

AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES BERHAD (“BMS RULES”) IN RELATION TO DISCRETIONARY TRADING AND OTHER AMENDMENTS

RULE	EXISTING PROVISIONS	RULE	AMENDED PROVISIONS
1.01	New provision	1.01	<p>Definition</p> <p><u>Discretionary Account</u> <u>An account for trades executed by a Participating Organisation on behalf of a Client pursuant to an arrangement where the Client authorises the Participating Organisation to trade on the Client’s behalf on a discretionary basis.</u></p>
1.01	<p>Definition</p> <p>Fund Management Same meaning as in the Capital Markets and Services Act.</p>	1.01	<p>Definition</p> <p>Fund Management Same meaning as in the Capital Markets and Services Act. <i>[Deleted]</i></p>
1.01	<p>Definition</p> <p>Fund Manager’s Representative A holder of a Capital Markets Services Representative’s Licence for Fund Management.</p>	1.01	<p>Definition</p> <p>Fund Manager’s Representative A holder of a Capital Markets Services Representative’s Licence for Fund Management. <i>[Deleted]</i></p>

RULE	EXISTING PROVISIONS	RULE	AMENDED PROVISIONS
1.01	New provision	1.01	<p>Definition</p> <p><u>Guidelines on Market Conduct and Business Practices for Stockbroking Companies and Licensed Representatives</u> Commission's <u>Guidelines on Market Conduct and Business Practices for Stockbroking Companies and Licensed Representatives.</u></p>
5.16A	New provision	5.16A	<p><u>Discretionary Account</u></p> <p><u>In operating a Discretionary Account, a Participating Organisation or a Dealer's Representative must comply with the following:</u></p> <p>(a) <u>Guidelines on Market Conduct and Business Practices for Stockbroking Companies and Licensed Representatives; and</u></p> <p>(b) <u>the requirements the Exchange prescribes in respect of Discretionary Accounts.</u></p> <p><i>[Refer to Directives No. 2.01(2)-006, 3.36-001 and 5-001]</i></p>

[End of Amendments to the BMS Rules]