

## ANNEXURE 1

### AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES CLEARING SDN BHD IN RELATION TO CASH SETTLEMENT OF FAILED ODD LOT CONTRACTS

EXISTING PROVISIONS		AMENDED PROVISIONS	
Rule 5.2	<p><b>DEFAULT IN DELIVERY</b></p> <p>(a) Where a Trading Clearing Participant fails to deliver to the Clearing House by the due date as prescribed under the Exchange Rules, the Clearing House may advise the Exchange to institute buying-in against the Trading Clearing Participant concerned on the Market Day following the due date to the Clearing House in accordance to the Exchange Rules; or</p> <p>(b) <i>The Clearing House may resort to any other mode of making good the default in delivery by the Trading Clearing Participants pursuant to the relevant Exchange Rules; or</i></p>	Rule 5.2	<p><b>DEFAULT IN DELIVERY</b></p> <p>(a) Where a Trading Clearing Participant fails to deliver securities to the Clearing House by the due date <b>and in the manner</b> as prescribed under the Exchange Rules, the Clearing House may,</p> <p>(i) <b>subject to Rule 5.2(b)</b>, advise the Exchange to institute buying-in against the Trading Clearing Participant concerned on the Market Day following the due date to the Clearing House in accordance <b>with</b> the Exchange Rules; or</p> <p>(ii) <b>resort to any other mode of making good the default in delivery by the Trading Clearing Participants pursuant to the relevant Exchange Rules or in any other manner as it considers appropriate in the circumstances.</b></p> <p>(b) [Deleted]</p>

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	<p>(c) <i>Notwithstanding sub-rules (a) and (b) the Clearing House may resort to any other mode of making good the default in delivery by the Trading Clearing Participants as it considers appropriate in the circumstances.</i></p>		<p><b>(b) Where a Trading Clearing Participant, having sold Securities in odd lot (as defined in the Exchange Rules) (“the Odd Lot Securities”) fails to deliver the Odd Lot Securities to the Clearing House by the due date and in the manner as prescribed under the Exchange Rules, the Clearing House shall advise the Exchange of the failure in delivery. The said contract for Odd Lot Securities shall then be settled in the following manner:</b></p> <p><b>(i) The selling Trading Clearing Participant shall instead pay in cash and the buying Trading Clearing Participant shall receive a Cash Settlement Price in lieu of delivery of the Odd Lot Securities ; and</b></p> <p><b>(ii) For the purposes of these Rules the “Cash Settlement Price” means an amount which shall include a levy by way of compensation, and calculated and paid in the manner prescribed by the Clearing House from time to time in its directives.</b></p> <p><b>(c) There shall be no buying-in against the selling Trading Clearing Participant of the Odd Lot Securities on the Market Day following the due date in accordance with the Exchange Rules</b></p>

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			<p>where Rule 5.2(b) applies.</p> <p>(d) In the event the buying Trading Clearing Participant onward sells the Odd Lot Securities in odd lot, and is unable to deliver in full the Securities sold, the buying Trading Clearing Participant may be required to pay a Cash Settlement Price for the total number of Securities sold.</p> <p>(e) The ultimate buying Trading Clearing Participant of the odd lot Securities referred to in Rule 5.2(d) shall receive a Cash Settlement Price in lieu of delivery of the Securities. For the purpose of this Rule, the “ultimate buying Trading Clearing Participant” means the last Trading Clearing Participant who has yet to receive the odd lot Securities and who has not onward sold any part of the odd lot Securities.</p>