

A. PERMITTED UPDATES OF ACCOUNT QUALIFIER BY AUTHORISED NOMINEES

	REASON FOR UPDATE	CLARIFICATION / SUPPORTING DOCUMENTS
1.	Rectification of minor mistakes made in declaring the name of the beneficial owner	<p><u>Clarification:</u> This applies to an update of the name of the beneficial owner arising from minor mistakes made in the declaration of the beneficial owner's name as a result of:</p> <p>(a) Authorised nominee's mistake in keying in the name of beneficial owner or error in completing the CDS Account Form (FMN070) for the purpose of updating of the name beneficial owner (as the case may be).</p> <p><i>Supporting Documents :</i></p> <p>(i) Where the beneficial owner is an individual: Photocopy of beneficial owner's NRIC/Passport/Social Security Card/National Health Insurance Card (for foreigners)/Authority Card (for armed forces and police personnel)/equivalent documents or other confirmation of authenticity of beneficial owner's name.</p> <p>(ii) Where the beneficial owner is a company: Photocopy of document(s) evidencing the incorporation or registration of the company, the company's current name and registration number/foreign incorporated company's equivalent of the legal documentation or other confirmation of authenticity of beneficial owner's name.</p> <p>(b) Mistake made by the Authorised Nominee's client in their account opening instructions <i>Supporting Documents :</i></p> <p>(i) Copy of the client instruction and the applicable supporting documents as mentioned above under item (a)-(i)&(ii)</p> <p>(c) Data-entry error made by the ADA/ADM.</p> <p><i>Supporting Documents:</i> Photocopy of the previously submitted FMN010/FMN020/FMN070 that correctly states the name of the beneficial owner or other confirmation of authenticity of beneficial owner's name.</p>
2.	Legal change of beneficial owner's name	<p><u>Clarification:</u> This applies to an update to the name of the beneficial owner arising from a legal change in that beneficial owner's name.</p> <p>Examples: Change of company's name; change of marital</p>

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		<p>status; religious reasons.</p> <p><i>Supporting Documents :</i></p> <p>(iii) Where the beneficial owner is an individual: Photocopy of beneficial owner's NRIC/Passport/Social Security Card/National Health Insurance Card (for foreigners)/Authority Card (for armed forces and police personnel)/equivalent documents or other confirmation of authenticity of beneficial owner's name.</p> <p>(iv) Where the beneficial owner is a company: Photocopy of document(s) evidencing the incorporation or registration of the company, the company's current name and registration number/foreign incorporated company's equivalent of the legal documentation or other confirmation of authenticity of beneficial owner's name.</p>
3.	Change of other information not related to name of beneficial owner	<p><u>Clarification:</u> This applies to an update that results in the change of any information contained in the Account Qualifier other than the name of the beneficial owner. Such changes must conform to the format for account qualifier as prescribed by Depository from time to time.</p> <p>Examples: Intermediary's name; reference code.</p> <p><i>Supporting Documents :</i> None.</p>

B. GUIDELINES TO UPDATE ACCOUNT QUALIFIER FOR EXEMPT AUTHORISED NOMINEES

	EXEMPT AUTHORISED NOMINEES	SUPPORTING DOCUMENTS
1.	Exempt Authorised Nominee (EAN) who are holding securities for Funds	<p>1. A statutory declaration executed by the EAN in the form prescribed in the Statutory Declaration Act 1960, declaring the following:</p> <p>(a) that pursuant to section 25A of Securities Industry (Central Depositories) Act 1991 ("the SICDA"), a declaration was made to Bursa Malaysia</p>

	EXEMPT AUTHORISED NOMINEES	SUPPORTING DOCUMENTS
		<p>Depository Sdn. Bhd. (“Bursa Depository”) that the name of the fund held in securities account number <i>[insert CDS account no.]</i> is <i>[state the full name of the fund]</i>.</p> <p>(b) that the name of the fund given in the above declaration is not correct and that the <i>[Insert the name of the EAN]</i> therefore requests for the name of the fund to be updated to <i>[insert the correct name]</i> (“the Updated Name”). <i>[Provide justification for updating the name of the fund to the Updated Name]</i>.</p> <p>(c) that the application made herein to update the name of the fund for the above securities account is the <i>[state whether the application made is the first. If it isn't, state the relevant number and provide justification why request to update has been made more than once]</i>.</p> <p>(d) that the <i>[insert name of the EAN]</i> verily believes that the Updated Name is correct and understands that any wrong declaration given is a breach of section 25A of the SICDA.</p> <p>(e) That the supporting documents attached to the statutory declaration herein in support of the Updated Name is true and correct and that the same supports the justification in paragraph 9(b) above.</p> <p>2. the supporting documents in relation to paragraph (e) of item 1 shall be any one of the following, relevant in evidencing the Updated Name based on the justification provided in the statutory declaration in paragraph (b) of item 1 above:</p> <p>(a) approval for the change in the name of the fund by the relevant regulatory authorities, evidencing both the existing name of the fund</p>

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		<p>as appearing in the account qualifier field of the securities account stated in paragraph (a) of item 1 above (“Old Name”) and the Updated Name;</p> <p>(b) prospectus of the fund registered with the relevant regulatory authorities demonstrating the change in the name of the fund and evidence of registration of the prospectus with the relevant regulatory authorities;</p> <p>(c) confirmation/approval of the regulatory authorities regulating the fund, (for example the securities commission, financial authority, the central bank) or evidence of the lodgment of any documents with the aforementioned regulatory body, clearly evidencing both the Old Name and the Updated Name.</p> <p>(d) any other relevant documents, which, to the satisfaction of the EAN supports/ evidences the change from the Old Name to the Updated Name.</p> <p>3. All documents submitted in relation to item 2 above, shall be attested by the same person attesting to the statutory declaration in item 1.</p>
2.	All the other categories of Exempt Authorised Nominees	Letter of approval from Depository in relation to changes requested to conform to the naming convention for account qualifier adopted by Depository.

Important note:

- ◆ The authorised nominees are required to submit the above-mentioned supporting documents together with a declaration letter to confirm that there is no change in beneficial ownership.

- ♦ An English translated copy must accompany all documents in foreign language. Translator's information must be stated in the English translated copy.