

We are Hiring

Manager, Participants Investigation

Job Purpose

Implement, undertake and execute the responsibilities of listing division to provide an efficient platform for equity capital raising whilst ensuring the companies listed on bursa malaysia ("listed issuers") adhere to the high standard of conduct [via compliance with the listing requirements ("lr") and securities industry laws.

Key Responsibilities

- Assist to manage and undertake the investigation process including to procure, review, evaluate and analyse the facts, documents and evidence to ensure they are accurate, adequate, comprehensive, proper and all issues are addressed/resolved to substantiate/establish the breach(es) of the Rules and BMDepo Compensation Claim and enable/facilitate successful enforcement of the breach(es) and proper administration of BMDepo Compensation Claim.
- Assist to undertake the relevant benchmarking studies and draft policies and procedures relating to investigation and enforcement of the Rules to address gaps and ensure that Bursa's enforcement actions and administration of BMDepo Compensation Claim are fair, transparent, consistent, effective, efficient and justifiable in all legal respects for the review of the Head, IED and approval of the relevant approving authority, namely the Chief Regulatory Officer or the relevant Regulatory Committees.
- Liaison with the Securities Commission or other regulatory authorities and stakeholders on issues pertaining to or affecting investigation and enforcement under the Rules including to identify, collate, draft, prepare, review and submit the required information/response/document/report(s) to build relationship of trust and confidence with relevant stakeholders.
- Assist to collate, maintain, review and ensure the accuracy and comprehensiveness of the necessary database/statistics in respect of all cases, enforcement actions, BMDepo Compensation Claim matters and legal/debt recovery action undertaken.

Job Requirements

- Degree Or Professional Qualification In The Field Of Accountancy, Law, Finance, Investment Analysis Or Related Discipline.
- Minimum 4 Years Of Experience In Legal Practice, Investigation, Auditing, Market Analysis And/Or Handling Regulatory/ Enforcement Work In The Capital Market Industry Or Other Financial Services Industry Or Regulatory Environment.
- Sound Knowledge Of Malaysian Capital Market, The Rules, Companies Act, Capital Markets & Services Act And Other Relevant Laws And Regulation.
- Knowledge Of Trading In Securities And Derivatives And Financial Instruments.
- Good Drafting, Interpretative And Analytical Skills.
- Investigative Techniques And Methods And Interviewing Skills (Are An Added Advantage).

[Click here to apply or email to bmcareers@bursamalaysia.com](mailto:bmcareers@bursamalaysia.com)