

We are Hiring

Senior Manager, Participants Investigation and Enforcement

Key Responsibilities

- Assist to manage and undertake the investigation process including to procure, review, evaluate and analyse the facts, documents and evidence to ensure they are accurate, adequate, comprehensive, proper and all issues are addressed/resolved to substantiate/establish the breach(es) of the Rules and BMDepo Compensation Claim and enable/facilitate successful enforcement of the breach(es) and proper administration of BMDepo Compensation Claim.
- Assist to manage, monitor and undertake: -
 - Preparation/drafting and issuance of notices, documents and responses to the Persons which are timely, accurate, adequate and comprehensive with all issues addressed/resolved and able to withstand legal challenges; and
 - Presentation of the enforcement/BMDepo Compensation Claim documents and
 - Advising/providing views and recommendations to the relevant Regulatory Committees¹ for decision and actions.
- Assist to undertake the relevant benchmarking studies and draft policies and procedures relating to investigation and enforcement of the Rules to address gaps and ensure that Bursa's enforcement actions and administration of BMDepo Compensation Claim are fair, transparent, consistent, effective, efficient and justifiable in all legal respects for the review of the Head, IED and approval of the relevant approving authority, namely the Chief Regulatory Officer or the relevant Regulatory Committees.

Job Requirements

- Degree or professional qualification in the field of accountancy, law, finance, investment analysis or related discipline.
- Knowledge in administrative law, corporate law and security industry/laws.
- Minimum 4 years of experience in legal practice, investigation, auditing, market analysis and/or handling regulatory/ enforcement work in the capital market industry or other financial services industry or regulatory environment.
- Experience as an advocate & solicitor in litigation/laws pertaining to corporate/ securities matters, securities offences, breach of directors' duties and experience in market analysis.

[Click here to apply or email to \[bmcareers@bursamalaysia.com\]\(mailto:bmcareers@bursamalaysia.com\)](mailto:bmcareers@bursamalaysia.com)