

## Market Misconduct and Financial Fraud in Mobile Transformation Era

An education program was held at the Sime Darby Convention Centre on 12 October 2016 entitled “Market Misconduct and Financial Fraud In Mobile Transformation Era”.

This program was organised by Bursa Malaysia in collaboration with the Association of Stockbroking Companies Malaysia (ASCM) for Participating Organisations and Trading Participants to share various concerns and issues on market misconduct and financial fraud in the industry.

The education program which was attended by 176 participants covered the latest updates to the Anti-Money Laundering, Anti-Terrorism Financing And Proceeds Of Unlawful Activities Act 2001 (AMLATFPUAA of 2001), Capital Market Services Act 2007 (CMSA 2007) / Bursa Rules on market misconduct activities, financial fraud and Know Your Clients (KYC), and their implementation on the Malaysia Capital Market. It was designed for financial services professionals in managing AML/CFT risk by implementing risk based approach and effective customer due diligence in the mobile transformation era.



Among the speakers during the panel discussion: From left to right - Dr Ch'ng Huck Khoon, founder CHK Consultancy, Mr Wong Yoke Weng Deputy MD, Securities AffinHwang Investment Bank Berhad and Mr Ng G-Ming SVP and Head, Intermediaries Supervision Bursa Malaysia Berhad.