



NOTIFICATION OF EFRP TRANSACTION FORM

In accordance with the requirements of the **Bursa Malaysia Derivatives Bhd**, we hereby advise that the following EFRP transaction has been arranged: -

1. DETAILS OF THE FUTURES CONTRACTS

Date:

Futures Contract Code:

Delivery Month(s):

Futures Price(s):

Number of Lots:

Name of Reporting Selling Trading Participant:

Seller 1 (Trading Participant's client):

Seller 2 (Client of Trading Participant's client) if above is omnibus account:

Name of Reporting Buying Trading Participant:

Buyer 1 (Trading Participant's client):

Buyer 2 (Client of Trading Participant's client) if above is omnibus account:

2. DETAILS OF RELATED POSITION LEG TRANSACTION

Date:

Instrument:

Price/Rate:

Amount/Quantity:

Tenor:

Seller 1 (Trading Participant's client):

Seller 2 (Client of Trading Participant's client) if above is omnibus account:

Buyer 1 (Trading Participant's client):

Buyer 2 (Client of Trading Participant's client) if above is omnibus account:

3. NUMBER OF FUTURES CONTRACTS

Type of Ratio *(if applicable)*:

Calculation of No. of Futures Contract

4. To be completed by Trading Participants

We declare that we have obtained written confirmation from the clients stating that the account requirements set out in section 11.3.5 of the Trading Manual have been complied with and the above transaction is made between:

- (a) Accounts which belong to different beneficial owners; or
- (b) Accounts which are under separate control

We declare further that the above transaction was arranged in accordance with the Rules of the Bursa Malaysia Derivatives Bhd and acknowledge that registration of the futures contract is subject to the Rules of Bursa Malaysia Derivatives Clearing Bhd.

Selling Trading Participant
Authorised Signature & Co. Stamp

Buying Trading Participant
Authorised Signature & Co. Stamp

5. To be completed by Clearing Participants

We declare that we have obtained written confirmation from the clients stating that the position limits for the relevant contracts which are applicable pursuant to the Rules of Bursa Malaysia Derivatives Bhd will not be breached from the effecting of this EFRP transaction.

Selling Clearing Participant
Authorised Signature & Co. Stamp

Buying Clearing Participant
Authorised Signature & Co. Stamp

Exchange & Clearing House Use Only

6. Exchange Operations (EO)

Complete list of documents received by: _____ Time received: _____

7. Risk Management

Verified by: _____

8. Clearing and Settlement Operations

EFRP registered by: _____

Trade Ref. No. : _____

9. Approved by: _____

Head Operations & Risk Management or delegate

Time : _____ Date: _____

LETTER OF INTENTION TO DO EXCHANGE FOR RELATED POSITIONS (EFRP) TRANSACTION

In accordance with the requirements of the **Bursa Malaysia Derivatives Bhd.**, we hereby advise the intention to do the following EFRP transaction: -

1. DETAILS OF THE FUTURES CONTRACTS

Date:

We buy/sell* (*delete whichever is not applicable)

Futures Contract Code:

Delivery Month(s):

Futures Price(s):

Number of Lots:

Name of Counterparty:

2. DETAILS OF RELATED POSITION LEG TRANSACTION

Date:

We buy/sell* (*delete whichever is not applicable)

Instrument:

Price/Rate:

Amount/Quantity:

Tenor:

Name of Counterparty:

3. NUMBER OF FUTURES CONTRACTS

Type of Ratio *(if applicable)*:

Calculation of No. of Futures Contract

"I / We * understand that the function of Bursa Malaysia Derivatives Bhd (the "Exchange") in respect of the physical transfer between the parties to this physical contract and/or the parties intending to enter into this physical contract as mentioned hereinabove, shall be limited to verification of proof of intention before approval of the exchange for related positions ("EFRP").

I / We * further undertake that the responsibility, risks and enforcement of settlement of this physical contract/intention to contract shall lie with me/us and the counter party to this physical contract/intention to contract.

I/We shall not hold the Exchange liable for any loss or damage caused to me/us in relation to this physical contract/intention to contract for the relevant underlying instrument after completion of the EFRP exercise by the Exchange.

We declare that the above transaction was arranged in accordance with the Rules of the Exchange and acknowledge that registration of the futures contract is subject to the Rules of the Bursa Malaysia Derivatives Clearing Bhd.

4. To be completed by party to the EFRP

Name & Address

Authorised Signature & Co. Stamp

Exchange Use Only

5. Exchange Operations (EO) Section

Time received: _____

Letter of Intention to do EFRP verified against Notification of EFRP Transaction Form
