

REGISTRATION FORM FOR REGISTERED PERSON

Name of Participant Organisation :

Company Registration Number :

Address:

To: Bursa Malaysia Securities Berhad ("the Exchange")

Dear Sirs,

Appointment and Registration of a Registered Person for Participating Organisation

We, _____, are desirous of appointing and registering the following person as a _____ of _____ upon the terms and subject in all respects to the Rules of Bursa Malaysia Securities (as defined in the Rules of Exchange).

Names of proposed Registered Person:

NRIC :

In support of this submission, we enclose the respective area and scope of responsibilities of each of the _____ and an undertaking by the proposed Registered Person in the form the Exchange stipulates.

Yours faithfully

Signature	
Name of Authorised Person	
Designation	
Date	

**UNDERTAKING FOR ADMISSION AS REGISTERED
PERSON**

In consideration of the Exchange approving the application for my registration with the Exchange as
accordance with the Rules of Bursa Malaysia Securities
Berhad ("Rules"), I hereby irrevocably and unconditionally undertake and agree as follows:

- (1) that I satisfy all the qualification criteria for a
as set out in Chapter 3 of the Rules;
- (2) that the information and documents accompanying the application for registration as a
are complete and accurate;
- (3) that the Exchange may obtain from or provide to any regulatory authority (whether in or out of
Malaysia) any information directly or indirectly relating to me for any purpose relating to or in
connection with discharging any function of the Exchange or that regulatory authority;
- (4) to notify the Exchange immediately in writing of any changes in the information given together with
the application for admission as a _____ ;
- (5) at until and unless I have been duly registered by the Exchange as a
_____, not to act in any manner for and on behalf of
_____ .
- (6) to abide by the decision of the Exchange in relation to the application for my registration as a
of _____ .
- (7) to be bound in all respects by and to comply with the Rules in so far as they apply directly or indirectly
to a _____
- (8) to indemnify and keep the Exchange fully indemnified from and against all losses, damages, costs,
expenses, actions and claims or otherwise which the Exchange may incur arising out of any act or
omission on our part or failure by us to comply with any provisions in the Rules; and
- (9) notwithstanding my ceasing to be registered as a
with the Exchange for any reason whatsoever, to continue to be bound by the Rules in so far as they
may relate to any act or omission that I may, in the opinion of the Exchange, have committed or
omitted to do during the period of my registration with the Exchange.

I acknowledge that:

- (a) the approval of the application for my registration as a with the Exchange; or
- (b) any indulgence or delay in making any demand or instituting any action by the Exchange, Will not constitute or be deemed to operate as a waiver by the Exchange of any of its rights under this undertaking or under the Rules.

I further acknowledge that I will remain registered as a with the Exchange at the discretion of the Exchange.

Signature	
Name of proposed Registered Person	
Designation	
Date	
Company stamp	
In the presence of	

GUIDELINES FOR APPLICATION FOR REGISTERED PERSON

1. All applicants are requested to carefully study the Rules and Directives of Bursa Malaysia Securities Berhad before submitting their application. The Rules and Directives set out the rights and obligations of all Participating Organisation of Bursa Malaysia Securities Berhad.
2. **Registration Procedures for Registered Persons**
The Participating Organisation of the person who is to be registered as a Registered Person is required to make only one submission through the Securities Commission Malaysia's Electronic Application System ("EASy") for the purpose of licensing or approval by Securities Commission Malaysia and registration with Bursa Malaysia Securities Berhad.
3. For the purpose of the registration with Bursa Malaysia Securities Berhad, a Participating Organisation must submit through the EASy:
 - i) the duly completed Registration Form
 - ii) an undertaking for registration as Registered Person; and
 - iii) if more than 1 Head is appointed by the Participating Organisation in the areas of dealing, operations or compliance respectively, a description of the area and responsibility of each Head. (referred to collectively as "Bursa Malaysia Securities Berhad's Information")
4. A Participating Organisation must make payment to Bursa Malaysia Securities Berhad of the non-refundable registration fee.
5. By making the submission through the EASy, the Participating Organisation agrees that although the submission is directed to the Commission, it forms part of the submission to Bursa Malaysia Securities Berhad. The Participating Organisation agrees that the relevant information in the submission made through the EASy (together with Bursa Malaysia Securities Berhad's Information) may be transmitted into Bursa Malaysia Securities Berhad's system for approval, upon the Securities Commission Malaysia's approval of the application.
6. The applicant shall submit to Bursa Malaysia Securities Berhad the following (these documents must be typewritten):
 - i. Registration Form
 - ii. Undertaking for admission as Registered Person
 - iii. Confirmation of Payment

7. Please submit the above documents to the following: -

Hard Copy/Email Submission

Head, Participants Affairs
Participants Supervision
Regulation
Bursa Malaysia Berhad
11th Floor, Exchange Square
50200 Kuala Lumpur
pa@bursamalaysia.com

General Correspondence

Tel: (603) 2732 4999 or (603) 2034 7000

8. Bursa Malaysia Securities Berhad will send a notification to the Participating Organisation if the application is approved by Bursa Malaysia Securities Berhad.
9. A Participating Organisation must retain possession of the original copies of the Exchange's Information and must produce such original copies to Bursa Malaysia Securities Berhad at Bursa Malaysia Securities Berhad's request.

10. **Re-designation**

- (a) Rule 3.52 requires a Participating Organisation to notify Bursa Malaysia Securities Berhad of any re-designation of any of the Participating Organisation's Dealer's Representative between the different categories of Dealer's Representative.
- (b) The following procedures apply in respect of a notification to Bursa Malaysia Securities Berhad of any re-designation of any of the Participating Organisation's Dealer's Representative.

11. Fees for and Registered Person

Descriptions	Application Fees (RM)	Registration Fees (RM)	Annual Subscription Fee (RM)*	Re-designation Fee (RM)
a) Chief Executive Officer	NIL	NIL	NIL	NIL
b) Head of Dealing	NIL	2,500.00	3,600.00	NIL
c) Head of Operations	NIL	NIL	NIL	NIL
d) Head of Compliance	NIL	NIL	NIL	NIL
e) Director	NIL	2,500.00	1,200.00	NIL
f) Dealer's Representative: -Commission Dealer's Representative -Salaried Dealer's Representative	NIL	500.00	NIL	NIL

* The annual subscription fee is payable no later than 31 January of each calendar year.

12. VACANCY OF REGISTERED PERSON (INTERIM)

Pursuant to Rule 3.18(3), the Participating Organisation must notify Bursa Malaysia Securities Berhad in writing of the person assuming responsibility for the functions of the vacated office.