

THE REGISTRATION PROCEDURES FOR REGISTERED PERSON

The Trading Participant of the person who is to be registered as a Registered Person is required to make only one submission through the Commission's Electronic Licensing Application ("ELA") System for the purpose of licensing or approval by the Commission.

Upon the approval from the Commission, for the purpose of the registration with the Exchange, a Trading Participant must submit the followings:

- (a) the completed cover letter to the Exchange;
- (b) an Undertaking – Registered Person (all categories);
- (c) copies of approval from the Commission
- (d) non-refundable registration fee prescribed by the Exchange (if any)