

REGISTRATION FORM FOR REGISTERED PERSON

Name of General Clearing Participant	
Company Registration Number	
Business Address	

Appointment and Registration of a

We, _____ are desirous of appointing and registering the following person as a:
 _____ of _____ upon the
 terms and subject in all respects to the Rules of the Clearing House.

Name of proposed <i>Registered Person</i> :	
NRIC/Passport:	

In support of this submission, we enclose:

- (1) the respective area and scope of responsibility of each of the
- (2) an undertaking by the proposed Registered Person in the form the Clearing House stipulates.

Yours faithfully,

Signature	
Name of Authorised Person	
Designation	
Date	

UNDERTAKING FOR ADMISSION AS REGISTERED PERSON

In consideration of the Clearing House approving the application for my registration with the Clearing House as a _____ in accordance with the Rules of Bursa Malaysia Derivatives Clearing Berhad ("Rules"), I hereby irrevocably and unconditionally undertake and agree as follows: -

- (1) that I satisfy all the qualification criteria for a _____ set out in Chapter 3 of the Rules;
- (2) that the information and documents accompanying the application for registration as _____ are complete and accurate;
- (3) that the Clearing House may obtain from or provide to any regulatory authority (whether in or out of Malaysia) any information directly or indirectly relating to me for any purpose relating to or in connection with discharging any function of the Clearing House or that regulatory authority;
- (4) to notify the Clearing House immediately in writing of any change in the information given together with the application for admission as a _____;
- (5) that until and unless I have been duly registered by the Clearing House as a _____, not to act in any manner for and on behalf of _____ ;
- (6) to abide by the decision of the Clearing House in relation to the application for my registration as a _____ of _____ ;
- (7) to be bound in all respects by and to comply with the Rules in so far as they apply directly or indirectly to a _____ ;
- (8) to indemnify and keep the Clearing House fully indemnified from and against all losses, damages, costs, expenses, actions and claims or otherwise which the Clearing House may incur arising out of any act or omission on my part or failure by me to comply with any provisions in the Rules; and
- (9) notwithstanding my ceasing to be registered as a _____ with the Clearing House for any reason whatsoever, to continue to be bound by the Rules in so far as they may relate to any act or omission that I may, in the opinion of the Clearing House, have committed or omitted to do during the period of my registration with the Clearing House.

I acknowledge that:

(a) the approval of the application for my registration as a _
with the Clearing House; or

(b) any indulgence or delay in making any demand or instituting any action by the Clearing House,

will not constitute or be deemed to operate as a waiver by the Clearing House of any of its rights under this undertaking or under the Rules.

I further acknowledge that I will remain registered as a _
at the discretion of the Clearing House.

The above undertaking has been signed by me as for and on behalf of the Company as
[specify designation] of the Company pursuant to the authority granted to me by resolution of the board of directors of the Company on

Signature	
Name of Authorised Person	
Designation	
Date	
Company stamp	
In the presence of	

GUIDELINES FOR APPLICATION FOR REGISTERED PERSON

1. All applicants are requested to carefully study the Rules and Directives of Bursa Malaysia Derivatives Clearing Berhad before submitting their application. The Rules and Directives set out the rights and obligations of all Clearing Participants of Bursa Malaysia Derivatives Clearing.
2. **Registration Procedures for Registered Persons**
The General Clearing Participant of the person who is to be registered as a Registered Person is required to make only one submission through the Securities Commission Malaysia's Electronic Application System ("EASy") for the purpose of licensing, approval or registration by Securities Commission Malaysia and registration with Bursa Malaysia Derivatives Clearing Berhad.
3. For the purpose of the registration with Bursa Malaysia Derivatives Clearing Berhad, a General Clearing Participant must submit through the EASy:
 - i. the duly completed Registration Form
 - ii. an undertaking for registration as Registered Person; and
 - iii. If more than 1 Registered Person is appointed by the General Clearing Participant in the areas of overall clearing business, risk management or compliance respectively, a description of the area and responsibility of each Registered Person.
4. By making the submission through the EASy, the General Clearing Participant agrees that although the submission is directed to Securities Commission Malaysia, it forms part of the submission to Bursa Malaysia Derivatives Clearing Berhad. The General Clearing Participant agrees that the relevant information in the submission made through the EASy (together with Bursa Malaysia Derivatives Clearing Berhad's Information) may be transmitted into Bursa Malaysia Derivatives Clearing Berhad's system for approval, upon Securities Commission Malaysia's approval of the application.
5. The applicant shall submit to Bursa Malaysia Derivatives Clearing Berhad the following (these documents must be typewritten):
 - i. Registration Form
 - ii. Undertaking for Admission as Registered Person; and
 - iv. If more than 1 Registered Person is appointed by the General Clearing Participant in the areas of overall clearing business, risk management or compliance respectively, a description of the area and responsibility of each Registered Person.
6. Please submit the above documents to the following: -

Hard Copy/Email Submission

Head, Participants Affairs
Participants Supervision
Regulation
Bursa Malaysia Berhad
11th Floor, Exchange Square
50200 Kuala Lumpur
pa@bursamalaysia.com

General Correspondence

Tel: (603) 2732 4999 or (603) 2034 7000

7. Bursa Malaysia Derivatives Clearing Berhad will send a notification to the General Clearing Participant if the application is approved by Bursa Malaysia Derivatives Clearing Berhad.

8. A General Clearing Participant must retain possession of the original copies of Bursa Malaysia Derivatives Clearing Berhad's Information and must produce such original copies to Bursa Malaysia Derivatives Clearing Berhad at Bursa Malaysia Derivatives Clearing Berhad's request.
9. No Fee is applicable for the registration of the registered person of a General Clearing Participant.
10. **VACANCY OF REGISTERED PERSON (INTERIM)**
The General Clearing Participant must notify Bursa Malaysia Derivatives Clearing Berhad of the identified person under Rule 3.39(2) in the manner determined by Bursa Malaysia Derivatives Clearing Berhad.