

CONTACT PERSON FOR PARTICIPANTS SUPERVISION DIVISION ("PSD"), REGULATION

NO	CONTACT PERSON	DESIGNATION	TELEPHONE NO	FAX	E-MAIL
1	Pasupathy Velauthah	Senior Executive Vice President and Head, Participants Supervision	03-2034 7141	03-2026 3691	PasupathyVelauthah@bursamalaysia.com

FOR MATTERS RELATING TO:-

- Inspection and Readiness matters
- Monitoring of Non-Financial Compliance & Financial Compliance
- Application for Approval, waiver and exemption from the rules
- Compliance and Capital Adequacy ("CAR") matters
- Clarification and/or interpretation of Rules

GROUP	CONTACT PERSON	DESIGNATION	TELEPHONE NO	FAX NO	E-MAIL
ISD 1	Pravina Jaya Devan	Assistant Vice President and Head, Intermediaries Supervision I	03-2034 7269	03 - 2026 3691 03 - 2732 8362	Pravinajaya@bursamalaysia.com
	Ng Pit Hsin	Senior Manager, Intermediaries Supervision I	03-2034 7259		NgPitHsin@bursamalaysia.com
ISD 2	Ng G-Ming	Senior Vice President and Head, Intermediaries Supervision II	03-2034 7364		nggm@bursamalaysia.com
	Ahmad Tarmizi Hj Mahayuddin	Senior Manager, Intermediaries Supervision II	03-2034 7450		Tarmizi@bursamalaysia.com
ISD 3	Choo Siew Fun	Vice President and Head, Intermediaries Supervision III	03-2034 7252		sfchoo@bursamalaysia.com
	Johari bin Ghazali	Senior Manager, Intermediaries Supervision III	03-2034 7143		JohariGhazali@bursamalaysia.com
	Mastura Zainudin	Senior Manager, Intermediaries Supervision III	03-2034 7139	masturazainudin@bursamalaysia.com	

FOR MATTERS RELATING TO:-

- Registration, admission and all membership related matters
- Periodical submissions
- Post-vetting of DBTs
- Post-vetting of Securities Transfers
- Continuing obligations of participants and registered persons
- B5 Transfers

GROUP	CONTACT PERSON	DESIGNATION	TELEPHONE NO	FAX NO	E-MAIL
PA 1	Ahmad Sufian Mokhtar	Vice President and Head, Participants Affairs	03-2034 7242	03-2026 3685 03-2732 8362	Sufian@bursamalaysia.com
	Shaharun Shaari	Senior Manager, Participants Affairs	03-2034 7376		Shaharun@bursamalaysia.com
PA 2	Ahmad Sufian Mokhtar	Vice President and Head, Participants Affairs	03-2034 7242		Sufian@bursamalaysia.com
	Mohd Faizal Rusli	Manager, Participants Affairs	03-2034 7785		Faizal@bursamalaysia.com
PA 3	Ahmad Sufian Mokhtar	Vice President and Head, Participants Affairs	03-2034 7242		Sufian@bursamalaysia.com
	Zamir Zamri	Manager, Participants Affairs	03-2034 7404		Zamir@bursamalaysia.com

NO	PARTICIPATING ORGANISATIONS	INTERMEDIARIES SUPERVISION (Group)	PARTICIPANTS AFFAIRS (Group)
1	AFFIN HWANG INVESTMENT BANK BERHAD	ISD 2	PA 1
2	ALLIANCE INVESTMENT BANK BERHAD	ISD 3	PA 1
3	AMINVESTMENT BANK BERHAD	ISD 2	PA 2
4	BIMB SECURITIES SDN BHD	ISD 3	PA 3
5	CIMB INVESTMENT BANK BERHAD	ISD 2	PA 3
6	CITIGROUP GLOBAL MARKETS MALAYSIA SDN BHD	ISD 3	PA 1
7	CLSA SECURITIES SDN BERHAD	ISD 3	PA 2
8	CREDIT SUISSE SECURITIES (MALAYSIA) SDN BHD	ISD 1	PA 3
9	FA SECURITIES SDN BHD	ISD 2	PA 2
10	HONG LEONG INVESTMENT BANK BERHAD	ISD 1	PA 1
11	UOB KAY HIAN SECURITIES (MALAYSIA) SDN BHD	ISD 3	PA 1
12	INTER-PACIFIC SECURITIES SDN BHD	ISD 2	PA 2
13	JF APEX SECURITIES BHD	ISD 3	PA 3
14	JP MORGAN SECURITIES (MALAYSIA) SDN BHD	ISD 2	PA 1
15	JUPITER SECURITIES SDN BHD	ISD 2	PA 3
16	KAF-SEAGROATT & CAMPBELL SECS SB	ISD 1	PA 1
17	KENANGA INVESTMENT BANK BERHAD	ISD 3	PA 2
18	M & A SECURITIES SDN BHD	ISD 2	PA 2
19	MACQUARIE CAPITAL SECURITIES (MALAYSIA) SDN BHD	ISD 2	PA 2
20	MALACCA SECURITIES SDN BHD	ISD 1	PA 3
21	MAYBANK INVESTMENT BANK BERHAD	ISD 1	PA 3
22	MERCURY SECURITIES SDN BHD	ISD 3	PA 3
23	MIDF AMANAH INVESTMENT BANK BERHAD	ISD 1	PA 2
24	NOMURA SECURITIES MALAYSIA SDN BHD	ISD 1	PA 3
25	PM SECURITIES SDN BHD	ISD 1	PA 1
26	PUBLIC INVESTMENT BANK BERHAD	ISD 3	PA 1
27	RHB INVESTMENT BANK BERHAD	ISD 1	PA 2
28	SJ SECURITIES SDN BHD	ISD 3	PA 2
29	TA SECURITIES HOLDINGS BERHAD	ISD 3	PA 3
30	UBS SECURITIES (MALAYSIA) SDN BHD	ISD 2	PA 1

NO	TRADING PARTICIPANTS	INTERMEDIARIES SUPERVISION (Group)	PARTICIPANTS AFFAIRS (Group)
1	AFFIN HWANG INVESTMENT BANK BHD	ISD 2	PA 1
2	AMFUTURES SDN BHD	ISD 2	PA 2
2	JF APEX SECURITIES BERHAD	ISD 3	PA 3
3	CIMB FUTURES SDN BHD	ISD 2	PA 3
4	CREDIT SUISSE SECURITIES (MALAYSIA) SDN BHD	ISD 1	PA 3
5	FEDRUMS SDN BHD	ISD 3	PA 1
7	HONG LEONG INVESTMENT BANK BERHAD	ISD 1	PA 1
8	UOB KAY HIAN SECURITIES (MALAYSIA) SDN BHD	ISD 3	PA 1
9	INTER-PACIFIC SECURITIES SDN BHD	ISD 2	PA 2
10	JP MORGAN SECURITIES (MALAYSIA) SDN BHD	ISD 2	PA 1
11	KENANGA DEUTSCHE FUTURES SDN BHD	ISD 3	PA 2
12	LT INTERNATIONAL FUTURES (M) SDN BHD	ISD 1	PA 1
13	MAYBANK INVESTMENT BANK BHD	ISD 1	PA 3
14	OKACHI (M) SDN BHD	ISD 2	PA 3
15	ORIENTAL PACIFIC FUTURES SDN BHD	ISD 1	PA 2
16	PHILLIP FUTURES SDN BHD	ISD 3	PA 2
17	RHB INVESTMENT BANK BERHAD	ISD 1	PA 2
18	TA FUTURES SDN BHD	ISD 3	PA 3