

CONTACT PERSON FOR PARTICIPANTS SUPERVISION DIVISION ("PSD"), REGULATION

| NO | CONTACT PERSON | DESIGNATION | TELEPHONE NO | FAX | E-MAIL |
|----|---------------------|--|--------------|--------------|--------------------------------------|
| 1 | Pasupathy Velauthah | Senior Executive Vice President and Head, Participants Supervision | 03-2034 7141 | 03-2026 3691 | PasupathyVelauthah@bursamalaysia.com |

FOR MATTERS RELATING TO:-

- Inspection and Readiness matters
- Compliance and Capital Adequacy ("CAR") matters
- Monitoring of Non-Financial Compliance & Financial Compliance
- Clarification and/or interpretation of Rules
- Application for Approval, waiver and exemption from the rules

| GROUP | CONTACT PERSON | DESIGNATION | TELEPHONE NO | FAX NO | E-MAIL |
|-------|-----------------------------|---|--------------|----------------------------------|---------------------------------|
| ISD 1 | Pravina Jaya Devan | Assistant Vice President and Head, Intermediaries Supervision I | 03-2034 7269 | 03 - 2026 3691 03 - 2732 8362 | Pravinajaya@bursamalaysia.com |
| | Ng Pit Hsin | Assistant Vice President, Intermediaries Supervision I | 03-2034 7259 | | NgPitHsin@bursamalaysia.com |
| | Fadzly Buang | Senior Manager, Intermediaries Supervision I | 03-2034 7334 | | fadzly@bursamalaysia.com |
| ISD 2 | Ng G-Ming | Senior Vice President and Head, Intermediaries Supervision II | 03-2034 7364 | | nggm@bursamalaysia.com |
| | Darlina Mohd Khairudin | Assistant Vice President, Intermediaries Supervision II | 03-2034 7268 | | darlini@bursamalaysia.com |
| | Ahmad Tarmizi Hj Mahayuddin | Senior Manager, Intermediaries Supervision II | 03-2034 7450 | | Tarmizi@bursamalaysia.com |
| ISD 3 | Choo Siew Fun | Senior Vice President and Head, Intermediaries Supervision III | 03-2034 7252 | | stchoo@bursamalaysia.com |
| | Johari bin Ghazali | Senior Manager, Intermediaries Supervision III | 03-2034 7143 | | JohariGhazali@bursamalaysia.com |

FOR MATTERS RELATING TO:-

- Registration, admission and all membership related matters
- Post-vetting of Securities Transfers
- Periodical submissions
- Continuing obligations of participants and registered persons
- Post-vetting of DBTs
- B5 Transfers

| GROUP | CONTACT PERSON | DESIGNATION | TELEPHONE NO | FAX NO | E-MAIL |
|-------|----------------------|---|--------------|--------------|-----------------------------|
| PA 1 | Ahmad Sufian Mokhtar | Vice President and Head, Participants Affairs | 03-2034 7242 | 03-2026 3691 | sufian@bursamalaysia.com |
| | Arjunaidi Kailan | Senior Manager, Participants Affairs | 03-2034 7223 | | arjunaidi@bursamalaysia.com |
| PA 2 | Ahmad Sufian Mokhtar | Vice President and Head, Participants Affairs | 03-2034 7242 | | Sufian@bursamalaysia.com |
| | Mohd Faizal Rusli | Manager, Participants Affairs | 03-2034 7785 | | Faizal@bursamalaysia.com |
| PA 3 | Ahmad Sufian Mokhtar | Vice President and Head, Participants Affairs | 03-2034 7242 | | Sufian@bursamalaysia.com |
| | Zamir Zamri | Manager, Participants Affairs | 03-2034 7404 | | Zamir@bursamalaysia.com |

| NO | PARTICIPATING ORGANISATIONS | INTERMEDIARIES SUPERVISION (Group) | PARTICIPANTS AFFAIRS (Group) |
|----|---|------------------------------------|------------------------------|
| 1 | AFFIN HWANG INVESTMENT BANK BERHAD | ISD 2 | PA 1 |
| 2 | ALLIANCE INVESTMENT BANK BERHAD | ISD 3 | PA 1 |
| 3 | AMINVESTMENT BANK BERHAD | ISD 2 | PA 2 |
| 4 | BIMB SECURITIES SDN BHD | ISD 3 | PA 3 |
| 5 | CIMB INVESTMENT BANK BERHAD | ISD 2 | PA 3 |
| 6 | CITIGROUP GLOBAL MARKETS MALAYSIA SDN BHD | ISD 3 | PA 1 |
| 7 | CLSA SECURITIES SDN BERHAD | ISD 3 | PA 2 |
| 8 | CREDIT SUISSE SECURITIES (MALAYSIA) SDN BHD | ISD 1 | PA 2 |
| 9 | FA SECURITIES SDN BHD | ISD 2 | PA 2 |
| 10 | HONG LEONG INVESTMENT BANK BERHAD | ISD 1 | PA 1 |
| 11 | UOB KAY HIAN SECURITIES (MALAYSIA) SDN BHD | ISD 3 | PA 1 |
| 12 | INTER-PACIFIC SECURITIES SDN BHD | ISD 2 | PA 2 |
| 13 | JF APEX SECURITIES BHD | ISD 3 | PA 3 |
| 14 | JP MORGAN SECURITIES (MALAYSIA) SDN BHD | ISD 2 | PA 1 |
| 15 | JUPITER SECURITIES SDN BHD | ISD 2 | PA 3 |
| 16 | KAF-SEAGROATT & CAMPBELL SECURITIES SB | ISD 1 | PA 1 |
| 17 | KENANGA INVESTMENT BANK BERHAD | ISD 3 | PA 2 |
| 18 | M & A SECURITIES SDN BHD | ISD 2 | PA 2 |
| 19 | MACQUARIE CAPITAL SECURITIES (MALAYSIA) SDN BHD | ISD 2 | PA 2 |
| 20 | MALACCA SECURITIES SDN BHD | ISD 1 | PA 3 |
| 21 | MAYBANK INVESTMENT BANK BERHAD | ISD 1 | PA 1 |
| 22 | MERCURY SECURITIES SDN BHD | ISD 3 | PA 3 |
| 23 | MIDF AMANAH INVESTMENT BANK BERHAD | ISD 1 | PA 2 |
| 24 | NOMURA SECURITIES MALAYSIA SDN BHD | ISD 1 | PA 3 |
| 25 | PM SECURITIES SDN BHD | ISD 1 | PA 1 |
| 26 | PUBLIC INVESTMENT BANK BERHAD | ISD 3 | PA 3 |
| 27 | RHB INVESTMENT BANK BERHAD | ISD 1 | PA 1 |
| 28 | SJ SECURITIES SDN BHD | ISD 3 | PA 2 |
| 29 | TA SECURITIES HOLDINGS BERHAD | ISD 3 | PA 3 |
| 30 | UBS SECURITIES (MALAYSIA) SDN BHD | ISD 2 | PA 3 |

| NO | TRADING PARTICIPANTS | INTERMEDIARIES SUPERVISION (Group) | PARTICIPANTS AFFAIRS (Group) |
|----|---|------------------------------------|------------------------------|
| 1 | AFFIN HWANG INVESTMENT BANK BHD | ISD 2 | PA 1 |
| 2 | AmINVESTMENT BANK BHD | ISD 2 | PA 2 |
| 2 | JF APEX SECURITIES BERHAD | ISD 3 | PA 3 |
| 3 | CIMB FUTURES SDN BHD | ISD 2 | PA 3 |
| 4 | CREDIT SUISSE SECURITIES (MALAYSIA) SDN BHD | ISD 1 | PA 2 |
| 5 | FEDRUMS SDN BHD | ISD 3 | PA 1 |
| 7 | HONG LEONG INVESTMENT BANK BERHAD | ISD 1 | PA 1 |
| 8 | UOB KAY HIAN SECURITIES (MALAYSIA) SDN BHD | ISD 3 | PA 1 |
| 9 | INTER-PACIFIC SECURITIES SDN BHD | ISD 2 | PA 2 |
| 10 | JP MORGAN SECURITIES (MALAYSIA) SDN BHD | ISD 2 | PA 1 |
| 11 | KENANGA DEUTSCHE FUTURES SDN BHD | ISD 3 | PA 2 |
| 12 | LT INTERNATIONAL FUTURES (M) SDN BHD | ISD 3 | PA 1 |
| 13 | MAYBANK INVESTMENT BANK BHD | ISD 1 | PA 3 |
| 14 | OKACHI (M) SDN BHD | ISD 2 | PA 3 |
| 15 | ORIENTAL PACIFIC FUTURES SDN BHD | ISD 1 | PA 2 |
| 16 | PHILLIP FUTURES SDN BHD | ISD 1 | PA 2 |
| 17 | RHB INVESTMENT BANK BERHAD | ISD 1 | PA 2 |
| 18 | TA FUTURES SDN BHD | ISD 3 | PA 3 |