

## **INFORMATION ON APPLICATION FOR REGISTRATION AS AN ASSOCIATE PARTICIPANT OF BURSA MALAYSIA DERIVATIVES BERHAD (“BURSA DERIVATIVES”)**

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*All applicants are requested to carefully study the Rules of Bursa Derivatives before submitting their application. The Rules set out the rights and obligations of all Participants of Bursa Derivatives.*

### **1. Requirement**

The applicant must:

- be a Corporation;
- not carry on the business of dealing in derivatives within Malaysia;
- have made arrangement to trade through a Trading Participant;
- be a Clearing Participant or if not, it has entered into an arrangement with a Clearing Participant to clear its contracts which are traded on the Exchange; and
- comply with such financial or other requirement as the Exchange may prescribe.

### **2. Application to the Exchange**

The applicant shall submit to Bursa Derivatives the following:

- i) Cover letter detailing the approvals sought addressed to Bursa Derivatives (D).
- ii) Duly completed Form of Application for registration as an Associate Participant and to be accompanied with:
  - (a) Certified true copies of the constitution, if any, or any other constituent documents, of the applicant company and if any, its corporate shareholders (if any); copies of the applicant's constitution, if any or other constituent documents evidencing the applicant's:
    - (i) directors and shareholders; and
    - (ii) paid-up capital;
  - (b) Notification of change in the Register of Directors, Manager and Secretaries;

### **3. Approval-in-Principle**

Where the application is successful, Bursa Derivatives will grant its approval-in-principle for the registration of the company as Associate Participant of Bursa Derivatives subject to the applicants' meeting among others, the following:

- (i) Submitting to Bursa Derivatives the Letter of Undertaking by Trading Participant
- (ii) Submitting to Bursa Derivatives a duly certified true copy of Agreement between the applicant and its Trading Participant; and
- (iii) The applicant complying with the requirement of the Rules of Bursa

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Derivatives including any directives, notices, circulars, guidelines and schedules issued by Bursa Derivatives and with other laws, rules and procedures that are applicable to it.

#### 4. Fees applicable for Associate Participant

Type	Amount
Registration Fee	RM10,000
Annual Fee	RM1,200

#### 5. Contact

- Head, Participants Affairs  
Participants Supervision  
Regulation  
Bursa Malaysia Berhad
- 11<sup>th</sup> Floor, Exchange Square  
50200 Kuala Lumpur

Tel: (603) 2034 7000, (603) 2034 4999 (General Line)

Fax: (603) 2026 3685, (603) 2732 8362

Website: [www.bursamalaysia.com](http://www.bursamalaysia.com)

e-mail: [IAD@bursamalaysia.com](mailto:IAD@bursamalaysia.com)