

ACCESS REQUEST TO CERTIFICATION ENVIRONMENT BURSA TRADE SECURITIES 2

Fax and e-mail completed form to CustomerServices, Fax: 603-20722567 email: Customerservices@bursamalaysia.com

Part I ... to be completed in full by the applicant

Organisation (Please mark only one)

Participating Organisation
 Independent Software Vendor
 Information Vendors

Company Name

Fax Number

Company Stamp & Authorised Signatory

Date :

Details of Individual(s) managing and/or conducting the tests on Development Platform

1	<input type="text"/>	Tel	<input type="text"/>	email	<input type="text"/>
2	<input type="text"/>	Tel	<input type="text"/>	email	<input type="text"/>
3	<input type="text"/>	Tel	<input type="text"/>	email	<input type="text"/>

Development Platform Test Period (90 days only) to Request New Extension

Network Connectivity Colocation at Bursa Internet IPsec VPN Other

Peer IP: _____ / Gateway IP: _____

TWO FIX IDs will be provided and if more are needed, state the reason(s) below.

Part II ... for internal use only.

FIX Username	FIX SenderCompID	FIX TargetCompID	FIX SenderSubID	Port No

Work completed by: Date/Time: Signature:

Note: Please resubmit this form, for extension of Fix Certification Environment Test Period

DECLARATION FORM TO ON-BOARD TO BTS2 CERTIFICATION ENVIROMENT

Fax and Email completed form to Customer Service. Email: Customerservice@bursamalaysia.com contact no 03-20265099 Fax: 03-20722567

Part I: Requestor Information

Organisation *(Please mark only one)*

Participating Organisation
 Independent Software Vendor
 Information Vendors
 Others *(please specify)*: _____

Authorized Personnel details:

Name <input style="width: 90%;" type="text"/>	Company Stamp & Authorized Signatory Date: _____
Designation <input style="width: 90%;" type="text"/>	
Email <input style="width: 90%;" type="text"/>	
Tel <input style="width: 20%;" type="text"/> Fax <input style="width: 20%;" type="text"/>	

Website Address

Company Licensee Name

Company Registered Address

Company Registration Number

Requestor to provide Latest Audited Account:
 Yes (attached)
 No
 Others *(please specify)*: _____

Requestor to provide list of Board Members and Senior Management:
 Yes (attached)
 No
 Others *(please specify)*: _____

Part 2: Details of Requests

Purpose of request	<input style="width: 90%;" type="text"/>
Purpose of System	<input type="checkbox"/> Broker Assisted <input type="checkbox"/> Internet <input type="checkbox"/> Market Maker <input type="checkbox"/> Algorithm <input type="checkbox"/> VBIP/LP/DMA (Sponsorship) <input type="checkbox"/> Others <i>(please specify)</i> : _____
Certification status with other Exchanges	*Explanatory Notes VBIP (Volume Based Incentive Program) - LP (Liquidity Provider) - DMA (Direct Market Access) <input type="checkbox"/> Yes <i>(please specify Name & Certification Date)</i> : _____ <input type="checkbox"/> No
Specify Client's/Trader's Information (Who will be using the System)	<input style="width: 90%;" type="text"/>

Part 3: Declaration by Requestor

I as the Authorised Signatory of the Requestor, represent that I am authorized to agree and do hereby agree to the following, on behalf of the Requestor:

- (i) Bursa reserves the right to request for Requestor to disclose their client's information as well as information relating to the users of the Requestor's clients' services. The Requestor agrees to obtain and disclose information relating to its clients and users of the Requestor's clients' services where requested by Bursa Malaysia Berhad and shall ensure that it has obtained the necessary consents/authorization to disclose such information to Bursa Malaysia Berhad.
- (ii) The Requestor is fully aware of all the Modules available for certification under the Bursa Certification Process and hereby confirm that it is fully aware of the risk of not obtain certification on any one of the Modules during the certification process. Any decision by the Requestor to not obtain certification in any one of the Modules must be justified by the Requestor in writing to Bursa Malaysia Berhad
- (iii) If there is no client on-boarded on a certified OMS for a period of 12 month, re-certification will be required to be performed by the Requestor. Bursa Malaysia Berhad reserves the right to remove the Requestor from the list of Certified Software Vendor's if it fails to perform re-certification.