

## ANNEXURE 1

AMENDMENTS TO THE ACE MARKET LISTING REQUIREMENTS TO FACILITATE  
OPERATIONS ON A SURPRISE HOLIDAYACE Market Listing Requirements

## CHAPTER 1 DEFINITIONS AND INTERPRETATION

## PART A - DEFINITIONS

## 1.01 Definitions

In these Requirements, unless the context otherwise requires -

market day means a day on which the stock market of the Exchange is open for trading in securities, which may include a Surprise Holiday.

Surprise Holiday means a day that is declared as a public holiday in the Federal Territory of Kuala Lumpur that has not been gazetted as a public holiday at the beginning of the calendar year.

## PART B - INTERPRETATION

## 1.02 Interpretation

- (1) [No change].
- (2) [No change].
- (3) [No change].
- (4) ~~Where an act is required to be done a specified number of "clear market days" before or after a specified date, at least that number of market days must intervene between the day on which the act is done and that date.~~ [Deleted]
- (5) [No change].

*[End of amendments to Chapter 1]*

## CHAPTER 2 GENERAL

## PART B - APPLICATION OF THESE REQUIREMENTS

## 2.05 Obligation to comply

- (1) [No change].
- (2) A listed corporation, its directors, officers, advisers or any other person to whom these Requirements are directed must -
  - (a) comply with these Requirements for so long as the listed corporation or its securities remain listed on the Official List. This applies even during periods when a listed corporation's securities are suspended from trading; and
  - (b) comply with and give effect to these Requirements or to any decision made, conditions imposed, and instruction or directive issued, by the Exchange, within the time stipulated under these Requirements or by the Exchange, as the case may be. This applies even when the stipulated time falls on, or includes, a Surprise Holiday when the Exchange is open for trading in securities.

*[End of amendments to Chapter 2]*

**CHAPTER 6 NEW ISSUES OF SECURITIES****PART D - ADDITIONAL REQUIREMENTS RELATING TO PLACEMENT****6.15 Back-to-back placements**

- (1) [No change].
- (2) A listed corporation which undertakes a back-to-back placement must comply with the following conditions:
  - (a) the listed corporation has an average daily market capitalisation of at least RM500 million in the 3 months ending on the last businessmarket day of the calendar month immediately preceding the date of the placement;
  - (b) [no change]; and
  - (c) [no change].

*[End of amendments to Chapter 6]*

**CHAPTER 16 SUSPENSION, DE-LISTING AND ENFORCEMENT****PART D - ENFORCEMENT****16.20 Cumulative actions or penalties**

If an applicant, a listed corporation or its promoters, specified shareholders, directors, officers, advisers or any other person to whom these Requirements are directed fails to comply with an action or penalty taken or imposed by the Exchange under Rule 16.19, the Exchange has the power to commence enforcement proceedings against such applicant, listed corporation or its promoters, specified shareholders, directors, officers, advisers or any other person to whom these Requirements are directed, and take or impose one or more actions or penalties as provided under Rule 16.19.

**16.21 Other rights**

The exercise of the powers in Rules 16.18 and 16.19 does not in any way prejudice the other rights of the Exchange against an applicant, a listed corporation, a promoter, specified shareholder a director or officer of a listed corporation, an adviser or a person to whom these Requirements are directed.

*[End of amendments to Chapter 16]*

**GUIDANCE NOTE 12**  
**REQUESTS FOR SUSPENSION**

Details		Cross References
Effective date:	3 July 2006	Rule 16.03
Revision date:	3 August 2009, <u>10 January 2023</u>	

1.0 - 7.0 [No change].

**ANNEXURE GN 12-A**

**Request for Suspension Form**  
(paragraph 2.1)

Notes:

- (1) [No change].
- (2) [No change].
- (3) Details of the relevant contact person can be obtained from Bursa's website: [www.bursamalaysia.com/website/bm/contact\\_us.html](https://www.bursamalaysia.com/contact_us)[https://www.bursamalaysia.com/contact\\_us](https://www.bursamalaysia.com/contact_us)
- (4) ~~Kindly fax your request to any one of the following numbers:~~ Kindly submit your request via Bursa LINK system.
  - ~~(a) 03-2072-0676~~
  - ~~(b) 03-2072-0675~~
  - ~~(c) 03-2072-0692~~
  - ~~(d) 03-2072-0689~~

*[End of amendments to Guidance Note 12]*

## GUIDANCE NOTE 15

## LISTING PROCEDURES FOR INITIAL ADMISSION AND PROSPECTUS REGISTRATION

Details		Cross References
Effective date:	3 August 2009	Rules 3.02, 3.12A(2)(a), 3.12D(2)(a), 3.12I(1), 4.06A, 5.02, 8.18 and 15.03
Revision date:	22 September 2011, 3 January 2012, 1 April 2015, 13 July 2015, 2 January 2018, 13 March 2019, 1 January 2022, <u>10 January</u> <u>2023</u>	

1.0 [No change].

## PART I - APPLICATION PROCESS AND PROCEDURES

2.0 - 4.0 [No change].

**5.0 Notification/Advertisement of securities prescribed by the Exchange to be deposited with the Depository**

5.1 In relation to the prescription by the Exchange of the securities of the applicant to be deposited with the Depository pursuant to section 14 of the Securities Industry (Central Depositories) Act 1991 (“**Prescription**”), the applicant must submit to the Exchange either one of the following:

- (a) a written confirmation to the Exchange that the information set out in its register including the addresses of its shareholders are updated and accurate as at a date not more than 14 days before -
  - (i) the issuance date of the prospectus; or
  - (ii) proposed books closing date,

as the case may be, for purposes of notification to its shareholders of the Prescription; or

ANNEXURE 1  
AMENDMENTS TO FACILITATE THE EXCHANGE'S OPERATIONS ON A SURPRISE HOLIDAY

---

- (b) where the applicant is unable to provide the confirmation set out in subparagraph (a) above, payment to the Exchange, as may be prescribed by the Exchange from time to time, for the advertisement charges incurred or to be incurred by the Exchange pursuant to section 14(2) of the Securities Industry (Central Depositories) Act 1991 in relation to the Prescription.

5.2 The applicant must submit the confirmation referred to in paragraph 5.1(a) above ~~3-clear~~4 market days before -

- (a) the issuance date of the prospectus; or
- (b) the proposed books closing date,
- as the case may be.

6.0 - 15.0 [No change].

ANNEXURES GN15-A TO GN15-E [No change].

*[End of amendments to Guidance Note 15]*

**[End of Annexure 1]**