

ANNEXURE 1
AMENDMENTS TO THE RULES OF BURSA MALAYSIA DERIVATIVES BERHAD
Consequential to the Amendments to the Rules of Bursa Malaysia Securities Berhad
to Delete the Term “Dual Licensed Dealer’s Representative”

RULES OF BURSA MALAYSIA DERIVATIVES BERHAD (“BMD RULES”)

AMENDMENTS TO THE TRADING PARTICIPANTS’ DIRECTIVES AND GUIDANCE CONSEQUENTIAL TO THE AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES BERHAD TO DELETE THE TERM “DUAL LICENSED DEALER’S REPRESENTATIVE”

PARAGRAP H	CURRENT PROVISION	PARAGRAP H	AMENDED PROVISION
DIRECTIVE NO. 2.01(2)-003: DIRECTIVE ON SUBMISSION OF PERIODIC REPORTS			
Appendix 3(b)	<p>EXPLANATORY NOTES ON APPENDIX 3(a) – STATEMENT OF FINANCIAL CONDITION (FORM C)</p> <p>I. ASSETS (Line 1 to Line 16)</p> <p>LINE 5 – Receivables from other Trading Participants</p> <p>LINE 5.e – Others</p> <p>This amount should include other receivables such as interest receivable and commission receivable from other Trading Participants. All give-up trade commission and brokerage receivable from Trading Participants should be itemized in Appendix 2. The commission and brokerage receivable which has not been outstanding for longer than 10 business days from computation date should be classified as a permitted asset. This amount should not be netted against the take-up trade commission and brokerage payable reported under LINE 21 of this statement.</p> <p>LINE 21 – Payables to other Trading Participants</p>	Appendix 3(b)	<p>EXPLANATORY NOTES ON APPENDIX 3(a) – STATEMENT OF FINANCIAL CONDITION (FORM C)</p> <p>I. ASSETS (Line 1 to Line 16)</p> <p>LINE 5 – Receivables from other Trading Participants</p> <p>LINE 5.e – Others</p> <p>This amount should include other receivables such as interest receivable and commission receivable from other Trading Participants. All give-up trade commission and brokerage receivable from Trading Participants should be itemized in Appendix 2. The commission and brokerage receivable which has not been outstanding for longer than 10 business days from computation date should be classified as a permitted asset. This amount should not be netted against the take-up trade commission and brokerage payable reported under LINE 21 of this statement.</p> <p>LINE 21 – Payables to other Trading Participants</p> <p>This amount should include the unsegregated cash and net settlement payable, take-up trade commission and brokerage</p>

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DIRECTIVE NO. 8-001: DIRECTIVE ON ADJUSTED NET CAPITAL			
2.1(2)(b)	<p>Permitted Assets</p> <p>(2) Permitted assets are cash and other assets which are commonly identified in accordance with generally accepted accounting principles prevailing as being current assets and are realisable for cash or collectible within 10 Business Days from the computation date, but must exclude:</p> <p>(b) all advances, loans and other receivables which are not secured except:</p> <p>(i) brokerage, fees and commissions which have not been outstanding for longer than 10 Business Days from the due date;</p>	2.1(2)(b)	<p>Permitted Assets</p> <p>(2) Permitted assets are cash and other assets which are commonly identified in accordance with generally accepted accounting principles prevailing as being current assets and are realisable for cash or collectible within 10 Business Days from the computation date, but must exclude:</p> <p>(b) all advances, loans and other receivables which are not secured except:</p> <p>(i) brokerage, fees and commissions which have not been outstanding for longer than 10 Business Days from the due date;</p> <p>(ii) all dividends which have not been outstanding for longer than 10 Business Days from the payable date;</p>

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	<p>(ii) all dividends which have not been outstanding for longer than 10 Business Days from the payable date;</p> <p>(iii) receivables from the Clearing House but not including any asset lodged with the Clearing House in respect of contributions to the clearing fund or as security deposits;</p> <p>(iv) receivables from the Exchange;</p> <p>(v) receivables due in the ordinary course of business from a financial institution licensed by the Central Bank including interest due to be paid on the next Business Day but excluding any other accrued interest;</p> <p>(vi) receivables, other than fees, commission and brokerage, from other affiliates of the Clearing House;</p> <p>(vii) receivables from another clearing house approved by the Exchange;</p>		<p>(iii) receivables from the Clearing House but not including any asset lodged with the Clearing House in respect of contributions to the clearing fund or as security deposits;</p> <p>(iv) receivables from the Exchange;</p> <p>(v) receivables due in the ordinary course of business from a financial institution licensed by the Central Bank including interest due to be paid on the next Business Day but excluding any other accrued interest;</p> <p>(vi) receivables, other than fees, <u>and</u> commission and brokerage, from other affiliates of the Clearing House;</p> <p>(vii) receivables from another clearing house approved by the Exchange;</p> <p>(viii) receivables resulting from the sale of inventories commonly associated with the business activities of the Trading Participants, which in the opinion of the Trading Participant’s auditors, are good for collection; and</p> <p>(ix) such other amounts as may be determined by the Exchange;</p>
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[End of Amendments]