

We are Hiring

Senior Manager, Intermediaries Supervision

Key Responsibilities

- Control and monitor the discharge of clearly defined supervisory activities that comprise the regulatory audits and off-site compliance/financial requirements monitoring carried out by team on intermediaries to ensure the functions are discharged in an efficient and effective manner.
- Lead and resolve clearly defined complex issues / request / applications governing regulatory compliance by advising market intermediaries of measures to be implemented to facilitate their compliance with the regulatory objectives of Bursa Malaysia and maintain strong business relationships with the stakeholders of the Exchange.
- Execute the engagement with the market intermediaries and other relevant authorities/parties in the course of fulfilling regulatory supervisory duties in the interest of regulatory compliance including advisory assistance and guidance on variable compliance issues and best practices.
- Conduct analysis of trends, variance, etc., on a variety of complex records and databases maintained by intermediaries, to draw relevant conclusions and prepare the necessary reports from the assessment of such records and databases.
- Develop, implement and continuously improve in an adaptive manner the off-site monitoring and supervision procedures and methods on trends, stress-testing and contingency plans of the Liquidity Risk Management (LRM) framework or other off-site supervision framework and practices to increase the level of compliance and to mitigate systemic risks in the ever-changing securities and derivatives industry.
- Educate market participants on regulatory compliance relating to the contingency plans.

Job Requirements

- Professional qualifications/Bachelor's degree in accounting, IT, finance or economics, law, professional qualification in related disciplines and/ or CISA or CISSP.
- Professional qualification in accounting and/or post graduate studies.
- 3 - 5 years' experience in compliance / audit / enforcement in the securities, derivatives or banking industry, responsible for supervision and monitoring compliance with relevant laws and/or rules.
- Experience in dealing with various authorities of the Malaysian capital market and market intermediaries.
- Comprehensive technical & specialised knowledge of the relevant legislations, Rules & Regulations of Bursa Malaysia Group and hands on experience in administering the Rules & Regulations of Bursa Malaysia Group.

[Click here to apply or email to bmcareers@bursamalaysia.com](mailto:bmcareers@bursamalaysia.com)