

NEGOTIATED LARGE TRADE (NLT) FACILITY TRADE REGISTRATION FORM

In accordance with the requirements of the **Bursa Malaysia Derivatives Bhd**, we hereby advise that the following NLT transaction has been arranged: -

1. DETAILS OF THE FUTURES CONTRACTS

Date:

Futures Contract Code:

Delivery Month(s):Futures Price(s):

Number of Lots:

Name of Reporting Selling Trading Participant:

Seller 1 (Trading Participant's client):

Seller 2 (Client of Trading Participant's client) if above is omnibus account:

Name of Reporting Buying Trading Participant:

Buyer 1 (Trading Participant's client):

Buyer 2 (Client of Trading Participant's client) if above is omnibus account:

DETAILS OF THE OPTIONS CONTRACTS

Date:

Options Contract Code:

Delivery Month(s):

Exercise Price(s):

Call/Put:

Options Price(s):

Number of Lots:

Name of Reporting Selling Trading Participant:

Seller 1 (Trading Participant's client):

Seller 2 (Client of Trading Participant's client) if above is omnibus account:

Name of Reporting Buying Trading Participant:

Buyer 1 (Trading Participant's client):

Buyer 2 (Client of Trading Participant's client) if above is omnibus account:

We declare that we have obtained written confirmation from the clients stating that the account requirements set out in section 16.2.2 of the Trading Manual have been complied with and the above transaction is made between:

- (a) Accounts which belong to different beneficial owners; or
- (b) Accounts which are under separate control

We declare further that the above transaction was arranged in accordance with the Rules of the Bursa Malaysia Derivatives Bhd and acknowledge that registration of the futures/options contract is subject to the Rules of Bursa Malaysia Derivatives Clearing Bhd.

We declare (please mark one below):

This is a strategy combination

This is not a strategy combination

STRATEGY COMBINATION DELTA

Delta Value:

Number of Futures Contracts against number of Options Contracts:

Note: To complete if it is NLT for strategy combination. Delta value must correspond with the number of Options and Futures contracts i.e. Futures /Options = delta value

*Selling Trading Participant
Authorised Signature & Co. Stamp*

*Buying Trading Participant
Authorised Signature & Co. Stamp*

Note: After submitting the NLT Facility Trade Registration form to the Exchange, Trading Participant is to liaise with Clearing Participant for the Clearing Participant to immediately create the pending NLT in Derivatives Clearing System (DCS) and before market close. Clearing and Settlement Operations will not register the NLT if it is created after market close.

2. To be completed by Clearing Participants

We declare that we have obtained written confirmation from the clients stating that the position limits for the relevant contracts which are applicable pursuant to the Rules of Bursa Malaysia Derivatives Bhd will not be breached from the effecting of this NLT transaction.

Selling Clearing Participant
Authorised Signature & Co. Stamp

Buying Clearing Participant
Authorised Signature & Co. Stamp

Exchange & Clearing House Use Only

3. Exchange Operations (EO)

Complete list of documents received by: _____ Time
received: _____

4. Risk Management

Verified by: _____

5. Clearing and Settlement Operations

Registered by: _____

Trade Ref. No. : _____

Approved by: _____
Head Operations & Risk Management or delegate