDN BHD	IS 1	PA 1
17	JUPITER SECURITIES SDN BHD	IS 1	PA 3
18	KAF-SEAGROATT & CAMPBELL SECS SB	IS 2	PA 4
19	KENANGA INVESTMENT BANK BERHAD	IS 3	PA 2
20	M & A SECURITIES SDN BHD	IS 2	PA 2
21	MACQUARIE (MALAYSIA) SDN BHD	IS 2	PA 4
22	MALACCA SECURITIES SDN BHD	IS 1	PA 3
23	MAYBANK INVESTMENT BANK BERHAD	IS 1	PA 4
24	MERCURY SECURITIES SDN BHD	IS 3	PA 4
25	MIDF AMANAH INVESTMENT BANK BERHAD	IS 1	PA 2
26	MIMB INVESTMENT BANK BERHAD	IS 2	PA 3
27	NOMURA SECURITIES MALAYSIA SDN BHD	IS 3	PA 4
28	OSK INVESTMENT BANK BERHAD	IS 3	PA 4
29	PM SECURITIES SDN BHD	IS 1	PA 4
30	PUBLIC INVESTMENT BANK BERHAD	IS 2	PA 1
31	RHB INVESTMENT BANK BERHAD	IS 1	PA 3
32	SJ SECURITIES SDN BHD	IS 3	PA 4
33	TA SECURITIES HOLDINGS BERHAD	IS 3	PA 3
34	UBS SECURITIES (MALAYSIA) SDN BHD	IS 2	PA 1

NO	TRADING PARTICIPANTS	INTERMEDIARIES SUPERVISION (Group)	PARTICIPANTS AFFAIRS (Group)
1	AM FUTURES SDN BHD	IS 2	PA 2
2	APEX FUTURES SDN BHD	IS 3	PA 3
3	CIMB FUTURES SDN BHD	IS 2	PA 3
4	ECM LIBRA INVESTMENT BANK BERHAD	IS 1	PA 1
5	FEDRUMS SDN BHD	<i>for PA purpose only</i>	PA 3
6	HDM FUTURES SDN BHD	IS 2	PA 1
7	HLG FUTURES SDN BHD	IS 1	PA 1
8	INNOSABAH OPTIONS FUTURES SDN BHD	IS 3	PA 1
9	INTERPACIFIC SECURITIES SDN BHD	IS 2	PA 2
10	JP MORGAN SECURITIES (MALAYSIA) SDN BHD	IS 1	PA 1
11	KENANGA DEUTSCHE FUTURES SDN BHD	IS 3	PA 2
12	OKACHI (M) SDN BHD	IS 2	PA 4
13	ORIENTAL PACIFIC FUTURES SDN BHD	IS 1	PA 4
14	OSK INVESTMENT BANK BHD	IS 3	PA 4
15	PHILLIP FUTURES SDN BHD	IS 3	PA 2
16	RHB INVESTMENT BANK BERHAD	IS 1	PA 3
17	SUNNY FUTURES SDN BHD	IS 1	PA 2
18	TA FUTURES SDN BHD	IS 3	PA 3