

# **BTS 2 – Technical Guide #1**

---

## **Direct Business Transactions (Negotiated Deals)**

## **DBT Scenarios**

1. Inter-broker : Dealer A and B from TWO different POs
2. Intra-broker : Dealer A and B from the same PO but TWO different Systems MemberCodes
3. Intra-broker : Dealer A and B from the same Participating Organisations and same Systems MemberCode

## Important Details Prior to Submission of DBT

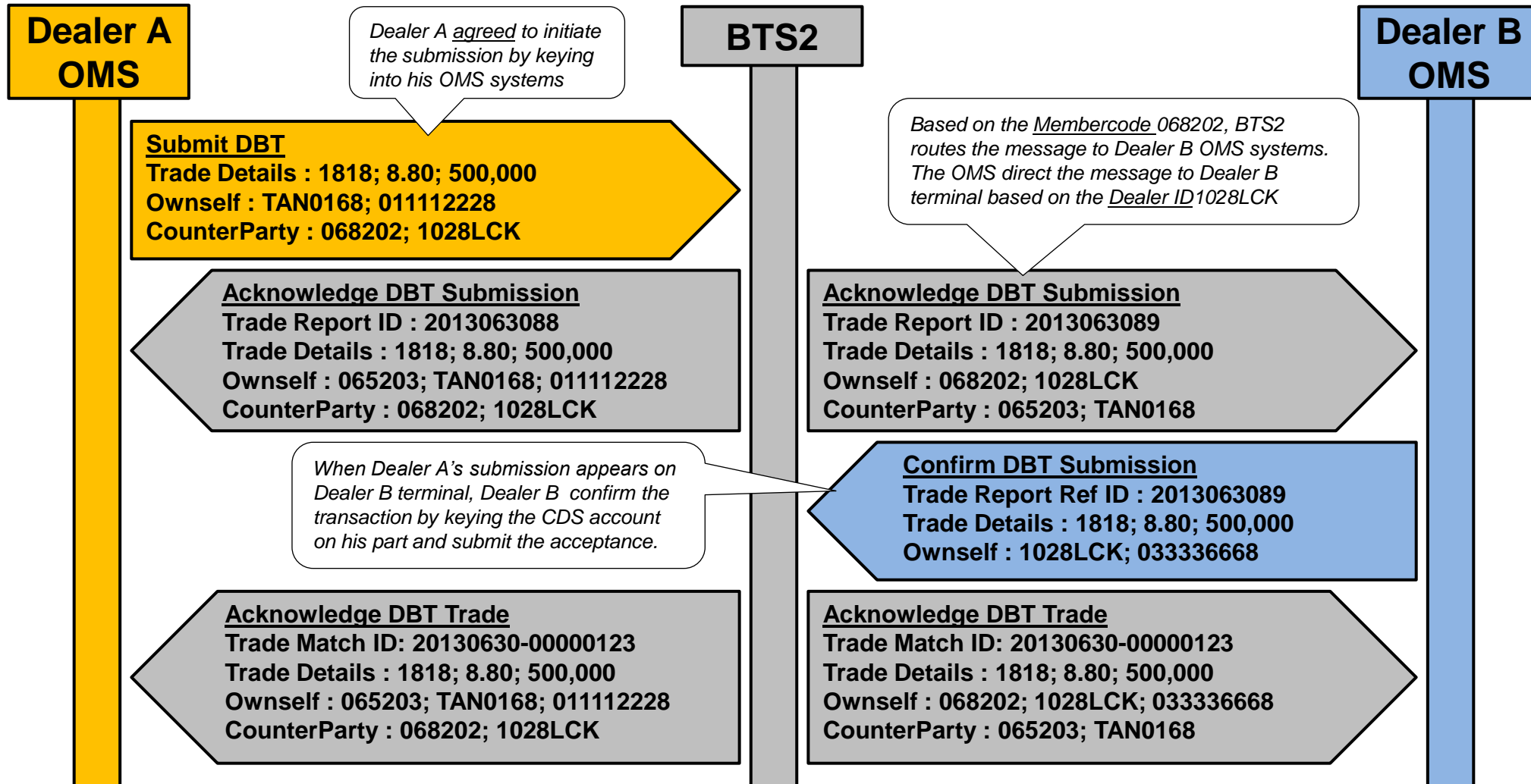
	Description
<b>Trade Details</b>	<ul style="list-style-type: none"> <li>• Securities</li> <li>• Agreed Price &amp; Quantity</li> <li>• CDS Account(s)</li> </ul>
<b>Systems Membercode</b>	<p>Every systems capable of submitting DBTs must have a Systems Membercode (eg. 065201, 098203 and 035202). BTS2 trading engine requires Membercodes of both parties for the purpose of routing the trade messages. It is advisable to have the Systems Membercode displayed on the DBT entry screen.</p>
<b>Dealer ID</b>	<p>Upon receiving trade messages from the BTS2 trading engine, every OMS will rely on the Dealer ID to route the trade to the right dealer's screen.</p>
<b>Order Entry Sequence</b>	<p>1<sup>st</sup> Step : Seller to submit the DBT 2<sup>nd</sup> Step : Buyer to confirm</p>

## DBT Scenario #1 : Inter-Broker

	Description
<b>Trade Details</b>	<ul style="list-style-type: none"><li>• Securities : 1818</li><li>• Agreed Price &amp; Quantity : RM8.80 for 500,000 shares</li><li>• CDS Account (s)</li><li>• Dealer A (Seller) : 011112228</li><li>• Dealer B (Buyer) : 033336668</li></ul>
<b>Systems Membercode</b>	<ul style="list-style-type: none"><li>• Dealer A (Seller) : 065203</li><li>• Dealer B (Buyer) : 068202</li></ul>
<b>Dealer ID</b>	<ul style="list-style-type: none"><li>• Dealer A (Seller) : TAN0168</li><li>• Dealer B (Buyer) : 1028LCK</li></ul>

Note : Seller to submit the DBT, while Buyer to confirm

# DBT Scenario #1 : Inter-Broker

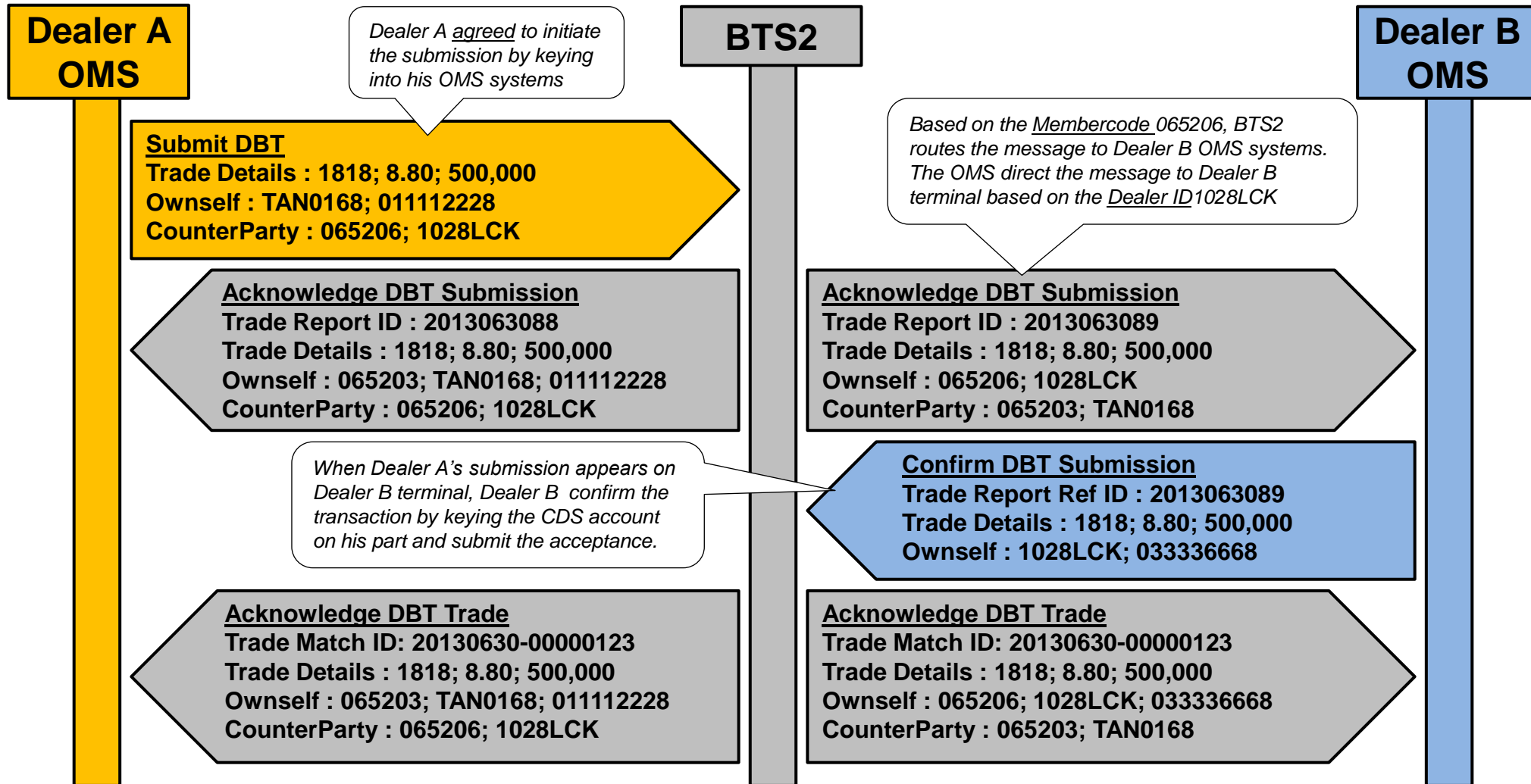


## DBT Scenario #2 : Intra-Broker, Different Systems

	Description
<b>Trade Details</b>	<ul style="list-style-type: none"><li>• Securities : 1818</li><li>• Agreed Price &amp; Quantity : RM8.80 for 500,000 shares</li><li>• CDS Account (s)</li><li>• Dealer A (Seller) : 011112228</li><li>• Dealer B (Buyer) : 033336668</li></ul>
<b>Systems Membercode</b>	<ul style="list-style-type: none"><li>• Dealer A (Seller) : 065203</li><li>• Dealer B (Buyer) : 065206</li></ul>
<b>Dealer ID</b>	<ul style="list-style-type: none"><li>• Dealer A (Seller) : TAN0168</li><li>• Dealer B (Buyer) : 1028LCK</li></ul>

Note : Seller to submit the DBT, while Buyer to confirm

## DBT Scenario #2 : Intra-Broker, Different Systems



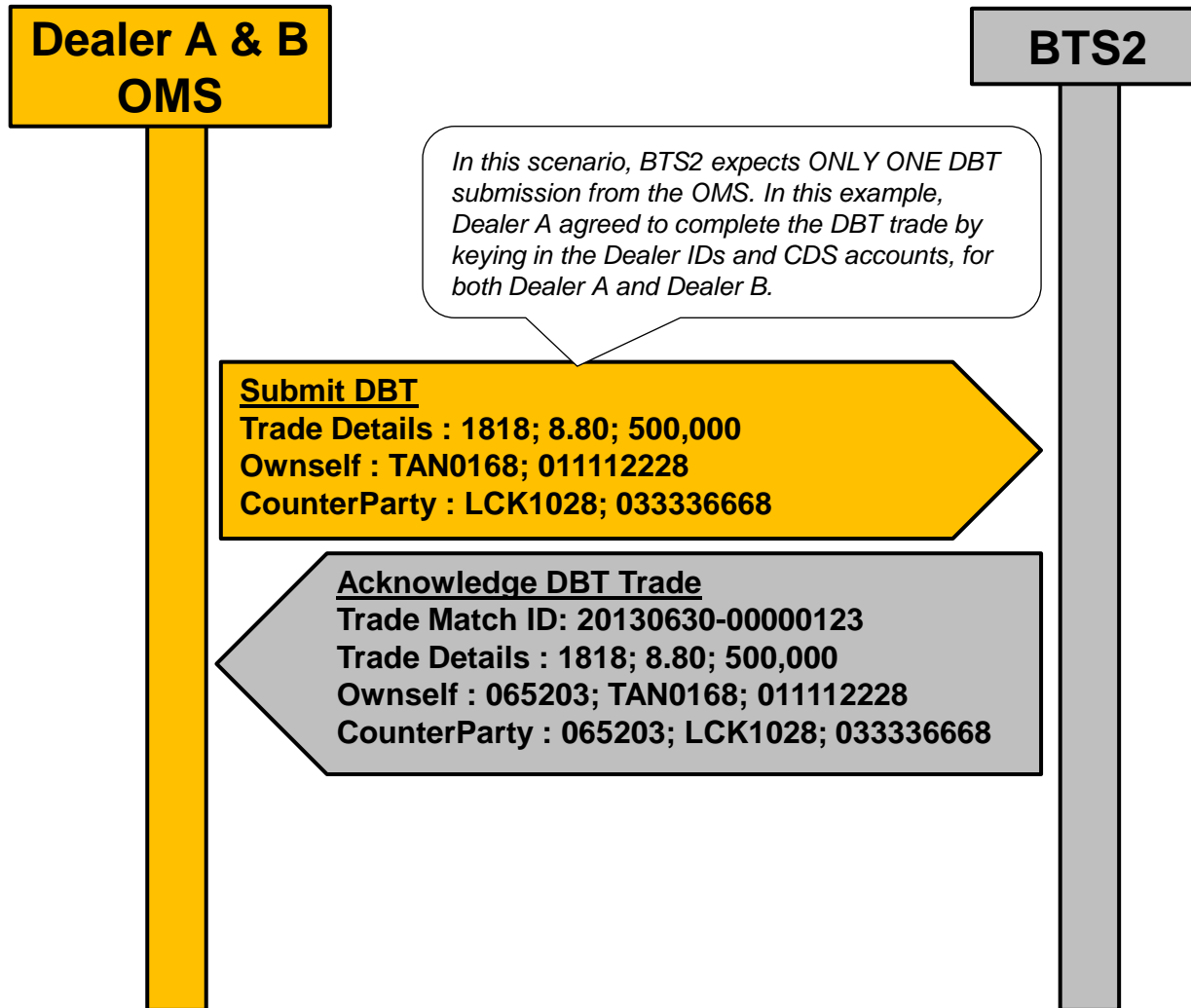
## DBT Scenario #3 : Intra-Broker, Same Systems

	Description
<b>Trade Details</b>	<ul style="list-style-type: none"><li>• Securities : 1818</li><li>• Agreed Price &amp; Quantity : RM8.80 for 500,000 shares</li><li>• CDS Account (s)</li><li>• Dealer A (Seller) : 011112228</li><li>• Dealer B (Buyer) : 033336668</li></ul>
<b>Systems Membercode</b>	<ul style="list-style-type: none"><li>• Dealer A (Seller) : 065203</li><li>• Dealer B (Buyer) : 065203</li></ul>
<b>Dealer ID</b>	<ul style="list-style-type: none"><li>• Dealer A (Seller) : TAN0168</li><li>• Dealer B (Buyer) : LCK1028</li></ul>

Note : Seller to submit the DBT for both buy and sell



## DBT Scenario #3 : Intra-Broker, Same Systems



**End of Guide**