

**AMENDMENTS TO THE RULES OF BURSA MALAYSIA DEPOSITORY SDN. BHD.  
PERTAINING TO DEPOSIT OF SECURITIES OF UNLISTED PUBLIC COMPANIES  
INTO THE CENTRAL DEPOSITORY SYSTEM**

Rule	Existing Rules	Amended Rules
Rule 1.01	<p><b>Rule 1.01 Definition:</b></p> <p>New definition</p>	<p><b>Rule 1.01 Definition:</b></p> <p>“unlisted securities” means securities which have been approved by the Depository for deposit in accordance with Rule 17.07(3).</p>
Rule 6.01	<p><b>Rule 6.01 Prescribed securities:</b></p> <p><i>No authorised depository agent shall accept securities to be deposited with the Depository other than prescribed securities.</i></p>	<p><b>Rule 6.01 Prescribed securities and unlisted securities:</b></p> <p><b>Securities accepted for deposit: All authorised depository agents shall accept prescribed securities and unlisted securities to be deposited with the Depository provided always that the requirements for deposit as stipulated in Rule 6.04 have been fully complied with.</b></p>
Rule 6.02	<p><b>Rule 6.02 Unlisted securities:</b></p> <p><i>Unless and until express provisions have been made by the Depository under these Rules, only securities listed or proposed to be listed for quotation on the official list of the Stock Exchange shall be deposited with the Depository.</i></p>	Deleted

Rule	Existing Rules	Amended Rules
Rule 6.03	<p><b>Rule 6.03      Deposit date:</b></p> <p>Prescribed securities <i>may</i> be deposited with the authorised depository agents with or through whom securities accounts are maintained from the deposit date.</p>	<p><b>Rule 6.03      Deposit Period:</b></p> <p>(1)      <b>Prescribed securities:</b> Prescribed securities <b>shall</b> be deposited with <b>the Depository via</b> the authorised depository agents with or through whom securities accounts are maintained from the deposit date.</p> <p>(2)      <b>Unlisted securities:</b> Unlisted securities of an issuer may be deposited at any time and from time to time with the Depository via the authorised depository agents with or through whom securities accounts are maintained on or after the date the Depository prescribes such securities of the issuer as being eligible for deposit.</p>
Rule 6.04	<p><b>Rule 6.04      Procedures for deposits:</b></p> <p>(1)      <b>One deposit form for each type of security:</b> When a depositor lodges with an authorised depository agent, two or more types of prescribed securities to be deposited with the Depository, the agents shall ensure that the depositor completes separate prescribed form for each type of security.</p> <p>(2)      <b>Documents to lodge:</b> It shall be the responsibility of the authorised depository agent, in processing a deposit of scrip lodged by a depositor (hereinafter in this chapter such deposit is referred to as a "Deposit"), to check and ensure the completeness, accuracy and genuineness of the documents lodged as follows-</p> <p>(a)      the prescribed form (deposit request), if any, fully and properly completed in triplicate;</p>	<p><b>Rule 6.04      Procedures for deposits:</b></p> <p>(1)      <b>One deposit form for each type of security:</b> When a depositor lodges with an authorised depository agent, two or more types of prescribed <b>or unlisted</b> securities to be deposited with the Depository, the agents shall ensure that the depositor completes a separate prescribed form for each type of security.</p> <p>(2)      (No change)</p>

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	<p>(b) that the scrips and instruments of transfer to be deposited are “good for delivery” and that such scrips are those mentioned in the deposit request form; and</p> <p>(c) such other accompanying documents duly processed in such manner as the Depository may, from time to time, determine in its Procedures Manual.</p> <p>A fee prescribed in Part VII of these Rules shall be collected by the authorised depository agent on behalf of the Depository when a depositor submits the above documents.</p> <p>(2A) (a) Deleted</p> <p>(b) <b>Moratorium securities:</b></p> <p>(i) Moratorium securities shall be deposited into the securities account of the person whose name appears on the scrip unless permitted otherwise by the Commission and the securities shall be placed under suspense.</p> <p>(ii) All moratorium securities deposited pursuant to Rule 6.04(2A)(b)(i), shall, in the securities account be designated as moratorium securities and the designation shall be removed after the expiry of then moratorium period upon confirmation by the issuer. .</p> <p>(3) <b>“good for delivery”:</b> For the purposes of this <i>Rule</i>, a scrip and the instrument of transfer is good for delivery if-</p>	<p>(2A) (No change)</p> <p>(3) <b>“good for delivery”:</b> For the purposes of this <b>chapter</b>, a scrip and the instrument of transfer is good for delivery if-</p>

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	<p>(a) the scrip has not been reported lost or stolen at the time of deposit;</p> <p>(b) the scrip has been issued in accordance with section 100(2) of the Companies Act 1965; and</p> <p>(c) the instrument of transfer has been duly executed by the transferor and duly witnessed by a person other than the transferor's spouse and presented with such other documents, if any, as may be required by the issuer concerned to enable lawful registration of the transfer to be effected notwithstanding that the transferee's portion of the instrument of transfer (Part II of Form 32A of Schedule 2 of the Companies Act, 1965) be left uncompleted (blank).</p>	<p>(a) the scrip has not been reported lost or stolen at the time of deposit;</p> <p>(b) the scrip has been issued in accordance with section 100(2) of the Companies Act 1965; and</p> <p>(c) the instrument of transfer has been duly executed by the transferor and duly witnessed by a person other than the transferor's spouse and presented with such other documents, if any, as may be required by the issuer concerned to enable lawful registration of the transfer to be effected notwithstanding that the transferee's portion of the instrument of transfer (Part II of Form 32A of Schedule 2 of the Companies Act, 1965) be left uncompleted (blank).</p>
Rule 6.05	<p><b>Rule 6.05 Processing of deposits:</b></p> <p>(1) <b>Processing period:</b> Any information relating to a deposit shall be recorded by the computer system if the appropriate entries are made by an authorised depository agent before 12.30 p.m. on any date of lodgement (D). All entries entered in after 12.30 p.m. on (D) shall be updated by the computer system on the following market day.</p> <p>(1A) <b>Lodging period:</b> All deposits of scrips lodged by a depositor with an authorised depository agent before 12.00 p.m. on any market day shall be entered by the authorised depository agent into the computer system before 12.30 p.m. on the same market day.</p> <p>(1B) <b>Securities account:</b> An authorised depository agent shall ensure that the entries for deposits are only made into the securities</p>	<p><b>Rule 6.05 Processing of deposits:</b></p> <p>(1) (No change)</p> <p>(1A) (No change)</p> <p>(1B) (No change)</p>

Rule	Existing Rules	Amended Rules
	<p>account of the beneficial owners or the authorised nominees of the deposited securities.</p> <p>(2) <b>Depository to execute as transferee:</b> All documents required with respect to a deposit shall be forwarded by the agent duly processed (with the transferee part of the instrument of transfer duly certificated and signed by the agent in favour of the Bursa Malaysia Depository Nominees Sdn. Bhd.) to the Depository.</p> <p>(3) <b>Time period for delivery:</b> Where an authorised depository agent is located within the Kuala Lumpur-Klang Valley, the authorised depository agent shall deliver the necessary documents relating to a deposit to reach the Depository by 4.00 p.m. on (D) itself. All other authorised depository agents located outside of the Kuala Lumpur-Klang Valley shall follow the guidelines for delivery as stated in the Procedures Manual.</p> <p>(4) <b>Delivery to the issuer:</b> All necessary documents relating to a deposit shall, after proper processing by the Depository, be delivered to the appropriate issuer or its company registrar, as the case may be, by (D+2). It shall be the responsibility of the Depository to ensure that such documents are delivered safely to the issuer or its company registrar, as the case may be, and on time.</p>	<p>(2) <b>Depository to execute as transferee:</b> All documents required with respect to a deposit <b>of prescribed and unlisted security</b> shall be forwarded by the <b>authorised depository</b> agent duly processed (with the instrument of transfer <b>and in the case of prescribed security only</b> the transferee part duly certificated and signed by the <b>authorised depository</b> agent in favour of the Bursa Malaysia Depository Nominees Sdn. Bhd.) to the Depository.</p> <p>(3) (No change)</p> <p>(4) (No change)</p>
Rule 6.06	<p><b>Rule 6.06      <i>Securities in suspense:</i></b></p> <p>Where securities are deposited with the Depository <i>or an authorised depository agent</i> under these Rules, pending verification by the issuers</p>	<p><b>Rule 6.06      <i>Status of securities pending verification:</i></b></p> <p>(1) <b>Securities in suspense:</b> Where <b>prescribed</b> securities are deposited with the Depository under these Rules, pending</p>

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	<p>pursuant to <i>section 18 of the Act</i>, such securities shall be credited into the securities accounts of the respective depositors and designated as “securities in suspense” However, during the transitional period, the Depository is authorised to utilise such securities in suspense belonging to a selling depositor for settlement purposes subject to any buying-in requirements under the Rules of the Stock Exchange.</p>	<p>verification by the issuers pursuant to <b>Rule 18.03</b>, such securities shall be credited into the securities accounts of the respective depositors and designated as “securities in suspense” However during the transitional period, the Depository is authorised to utilise such securities in suspense belonging to a selling depositor for settlement purposes subject to any buying-in requirements under the Rules of the Stock Exchange.</p> <p><b>(2) Depositor not to perform Depositor’s Transactions: Where unlisted securities are deposited with the Depository under these Rules, pending verification by the issuers pursuant to Rule 18.03, no depositor’s transactions as defined under Rule 25.04(2) shall be allowed with respect to the unlisted securities and such additional securities credited as the case may be, arising from any corporate actions in relation to the unlisted securities, notwithstanding the crediting of those securities into the securities accounts of the respective depositors.</b></p>
Rule 6.11	<p><b>Rule 6.11 Rejected deposits:</b></p> <p>Documents relating to a deposit which have been rejected by the Depository and listed in the Rejection Covering Letter and Report issued by the Depository shall, unless withheld for investigation purposes, be returned as “Rejected Securities” and the securities <i>in suspense</i> in respect thereof shall be debited from the securities account of the relevant depositor.</p>	<p><b>Rule 6.11 Rejected deposits:</b></p> <p>Documents relating to a deposit which have been rejected by the Depository and listed in the Rejection Covering Letter and Report issued by the Depository shall, unless withheld for investigation purposes, be returned as “Rejected Securities” and the securities in respect thereof shall be debited from the securities account of the relevant depositor.</p>
Rule 7.01	<p><b>Rule 7.01 Prohibitions:</b></p> <p><i>A depositor shall not withdraw</i> deposited securities from the Depository save and except in the following circumstances -</p>	<p><b>Rule 7.01 Prohibitions:</b></p> <p><b>Withdrawals of</b> deposited securities from the Depository <b>are prohibited</b> save and except in the following circumstances -</p>

Rule	Existing Rules	Amended Rules
	<ul style="list-style-type: none"> <li>(a) to facilitate share buy back;</li> <li>(b) to facilitate conversion of debt securities;</li> <li>(c) to facilitate company restructuring process;</li> <li>(d) to facilitate rectification of error;</li> <li>(e) where a body corporate is removed from the official list of a stock exchange;</li> <li>(f) in any other circumstances determined by the Depository from time to time, after consultation with the Securities Commission.</li> </ul>	<ul style="list-style-type: none"> <li>(a) to facilitate share buy back;</li> <li>(b) to facilitate conversion of debt securities;</li> <li>(c) to facilitate company restructuring process;</li> <li>(d) to facilitate rectification of error;</li> <li>(e) where a body corporate is removed from the official list of a stock exchange;</li> <li><b>(f) where such securities are unlisted securities; or</b></li> <li><b>(g)</b> in any other circumstances determined by the Depository from time to time, after consultation with the Securities Commission.</li> </ul>
Rule 7.01A	New Rule	<p><b>Rule 7.01A Processing of withdrawal of securities:</b></p> <p><b>All requests for withdrawal of securities pursuant to Rule 7.01 shall be made and processed in accordance with these Rules and directions issued by the Depository.</b></p>
Rule 7.10	New Rule	<p><b>Rule 7.10 No withdrawals during corporate actions, etc:</b></p> <p><b>The Depository may from time to time instruct any authorised depository agent to refrain from accepting any request for withdrawal pursuant to Rule 7.01 –</b></p> <ul style="list-style-type: none"> <li><b>(a) for a specified period of time prior to and inclusive of the lodgment date as specified in directions issued by the</b></li> </ul>

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		<p><b>Depository in relation to making of dividends or rights issue or bonus issue or any other rights or options under Chapter 21.01 of these Rules or share split or consolidation or capital restructuring under Chapter 22.0 of these Rules;</b></p> <p><b>(b) for a period of one and half clear market days prior to the Effective Date and up to the Conversion Date as defined in Rule 24A.08 in relation to the conversion of shares; or</b></p> <p><b>(c) for a specified time prior to and inclusive of the ROD Date as defined in Rule 20A.08 as specified in directions issued by the Depository in relation to the maturity of any non-equity security.</b></p>
Rule 9.01	<p><b>Rule 9.01 Transfer of securities:</b></p> <p>(1) <b>Request for transfer:</b> Subject to the provisions of Rule 9.02, a depositor (hereinafter in this chapter referred to as “Transferring Depositor”) may request an authorised depository agent with whom the Transferring Depositor’s securities account is maintained, to transfer any securities held in the Transferring Depositor’s securities account to another securities account belonging to himself or to another depositor (hereinafter in this chapter referred to as “the Recipient Depositor”) maintained either with that agent or with another authorised depository agent or authorised direct member provided always that the request is made in accordance with the rules and directions issued by the Depository.</p> <p>(2) <b>Request for transfer by any other person:</b> Notwithstanding Rule 9.01(1), the Depository may issue directions to allow any other person apart from the Transferring Depositor to request for a</p>	<p><b>Rule 9.01 Transfer of securities:</b></p> <p>(1) (No change)</p> <p>(2) (No change)</p>



Rule	Existing Rules	Amended Rules
	transfer of securities between securities accounts.	<b>(3) Transfers of unlisted securities: The restrictions on transfers of securities imposed via the approved reasons for transfer of securities prescribed by the Depository, shall not apply to transfers of unlisted securities between securities accounts.</b>
Rule 17.01	<p><b>Rule 17.01 Compliance with Rules, etc:</b></p> <p>Every issuer of prescribed securities shall comply with these Rules where it purports to apply to them directly or indirectly (whether in this Part IV or otherwise), the Procedures Manual, the provisions of the Act and the Regulations. For the purposes of this Rule, an issuer shall include, if applicable, its company registrar, its issuing house or any agent of the issuer.</p>	<p><b>Rule 17.01 Compliance with Rules, etc:</b></p> <p>Every issuer of prescribed securities <b>or deposited securities</b> shall comply with these Rules where it purports to apply to them directly or indirectly (whether in this Part IV or otherwise), the Procedures Manual, the provisions of the Act and the Regulations <b>and any directions which may be issued by the Depository from time to time.</b> For the purposes of this Rule, an issuer shall include, if applicable, its company registrar, its issuing house or any agent of the issuer.</p>
Rule 17.01A	New Rule	<p><b>Rule 17.01A Indemnity:</b></p> <p><b>Every issuer of prescribed securities or deposited securities shall fully indemnify and hold indemnified the Depository against any loss, damage, liability, cost or expense (including legal costs) suffered or incurred by the Depository, whether directly or indirectly, as a result of any demand, action or proceeding made by any person for, on account of, or in respect of anything done, any statement made or omitted to be done or made, by the Depository in connection with the discharge or performance or purported discharge or performance of any duties or services by the Depository under these Rules or in the exercise or intended exercise of any power under these Rules, in relation to such issuer or its securities provided always that such act,</b></p>

Rule	Existing Rules	Amended Rules
		statement or omission did not arise as a result of negligence on the part of the Depository.
Rule 17.05	New Rule	<p><b>Rule 17.05</b> Issuer to ensure all information pertaining to corporate actions are correct and accurate:</p> <p>Every issuer of deposited securities shall be responsible to ensure that all information provided to the Depository in relation to corporate actions under Chapter 21 and Chapter 22 of these Rules, in particular information relating to the debiting or crediting of securities from or into the depositor's securities account are correct, accurate and in accordance with the application form received from the depositor. The Depository shall rely solely on the information provided by the issuer in processing the corporate actions under Chapter 21 and Chapter 22 of these Rules.</p>
Rule 17.06	New Rule	<p><b>Rule 17.06</b> Compliance with the law, regulations, requirements etc.</p> <p>The Depository shall deem that the issuer of deposited securities has obtained all the necessary approval(s) from the relevant regulatory authorities to undertake the corporate actions set out under Chapter 21 and Chapter 22 of these Rules and the same are in compliance with all requirements of the laws, regulations, rules, procedures etc. that govern the corporate actions.</p>
Rule 17.07	New Rule	<p><b>Rule 17.07</b> Application for deposit of unlisted securities:</p> <p>(1) Application: Any issuer of securities which are not listed or</p>

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		<p>proposed to be listed on the official list of the Stock Exchange, who wishes to have such securities deposited with the Depository, shall apply to the Depository by submitting an application in the form prescribed by the Depository.</p> <p>(2) <b>No obligation:</b> The Depository may exercise its discretion and may approve or reject applications for the deposit of securities as referred to in Rule 17.07(1) by any issuer, as it deems fit.</p> <p>(3) <b>Approval:</b> The Depository may also approve such applications for deposit unconditionally or subject to such conditions, as it deems fit.</p>
Rule 17.08	New Rule	<p><b>Rule 17.08 Non-payment of Securities of Unlisted Public Companies (“SUPCO”) Fee:</b></p> <p>(1) <b>Depository’s right of refusal:</b> Notwithstanding any provisions in these Rules, the Depository may refuse to act on any instruction of an issuer in handling or processing any corporate action set out in Chapter 20A, Chapter 21 and Chapter 22 or perform any other services requested by the issuer where payment of SUPCO fees as prescribed under Part VII is due and owing to the Depository.</p> <p>(2) <b>Issuer to undertake any other action:</b> Notwithstanding any provisions in these Rules, it shall be the sole responsibility of the issuer to undertake any other action it deems fit in relation to the handling and processing of the corporate action stipulated under Rule 17.08(1) or any other services refused to be performed by the Depository and the Depository shall not be held liable for any loss or damage suffered by any</p>

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		depositor arising from its refusal to act as stipulated under Rule 17.08(1).
Rule 17.09	New Rule	<p><b>Rule 17.09 Undertaking:</b></p> <p>An issuer who has been approved to have its unlisted securities deposited with the Depository shall issue or provide an undertaking to the Depository in the form prescribed in Appendix III of these Rules.</p>
Rule 17.10	New Rule	<p><b>Rule 17.10 Termination of central depository services in relation to unlisted securities:</b></p> <p>(1) Termination of services by issuer: Notwithstanding any of the provisions in these Rules, an issuer of unlisted securities may terminate the central depository services provided by the Depository in relation to the unlisted securities of the issuer at any time with or without assigning any reason or reasons thereto, by giving the Depository not less than thirty (30) days' prior notice in writing of such intention provided that the following conditions have been satisfied, and the effective date of the termination of such services shall be the date of expiry of such notice or the date on which all the following conditions have been fully satisfied, whichever is later –</p> <p>(a) there are no outstanding fees or charges due and owing by the issuer to the Depository;</p> <p>(b) there is no pending action to be taken by the Depository in relation to any request for central depository services made by the issuer;</p>

Rule	Existing Rules	Amended Rules
		<p>(c) all unlisted securities of the issuer have been withdrawn from the Depository in accordance with these Rules, directions issued or conditions imposed by the Depository; and</p> <p>(d) fulfillment of any other conditions as may be imposed by the Depository on the issuer.</p> <p>(2) Termination of services by Depository: Notwithstanding any of the provisions in these Rules, the Depository may terminate the central depository services provided by the Depository in relation to the unlisted securities of the issuer at any time with or without assigning any reason or reasons thereto, by giving the issuer of the unlisted securities prior notice in writing of such intention. The termination of such services shall be made subject to the fulfilment of the conditions provided in Rule 17.10(1)(a)-(d) except as specified by the Depository in its absolute discretion. The effective date of the termination of such services shall be the date of expiry of such notice or such other date as may be determined by the Depository as it deems fit.</p> <p>(3) Withdrawal of unlisted securities: Where the issuer of unlisted securities or the Depository, as the case made be, terminates the central depository services provided by the Depository in relation to the unlisted securities of the issuer under these Rules for any reason whatsoever, the issuer shall notify all depositors of its unlisted securities that the notice to terminate such services has been served and the depositors must effect withdrawal of such unlisted securities from the Depository in accordance with directions issued or conditions imposed by</p>

Rule	Existing Rules	Amended Rules
		<p>the Depository.</p> <p>(4) <b>Effect of Termination of Services: Upon the termination of the central depository services in relation to the unlisted securities by an issuer of unlisted securities or the Depository, as the case may be, taking effect :-</b></p> <p>(a) the Depository shall not be under any duty or obligation, whether or not expressly provided in these Rules, to provide any services or facilities to the issuer in relation to the unlisted securities on or after the effective date of such termination but shall not be precluded from doing so at its absolute discretion; and</p> <p>(b) such termination shall be without prejudice to any rights or remedies either party may be entitled to and shall not affect any accrued rights or liabilities of either party before the effective date of such termination and the coming into or continuance in force of any provisions in these Rules which are expressly or by implication intended to come into or continue in force on or after the effective date of such termination.</p>
Rule 18.03	<p><b>Rule 18.03 Verification of scrips and transfer into the name of the Depository or its nominee company:</b></p> <p>(1) Pursuant to section 18 of the Act an issuer or its company registrar, as the case may be shall, within seven market days (or such longer period as may be allowed in writing by the Depository) upon receipt of the appropriate documents from the Depository pursuant to Rule</p>	<p><b>Rule 18.03 Verification of scrips and transfer into the name of the Depository or its nominee company:</b></p> <p>(1) An issuer or its company registrar, as the case may be shall, within seven market days (or such longer period as may be allowed in writing by the Depository) upon receipt of the appropriate documents from the Depository pursuant to Rule 6.05(4) ascertain and notify the Depository whether the security underlying the scrip</p>

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	<p>6.05(4),</p> <p>(a) ascertain and notify the Depository whether the security underlying the scrip lodged is capable of being transferred into the name of the Depository or its nominee company; and</p> <p>(b) where the registration of such transfer has not been refused <i>in accordance with section 18(3) of the Act</i>, complete and deliver the appropriate certificate duly registered in the name of the Bursa Malaysia Depository Nominees Sdn. Bhd.</p> <p>(2) <i>Where the issuer or its company registrar, as the case may be, after having examined the documents received, is satisfied that the securities in respect thereof may be properly registered in the name of the Depository or its nominee company</i>, the Depository shall then be authorised to credit the securities by book entry as “free securities” in the respective securities accounts of the depositors.</p> <p>(3) <i>Where the issuer or its company registrar, as the case may be, after having examined the documents received, is satisfied that the securities in respect thereof cannot be properly registered in accordance with section 18(3) of the Act</i>, the issuer or its company registrar, as the case may be, shall prepare a List of Rejected Deposits to indicate all the rejected transfers and serve notice of such rejection on the Depository as well as the transferor with the reasons for such rejection.</p> <p>(4) The List of Rejected Deposits shall be delivered or transmitted by facsimile to the Depository within seven market days after the lodgement of such scrips by the Depository whereupon the issuer or its company registrar shall return the scrips and the relevant</p>	<p>lodged is capable of being transferred into the name of the Depository or its nominee company.</p> <p><b>(2) An issuer shall refuse to register such transfer if-</b></p> <p><b>(a) it ascertains that the scrip is not a genuine scrip or is a scrip that was reported lost or destroyed;</b></p> <p><b>(b) in relation to any such security, it discovers that –</b></p> <p><b>(i) there has been duplication in the issuance of the scrip representing that security; or</b></p> <p><b>(ii) such scrip is a scrip issued in excess of the issued capital of the issuer;</b></p> <p><b>(c) it has been served with an order of court of competent jurisdiction prohibiting any dealings in respect of such security underlying such scrip; or</b></p> <p><b>(d) an order under regulation 8 of the Essential (Protection of Depositors) Regulations 1986 made by the Central Bank of Malaysia and published in Gazette prevents the person who deposited scrip from dealing with any of his monies, properties or assets.</b></p> <p>(3) Where the registration of such transfer has not been refused <b>pursuant to Rule 18.03(2), the issuer or its company registrar shall</b> complete and deliver the appropriate certificate duly registered in the name of the Bursa Malaysia Depository Nominees Sdn. Bhd. and the Depository shall then be authorised to credit the securities by book entry as “free securities” in the respective</p>

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	<p>instruments of transfers to the Depository provided however that the same are not required for the purposes of conducting any investigation by the relevant authorities. Upon being notified of such rejection the Depository shall debit such securities from the securities accounts of the respective depositors.</p>	<p>securities accounts of the depositors.</p> <p>(4) <b>Where the registration of such transfer has been refused pursuant to Rule 18.03(2)</b>, the issuer or its company registrar, as the case may be, shall prepare a List of Rejected Deposits to indicate all the rejected transfers and serve notice of such rejection on the Depository as well as the transferor with the reasons for such rejection.</p> <p>(5) The List of Rejected Deposits shall be delivered or transmitted by facsimile to the Depository within seven market days <b>(or such longer period as may be allowed in writing by the Depository)</b> after the lodgement of such scrips by the Depository whereupon the issuer or its company registrar shall return the scrips and the relevant instruments of transfers to the Depository provided however that the same are not required for the purposes of conducting any investigation by the relevant authorities. Upon being notified of such rejection the Depository shall debit such securities from the securities accounts of the respective depositors.</p>
Rule 20A.01	<p><b>Rule 20A.01 Application of this chapter:</b></p> <p>This chapter applies to every issuer of non equity securities.</p>	<p><b>Rule 20A.01 Application of this chapter:</b></p> <p>This chapter applies to every issuer of non equity securities <b>in relation to any deposited security who -</b></p> <p>(a) <b>issues securities pursuant to the conversion of any debt securities; or</b></p> <p>(b) <b>issues securities pursuant to the exercise of any right or option to acquire securities in the share capital of the issuer.</b></p>



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Rule 20A.02	<p><b>Rule 20A.02 Compliance with section 38 of the Act:</b></p> <p>Every issuer of any non-equity securities, who-</p> <p>(a) issues securities pursuant to the conversion of any debt securities; or</p> <p>(b) issues securities pursuant to the exercise of any right or option to acquire securities in the share capital of the issuer,</p> <p>shall do all such acts and things as may be necessary in order to comply with section 38 of the Act.</p>	<p><b>Rule 20A.02 Compliance with section 38 of the Act:</b></p> <p>Every issuer in relation to any prescribed security who issues securities enumerated in Rule 20A.01 shall do all such acts and things as may be necessary in order to comply with section 38 of the Act.</p>
Rule 20A.04	<p><b>Rule 20A.04 Procedure for handling of application for exercise of rights prior to the maturity of non-equity securities:</b></p> <p>(1) <b>Application for exercise of rights:</b> Any application made by a depositor for the exercise of rights prior to the maturity of the deposited non-equity securities shall be made in the form prescribed by the issuer (hereinafter in this chapter referred to as “the application form”), accompanied by such other supporting documentary evidence as may be required by the issuer. It shall be the responsibility of the issuer to ensure that such form shall include the particulars and statements as may be required by the Depository from time to time under the Procedures Manual.</p> <p>(2) <b>Inclusion of other terms:</b> Except as may be permitted by law, no issuer shall include any term or condition into the application form which may exclude, exempt, disclaim, reduce, limit or waive any loss, damage or liability on the part of the issuer in respect of the</p>	<p><b>Rule 20A.04 Procedure for handling of application for exercise of rights prior to the maturity of non-equity securities:</b></p> <p>(1) (No change)</p> <p>(2) (No change)</p>

Rule	Existing Rules	Amended Rules
	<p>handling of the exercise of rights or the rejection thereof.</p> <p>(3) <b>No physical securities and crediting in third parties' names:</b> Where the exercise of rights involves the issuance of new securities by the issuer, the issuer shall reject any request by a depositor for-</p> <p>(a) the new securities to be delivered in the form of scrips; or</p> <p>(b) the new securities to be credited into a securities account other than one of those from which the securities are to be debited for the exercise of rights by the depositor.</p>	<p>(3) <b>No physical securities and crediting in third parties' names:</b> Where the exercise of rights <b>in relation to deposited non-equity securities</b> involves the issuance of new securities by the issuer, the issuer shall reject any request by a depositor for-</p> <p>(a) the new securities to be delivered in the form of scrips; or</p> <p>(b) the new securities to be credited into a securities account other than one of those from which the securities are to be debited for the exercise of rights by the depositor.</p>
Rule 20A.08	<p><b>Rule 20A.08 Procedures upon maturity of non-equity securities:</b></p> <p>(1) <b>Application of Rule:</b> This Rule applies upon the maturity of any non-equity securities.</p> <p>(1A) <b>Application for exercise of rights:</b> Any application made by a depositor for the exercise of rights upon maturity of the deposited non-equity securities shall be made in the form prescribed by the issuer (hereinafter in this chapter referred to as "the application form") accompanied by such other supporting documentary evidence as may be required by the issuer. It shall be the responsibility of the issuer to ensure that such forms shall include the particulars and statements as may be required by the Depository from time to time under the Procedures Manual.</p> <p>(2) <b>Notification:</b> Every issuer shall, immediately upon making an announcement in accordance with the requirements of the Stock Exchange relating to the maturity of any non-equity securities,</p>	<p><b>Rule 20A.08 Procedures upon maturity of non-equity securities:</b></p> <p>(1) (No change)</p> <p>(1A) (No change)</p> <p>(2) <b>Notification:</b> Every issuer, <b>in relation to maturity of any prescribed non-equity security</b> shall, immediately upon making an announcement in accordance with the requirements of the</p>

Rule	Existing Rules	Amended Rules
	<p>extend a copy of such announcement to the Depository.</p> <p>(3) <b>Request for Record of Depositors:</b> Every issuer shall request for a Record of Depositors at least seven market days prior to the date of the Record of Depositors which date shall be four clear market days before the maturity date of the non-equity securities (hereinafter in this chapter referred to as “the ROD Date”).</p> <p>(4) <b>Record of Depositors:</b> Within three clear market days after the ROD Date, the Depository shall issue the relevant Record of Depositors as at the ROD Date to the issuer.</p> <p>(5) <b>Return of scrips:</b> The Depository shall, on the instructions of the issuer, debit all the securities accounts of the depositors appearing in the Record of Depositors on the day after the maturity date and deliver or cause to be delivered to the issuer the appropriate certificates representing the entire non-equity securities issued by the issuer which have been deposited with the Depository as at the ROD Date.</p> <p>(5A) <b>No withdrawals and deposits:</b> In relation to the maturity of a non-equity security, the Depository may instruct authorised depository agents to refrain from accepting any withdrawals and deposits in respect of the said non-equity security, commencing one and a half clear market days prior to the ROD Date.</p> <p>(5B) <b>No transfers:</b> In relation to the maturity of a non-equity security, the Depository may instruct authorised depository agents to refrain from accepting any transfer of securities in such circumstances prescribed by the Depository from time to time.</p> <p>(6) <b>Qualification:</b> Subject to the Foreign Ownership Regulations, no</p>	<p>Stock Exchange relating to the maturity of <b>such</b> non-equity securities, extend a copy of such announcement to the Depository.</p> <p>(3) (No change)</p> <p>(4) (No change)</p> <p>(5) (No change)</p> <p>(5A) (No change)</p> <p>(5B) (No change)</p> <p>(6) <b>Qualification:</b> Subject to the Foreign Ownership Regulations, no</p>

Rule	Existing Rules	Amended Rules
	<p>depositor shall be entitled to the exercise of any rights in respect of non-equity security standing to the credit of his securities account unless-</p> <p>(a) the scrip representing such deposited non-equity security has been deposited at least one and a half clear market days before the ROD Date and the issuer or its company registrar, as the case may be, has confirmed that the security is capable of being registered into the name of the Depository or its nominee company in accordance with <i>section 18 of the Act</i>;</p> <p>(b) such deposited non-equity security is not withdrawn from the Depository at least one and a half clear market days before the Record Of Depositor Date;</p> <p>(c) such deposited non-equity security has been bought on the stock market of the Stock Exchange before trading on such non-equity security is suspended by the Stock Exchange in relation to the maturity of the same; or</p> <p>(d) the transfer of such deposited security into the depositor's securities account had been entered into the computer system by such time prescribed by the Depository from time to time.</p>	<p>depositor shall be entitled to the exercise of any rights in respect of non-equity security standing to the credit of his securities account unless-</p> <p>(a) the scrip representing such deposited non-equity security has been deposited at least one and a half clear market days before the ROD Date and the issuer or its company registrar, as the case may be, has confirmed that the security is capable of being registered into the name of the Depository or its nominee company in accordance with <b>Rule 18.03</b>;</p> <p>(b) such deposited non-equity security is not withdrawn from the Depository at least one and a half clear market days before the Record Of Depositor Date;</p> <p>(c) such deposited non-equity security has been bought on the stock market of the Stock Exchange before trading on such non-equity security is suspended by the Stock Exchange in relation to the maturity of the same; or</p> <p>(d) the transfer of such deposited security into the depositor's securities account had been entered into the computer system by such time prescribed by the Depository from time to time.</p>
Rule 21.01	<p><b>Rule 21.01 Compliance with section 38 of the Act:</b></p> <p>Every issuer or offeror who, in relation to any deposited security -</p> <p>(a) makes a bonus issue, or issues securities pursuant to a rights</p>	<p><b>Rule 21.01 Application of this chapter:</b></p> <p><b>(1) Application: This chapter applies to</b> every issuer or offeror who, in relation to any deposited security -</p>

Rule	Existing Rules	Amended Rules
	<p>issue;</p> <p>(b) issues securities pursuant to an exercise of any right or option to acquire securities in the share capital of the issuer; or</p> <p>(c) makes an offer for sale of securities;</p> <p>shall do all such acts and things as may be necessary in order to comply with section 38 of the Act.</p>	<p>(a) makes a bonus issue, or issues securities pursuant to a rights issue;</p> <p>(b) issues securities pursuant to an exercise of any right or option to acquire securities in the share capital of the issuer; or</p> <p>(c) makes an offer for sale of securities.</p> <p><b>(2) Compliance with section 38 of the Act: Every issuer in relation to any prescribed security who issues securities enumerated in Rule 21.01 shall do all such acts and things as may be necessary in order to comply with section 38 of the Act.</b></p>
Rule 21.01A	<p><b>Rule 21.01A No physical securities and crediting in third parties' names:</b></p> <p>Where the <i>exercise of rights enumerated in Rule 21.01 involves</i> the issuance of new securities by the issuer, the issuer shall reject any requests by a depositor for -</p> <p>(a) the new securities to be delivered in the form of scrip; or</p> <p>(b) the new securities to be credited into a securities account other than one of those from which the securities are to be <i>credited</i> for the exercise of rights by the depositor.</p>	<p><b>Rule 21.01A No physical securities and crediting in third parties' names:</b></p> <p>Where the issuance of new securities by the issuer <b>pursuant to Rule 21.01 is in relation to deposited securities</b>, the issuer shall reject any requests by a depositor for -</p> <p>(a) the new securities to be delivered in the form of scrip; or</p> <p>(b) the new securities to be credited into a securities account other than one of those from which the securities are to be <b>debited</b> for the exercise of rights by the depositor.</p>
Rule 21.03	<p><b>Rule 21.03 Entitlement for depositors:</b></p> <p>(1) <b>Qualification for entitlement:</b> Subject to the Foreign Ownership</p>	<p><b>Rule 21.03 Entitlement for depositors:</b></p> <p>(1) <b>Qualification for entitlement:</b> Subject to the Foreign Ownership</p>

Rule	Existing Rules	Amended Rules
	<p>Regulations, no depositor shall be entitled to any dividends or rights issues or bonus issues or any other rights or options by virtue of any deposited security standing to the credit of his securities account unless-</p> <p>(a) the scrip representing such deposited security has been “deposited cum entitlement” with the Depository or its authorised depository agent and the issuer or its company registrar, as the case may be, has confirmed that the security is capable of being registered into the name of the Depository or its nominee company in accordance with <i>section 18 of the Act</i>;</p> <p>(b) Deleted</p> <p>(c) such deposited security has been “bought cum entitlement” on the stock market of the Stock Exchange; or</p> <p>(d) such deposited security has been “transferred cum entitlement” into his securities account.</p>	<p>Regulations, no depositor shall be entitled to any dividends or rights issues or bonus issues or any other rights or options by virtue of any deposited security standing to the credit of his securities account unless-</p> <p>(a) the scrip representing such deposited security has been “deposited cum entitlement” with the Depository or its authorised depository agent and the issuer or its company registrar, as the case may be, has confirmed that the security is capable of being registered into the name of the Depository or its nominee company in accordance with <b>Rule18.03</b>;</p> <p>(b) Deleted</p> <p>(c) such deposited security has been “bought cum entitlement” on the stock market of the Stock Exchange; or</p> <p>(d) such deposited security has been “transferred cum entitlement” into his securities account.</p>
Rule 21.04	<p><b>Rule 21.04 Notification and request for Record of Depositors:</b></p> <p>Every issuer shall, immediately upon making an announcement in accordance with the Listing Requirements of the Stock Exchange relating to any distribution of any dividends or rights issue or bonus issue or any other rights or options to its shareholders-</p> <p>(a) extend a copy of such announcement to the Depository; and</p> <p>(b) request for an entire Record of Depositors as at the proposed</p>	<p><b>Rule 21.04 Notification and request for Record of Depositors:</b></p> <p>(1) <b>ROD Request:</b> Every issuer of <b>deposited security who intends to make</b> any distribution of any dividends or rights issue or bonus issue or any other rights or options to its shareholders shall request for an entire Record of Depositors as at the proposed lodgement date for such entitlement.</p> <p>(2) <b>Notification:</b> Every issuer of <b>prescribed security in addition to the requirement under Rule 21.04(1)</b> shall immediately upon</p>

Rule	Existing Rules	Amended Rules
	lodgement date for such entitlement.	making an announcement in accordance with the Listing Requirements of the Stock Exchange extend a copy of such announcement to the Depository.
Rule 21.06	<p><b>Rule 21.06 Provisional Letters of Allotment:</b></p> <p>(1) This Rule shall apply to the rights issues only.</p> <p>(2) Any draft provisional letter of allotment <i>submitted to the Stock Exchange for approval</i> shall contain a statement that-</p> <p>(a) the principal security underlying the rights issue <i>has already been prescribed as a prescribed security</i>;</p> <p>(b) where such provisional letter of allotment has been issued to any person who is entitled to the rights issue by virtue of the relevant <i>prescribed</i> security held by that person, the acceptance of the rights shares by the depositor shall mean that such person consents to receive such rights by way of crediting directly into his securities account; and</p> <p>(c) where such provisional letter of allotment has been issued to any person who is entitled to the rights issue by virtue of the relevant <i>prescribed</i> securities held by that person, any person who intends to purchase such rights as a renouncee shall have to state his securities account number whereupon such rights shall be credited directly into his securities account.</p>	<p><b>Rule 21.06 Provisional Letters of Allotment:</b></p> <p>(1) This Rule shall apply to the rights issues only.</p> <p>(2) Any draft provisional letter of allotment shall contain a statement that-</p> <p>(a) the principal security underlying the rights issue <b>is a deposited</b> security;</p> <p>(b) where such provisional letter of allotment has been issued to any person who is entitled to the rights issue by virtue of the relevant <b>deposited</b> security held by that person, the acceptance of the rights shares by the depositor shall mean that such person consents to receive such rights by way of crediting directly into his securities account; and</p> <p>(c) where such provisional letter of allotment has been issued to any person who is entitled to the rights issue by virtue of the relevant <b>deposited</b> securities held by that person, any person who intends to purchase such rights as a renouncee shall have to state his securities account number whereupon such rights shall be credited directly into his securities account.</p>

Rule	Existing Rules	Amended Rules
Rule 21.06A	<p><b>Rule 21.06A Provisional Letters of Offer:</b></p> <p>(1) This Rule shall apply to an offer for sale only.</p> <p>(2) Any draft provisional letter of offer <i>submitted to the Stock Exchange for approval</i> shall contain a statement that-</p> <p>(a) the security offered for sale <i>has already been prescribed as a prescribed</i> security;</p> <p>(b) where such provisional letter of offer has been issued to any depositor who is entitled to such offer by virtue of the relevant deposited security held by that person the acceptance of the offer for sale by the person shall mean that the person consents to receive such offer as a deposited security credited directly into his securities account; and</p> <p>(c) where such provisional letter of offer has been issued to any person who is entitled to such offer by virtue of the relevant <i>prescribed</i> security which is standing to the credit of his securities account, any person who intends to accept such offer as a renounee shall have to state his securities account number whereupon such securities shall be credited directly as a deposited security into his securities account.</p>	<p><b>Rule 21.06A Provisional Letters of Offer:</b></p> <p>(1) This Rule shall apply to an offer for sale only.</p> <p>(2) Any draft provisional letter of offer shall contain a statement that-</p> <p>(a) the security offered for sale <b>is a deposited</b> security;</p> <p>(b) where such provisional letter of offer has been issued to any depositor who is entitled to such offer by virtue of the relevant deposited security held by that person the acceptance of the offer for sale by the person shall mean that the person consents to receive such offer as a deposited security credited directly into his securities account; and</p> <p>(c) where such provisional letter of offer has been issued to any person who is entitled to such offer by virtue of the relevant <b>deposited</b> security which is standing to the credit of his securities account, any person who intends to accept such offer as a renounee shall have to state his securities account number whereupon such securities shall be credited directly as a deposited security into his securities account.</p>
Rule 21.08	<p><b>Rule 21.08 Entries:</b></p> <p>The Depository shall, upon receipt of the List of Allottees and the shares certificates pursuant to Rule 21.04, credit the respective securities accounts with the allotted securities specified therein prior to the listing of the securities</p>	<p><b>Rule 21.08 Entries:</b></p> <p>The Depository shall, upon receipt of the List of Allottees and the shares certificates pursuant to Rule 21.07, credit the respective securities accounts with the allotted securities specified therein prior to the listing of the securities</p>



Rule	Existing Rules	Amended Rules
	pursuant to a rights or bonus issue or an offer for sale.	pursuant to a rights or bonus issue or an offer for sale <b>where the allotted securities are prescribed securities or within the time frame specified in the directions issued by the Depository where the allotted securities are unlisted securities.</b>
Rule 21.09	<p><b>Rule 21.09 Indemnity:</b></p> <p>Every issuer shall fully indemnify and hold indemnified the Depository from all demands, actions and proceedings made by any depositor for any loss suffered by such depositor in respect of or arising from any error in the List of Allottees provided always that such error did not arise as a result of negligence on the part of the Depository in providing inaccurate or incomplete information in the Record of Depositors under Rule 21.03.</p>	<p><b>Rule 21.09 Indemnity:</b></p> <p>Every issuer shall fully indemnify and hold indemnified the Depository from all demands, actions and proceedings made by any depositor for any loss suffered by such depositor in respect of or arising from any error in the List of Allottees provided always that such error did not arise as a result of negligence on the part of the Depository in providing inaccurate or incomplete information in the Record of Depositors under Rule 21.04.</p>
Rule 21.11	<p><b>Rule 21.11 Renouncee, etc to open securities account:</b></p> <p>An issuer or offeror shall not allot or allocate any allotted securities pursuant to a rights issue or an offer for sale, respectively, in relation to <i>prescribed</i> security to -</p> <ul style="list-style-type: none"> <li>(a) a renouncee of any rights or options; and</li> <li>(b) an applicant of excess securities arising from the rights or options,</li> </ul> <p>unless such person has a securities account and the securities are credited into such securities account.</p>	<p><b>Rule 21.11 Renouncee, etc to open securities account:</b></p> <p>An issuer or offeror shall not allot or allocate any allotted securities pursuant to a rights issue or an offer for sale, respectively, in relation to <b>deposited</b> security to -</p> <ul style="list-style-type: none"> <li>(a) a renouncee of any rights or options; and</li> <li>(b) an applicant of excess securities arising from the rights or options,</li> </ul> <p>unless such person has a securities account and the securities are credited into such securities account.</p>

Rule	Existing Rules	Amended Rules
Rule 22.01	<p><b>Rule 22.01 Application of this chapter:</b></p> <p>This chapter applies to every issuer of any <i>prescribed</i> security who -</p> <ul style="list-style-type: none"> <li>(a) conducts a capital restructuring exercise which affects the issued share capital of the issuing company;</li> <li>(b) conducts a share consolidation exercise with another type of <i>prescribed</i> security; or</li> <li>(c) exercises a reorganisation in the total amount of shares within the issued share capital of the issuing company (hereinafter in this chapter referred to as “a share split”).</li> </ul>	<p><b>Rule 22.01 Application of this chapter:</b></p> <p>This chapter applies to every issuer of any <b>deposited</b> security who -</p> <ul style="list-style-type: none"> <li>(a) conducts a capital restructuring exercise which affects the issued share capital of the issuing company;</li> <li>(b) conducts a share consolidation exercise with another type of <b>deposited</b> security; or</li> <li>(c) exercises a reorganisation in the total amount of shares within the issued share capital of the issuing company (hereinafter in this chapter referred to as “a share split”).</li> </ul>
Rule 22.03(1)	<p><b>Rule 22.03 Scope of exercise:</b></p> <p>(1) <b>Coverage of exercise:</b> Subject to the Foreign Ownership Regulations, no depositor, by virtue of his deposited securities standing to the credit of his securities account, shall be affected by a share split, consolidation or capital restructuring exercise under this chapter unless-</p> <ul style="list-style-type: none"> <li>(a) the scrip representing such security has been deposited at least one and a half clear market days before the lodgement date and the issuer or its company registrar, as the case may be, has confirmed that the security is capable of being registered into the name of the Depository or its nominee company in accordance with <i>section 18 of the Act</i>;</li> </ul>	<p><b>Rule 22.03 Scope of exercise:</b></p> <p>(1) <b>Coverage of exercise:</b> Subject to the Foreign Ownership Regulations, no depositor, by virtue of his deposited securities standing to the credit of his securities account, shall be affected by a share split, consolidation or capital restructuring exercise under this chapter unless-</p> <ul style="list-style-type: none"> <li>(a) the scrip representing such security has been deposited at least one and a half clear market days before the lodgement date and the issuer or its company registrar, as the case may be, has confirmed that the security is capable of being registered into the name of the Depository or its nominee company in accordance with <b>Rule 18.03</b>;</li> </ul>

Rule	Existing Rules	Amended Rules
	<p>(b) Deleted</p> <p>(c) the date for delivery by book-entry process of the deposited security which was bought on the stock market of the Stock Exchange is on or before the lodgement date;</p> <p>(d) Deleted</p> <p>(e) the transfer of such deposited security into the depositor's security account had been entered into the computer system by such time prescribed by the Depository from time to time.</p>	<p>(b) Deleted</p> <p>(c) the date for delivery by book-entry process of the deposited security which was bought on the stock market of the Stock Exchange is on or before the lodgement date;</p> <p>(d) Deleted</p> <p>(e) the transfer of such deposited security into the depositor's security account had been entered into the computer system by such time prescribed by the Depository from time to time.</p>
Rule 22.06	<p><b>Rule 22.06 Entries:</b></p> <p>The Depository shall, upon receipt of the List of Allottees and the shares certificate, credit or debit, as the case may be, the respective securities accounts specified therein provided however that the receipt thereof is at least four market days before the day of listing on the stock market.</p>	<p><b>Rule 22.06 Entries:</b></p> <p>The Depository shall, upon receipt of the List of Allottees and the shares certificate, credit or debit, as the case may be, the respective securities accounts specified therein provided however <b>in relation to prescribed security</b> the receipt thereof is at least four market days before the day of listing on the stock market.</p>
Rule 23.02	<p><b>Rule 23.02 Issuance:</b></p> <p>The Depository may, from time to time, upon lodging with an issuer scrips representing deposited securities issued by the issuer together with relevant instruments of transfers duly executed by the depositors <i>thereof</i> and certificated in accordance with the provisions of subsection 18(7) of the Act, require the issuer to issue jumbo certificates.</p>	<p><b>Rule 23.02 Issuance:</b></p> <p>The Depository may, from time to time, upon lodging with an issuer scrips representing deposited securities issued by the issuer together with relevant instruments of transfers duly executed by the depositors and <b>the Depository or</b> certificated <b>as the case may be</b> in accordance with the provisions of subsection 18(7) of the Act, require the issuer to issue jumbo certificates.</p>

Rule	Existing Rules	Amended Rules
Rule 23.04	<p><b>Rule 23.04 Completion and delivery of certificates:</b></p> <p>(1) <b>Period:</b> Subject to <i>section 18(3) of the Act</i>, where the Depository requires an issuer to issue jumbo certificates, the issuer shall complete and deliver such certificates within seven market days after the scrips and instruments of transfers in respect thereof have been lodged with the issuer or its company registrar.</p> <p>(2) <b>Extension:</b> Notwithstanding Rule 23.04(1), the Depository may extend the period for completion and delivery by an issuer of the jumbo certificates provided that the issuer has informed the Depository of its inability to do so not less than two market days before expiry of the period and the reasons given in respect thereof are acceptable to the Depository.</p>	<p><b>Rule 23.04 Completion and delivery of certificates:</b></p> <p>(1) <b>Period:</b> Subject to <b>Rule 18.03</b>, where the Depository requires an issuer to issue jumbo certificates, the issuer shall complete and deliver such certificates within seven market days after the scrips and instruments of transfers in respect thereof have been lodged with the issuer or its company registrar.</p> <p>(2) (No change)</p>
Rule 23.05	<p><b>Rule 23.05 Rejections:</b></p> <p>Where an issuer refuses registration of any instrument of transfer in respect of any scrip lodged with it on any of the grounds stated in <i>section 18(3) of the Act</i>, the issuer shall immediately serve on the transferor and the Depository a written notice in accordance with <i>section 18(5) of the Act</i>.</p>	<p><b>Rule 23.05 Rejections:</b></p> <p>Where an issuer refuses registration of any instrument of transfer in respect of any scrip lodged with it on any of the grounds stated in <b>Rule 18.03</b>, the issuer shall immediately serve on the transferor and the Depository a written notice in accordance with <b>Rule 18.03(5)</b>.</p>
Rule 24.01	<p><b>Rule 24.01 Request:</b></p> <p>(1) <b>Application:</b> An issuer of any <i>prescribed</i> security may, in the manner prescribed by the Depository upon consultation with the Commission, from time to time request the Depository to issue a Record of Depositors as at the date of request or, such other date as may be specified by it in such request, but in any event not</p>	<p><b>Rule 24.01 Request:</b></p> <p>(1) <b>Application:</b> An issuer of any <b>deposited</b> security may, in the manner prescribed by the Depository upon consultation with the Commission, from time to time request the Depository to issue a Record of Depositors as at the date of request or, such other date as may be specified by it in such request, but in any event not</p>

Rule	Existing Rules	Amended Rules
	<p>being a date earlier than one year from the date of such request.</p> <p>(2) <b>Manner:</b> Every request made pursuant to Rule 24.01(1) shall be in the following manner:</p> <p>(a) by a written request made in the form prescribed by the Depository;</p> <p>(b) subject to Rule 24.01A,-</p> <p>(i) by a payment of an amount as prescribed by the Depository and in the manner prescribed by the Depository as security for payment of fees for a Record of Depositors (hereinafter in this chapter referred to as “the ROD Fees); or</p> <p>(ii) by providing a bank guarantee as prescribed by the Depository and in the manner prescribed by the Depository as security for payment of the ROD Fees; and</p> <p>(c) by complying with any directions that may be issued by the Depository from time to time with regard to the issuance of a Record of Depositors.</p>	<p>being a date earlier than one year from the date of such request.</p> <p>(2) (No change)</p>
Rule 25.13	New Rule	<p><b>Rule 25.13 Non-payment of Securities of Unlisted Public Companies (“SUPCO”) Fee:</b></p> <p>(1) <b>Depository’s right of refusal: Notwithstanding any provisions in these Rules, the Depository may refuse to act on any instruction of an issuer in handling or processing any</b></p>

Rule	Existing Rules	Amended Rules
		<p>corporate action set out in Chapter 20A, Chapter 21 and Chapter 22 or perform any other services requested by the issuer where payment of SUPCO fees as prescribed under Part VII is due and owing to the Depository.</p> <p>(2) Issuer to undertake any other action: Notwithstanding any provisions in these Rules, it shall be the sole responsibility of the issuer to undertake any other action it deems fit in relation to the handling and processing of the corporate action stipulated under Rule 25.13(1) or any other services refused by the Depository and the Depository shall not be held liable for any loss or damage suffered by depositors arising from its refusal to act as stipulated under Rule 25.13(1).</p>
Rule 25.14	New Rule	<p><b>Rule 25.14 Termination of central depository services in relation to unlisted securities:</b></p> <p><b>Withdrawal of unlisted deposited securities:</b> Where the issuer of unlisted securities or the Depository terminates the central depository services provided by the Depository in relation to the unlisted securities of the issuer under these Rules for any reason whatsoever, the depositor shall upon being notified by the issuer of the same, effect withdrawal of all the unlisted securities of the issuer credited into the securities account of the depositor from the Depository in accordance with directions issued or conditions imposed by the Depository.</p>
Rule 27.01	<p><b>Rule 27.01 Prescribed securities:</b></p> <p><i>No securities shall be accepted other than prescribed securities to be</i></p>	<p><b>Rule 27.01 Prescribed securities:</b></p> <p>The prescription of any security shall be done by the Stock Exchange after</p>

Rule	Existing Rules	Amended Rules
	<p><i>deposited with the Depository.</i> The prescription of any security shall be done by the Stock Exchange after consultation with the Depository and notice shall be given to the public by advertisement in not less than three daily national newspapers, one of which shall be in the national language and one in the English language unless exempted by the Commission in writing.</p>	<p>consultation with the Depository and notice shall be given to the public by advertisement in not less than three daily national newspapers, one of which shall be in the national language and one in the English language unless exempted by the Commission in writing.</p>
<p>Rule 27.02</p>	<p><b>Rule 27.02 Unlisted securities:</b></p> <p><i>Unless and until express provisions have been made by the Depository under these Rules, no securities which have not been admitted for quotation on the official list of the Stock Exchange may be deposited with the Depository.</i></p>	<p><b>Rule 27.02 Prescribed securities and unlisted securities:</b></p> <p><b>Securities accepted for deposit: The Depository shall accept prescribed securities and unlisted securities to be deposited with the Depository provided always that the requirements for deposit as stipulated in Rule 6.04 have been fully complied with.</b></p>
<p>Rule 27.03</p>	<p><b>Rule 27.03 Precondition for deposit:</b></p> <p>Unless he already has an existing securities account, a person who wishes to deposit any prescribed securities shall first open a securities account.</p>	<p><b>Rule 27.03 Precondition for deposit:</b></p> <p>Unless he already has an existing securities account, a person who wishes to deposit any prescribed securities <b>or unlisted securities</b> shall first open a securities account.</p>
<p>Rule 27.04</p>	<p><b>Rule 27.04 Deposit date:</b></p> <p>Prescribed securities shall be deposited with authorised depository agents with or through whom securities accounts are maintained from the deposit date.</p>	<p><b>Rule 27.04 Deposit Period:</b></p> <p>(1) <b>Deposit date:</b> Prescribed securities shall be deposited with <b>the Depository via the</b> authorised depository agents with or through whom securities accounts are maintained from the deposit date.</p> <p>(2) <b>Eligibility for deposit: Unlisted securities of an issuer may be deposited at any time and from time to time with the Depository via the authorised depository agents with or</b></p>

Rule	Existing Rules	Amended Rules
		<p><b>through whom securities accounts are maintained on or after the date the Depository prescribes such securities of the issuer as being eligible for deposit.</b></p>
Rule 27.04A	<p><b>Rule 27.04A Processing of deposits:</b></p> <p>A depositor shall deposit all prescribed securities <i>at</i> his authorised depository agent before 12.00 p.m. on any market day to ensure that the deposit shall be considered the same market day's transaction.</p>	<p><b>Rule 27.04A Processing of deposits:</b></p> <p>A depositor shall deposit all prescribed securities <b>and unlisted securities with the Depository via</b> his authorised depository agent before 12.00 p.m. on any market day to ensure that the deposit shall be considered the same market day's transaction.</p>
Rule 27.05A	<p><b>Rule 27.05A Scrip not good for delivery:</b></p> <p>(1) <i>Scrip representing a prescribed security and instrument of transfer which are not good for delivery shall be accepted for deposit subject to the following conditions-</i></p> <p>(a) <i>the securities shall be deposited into the securities account of the person whose name appears on the scrip; and</i></p> <p>(b) <i>the securities shall be placed in suspense in the securities account of the depositor and shall only be uplifted in the manner determined by the Depository.</i></p> <p>(2) <b>Moratorium securities:</b> <i>Notwithstanding Rule 27.05A(1), moratorium securities shall be deposited into the securities account of the person whose name appears on the scrip and shall be designated as securities under moratorium. The designation shall be removed after expiry of the moratorium upon confirmation by the issuer.</i></p>	<p><b>Rule 27.05A Rejection of deposit:</b></p> <p>(1) <b>Rejection of deposit:</b> <b>A deposit of any scrip or document lodged by a depositor which is not capable of being registered by the issuer under Rule 18.03 or which is not good for delivery shall, unless withheld for investigation purposes, be rejected by the Depository or an authorised depository agent.</b></p> <p>(2) <b>Deleted</b></p>



Rule	Existing Rules	Amended Rules
Rule 27.05B	New Rule	<p><b>Rule 27.05 B Moratorium securities:</b></p> <p><b>Moratorium securities shall be deposited into the securities account of the person whose name appears on the scrip and shall be designated as securities under moratorium. The designation shall be removed after expiry of the moratorium upon confirmation by the issuer.</b></p>
Rule 27.06	<p><b>Rule 27.06 Securities in suspense:</b></p> <p>Where securities have been deposited with the Depository under these Rules, pending verification by the respective issuers or their company registrars, as the case may be, pursuant to <i>section 18 of the Act</i>, such securities shall be credited into and designated as “securities in suspense” in the securities accounts of the respective depositors.</p>	<p><b>Rule 27.06 Suspension of securities:</b></p> <p>(1) <b>Securities in suspense:</b> Where <b>prescribed</b> securities have been deposited with the Depository under these Rules, pending verification by the respective issuers or their company registrars, as the case may be, pursuant to <b>Rule 18.03</b>, such securities shall be credited into and designated as “securities in suspense” in the securities accounts of the respective depositors.</p> <p>(2) <b>Depositor not to perform Depositor’s Transactions:</b> Where <b>unlisted securities</b> are deposited with the Depository under these Rules, pending verification by the issuers pursuant to <b>Rule 18.03</b>, no depositor’s transactions as defined under <b>Rule 25.04(2)</b> shall be allowed with respect to the unlisted securities and such additional securities as the case may be, arising from any corporate actions in relation to the unlisted securities, notwithstanding the crediting of those securities into the securities accounts of the respective depositors.</p>
Rule 28.01	<p><b>Rule 28.01 Prohibitions:</b></p> <p><i>A depositor shall not withdraw</i> deposited securities from the Depository</p>	<p><b>Rule 28.01 Prohibitions:</b></p> <p><b>Withdrawals</b> of deposited securities from the Depository <b>shall be prohibited</b> save and except in the following circumstances -</p>

Rule	Existing Rules	Amended Rules
	<p>save and except in the following circumstances-</p> <p>(a) to facilitate share buy back;</p> <p>(b) to facilitate conversion of debt securities;</p> <p>(c) to facilitate company restructuring process;</p> <p>(d) to facilitate rectification of error; or</p> <p>(e) in any other circumstances determined by the Depository from time to time, after consultation with the Securities Commission.</p>	<p>(a) to facilitate share buy back;</p> <p>(b) to facilitate conversion of debt securities;</p> <p>(c) to facilitate company restructuring process;</p> <p>(d) to facilitate rectification of error;</p> <p>(e) where a body corporate is removed from the official list of a stock exchange;</p> <p><b>(f) where such securities are unlisted securities ;</b></p> <p><b>(g) in any other circumstances determined by the Depository from time to time, after consultation with the Securities Commission.</b></p>
Rule 28.01B	New Rule	<p><b>Rule 28.01B Processing of withdrawal of securities:</b></p> <p><b>All requests for withdrawal of securities pursuant to Rule 28.01 shall be made and processed in accordance with these Rules and directions issued by the Depository.</b></p>
Rule 28.06	New Rule	<p><b>Rule 28.06 No withdrawals during corporate actions, etc:</b></p> <p><b>The Depository may from time to time instruct any authorised depository agent to refrain from accepting any request for withdrawal pursuant to Rule 28.01 –</b></p> <p><b>(a) for a specified period of time prior to and inclusive of the lodgment date as specified in directions issued by the</b></p>

Rule	Existing Rules	Amended Rules
		<p><b>Depository in relation to making of dividends or rights issue or bonus issue or any other rights or options under Chapter 21.01 of these Rules or share split or consolidation or capital restructuring under Chapter 22.0 of these Rules;</b></p> <p><b>(b) for a period of one and half clear market days prior to the Effective Date and up to the Conversion Date as defined in Rule 24A.08 in relation to the conversion of shares; or</b></p> <p><b>(c) for a specified time prior to and inclusive of the ROD Date as defined in Rule 20A.08 as specified in directions issued by the Depository in relation to the maturity of any non-equity security.</b></p>
Rule 29.01	<p><b>Rule 29.01 Transfer of securities:</b></p> <p>(1) <b>Depositor may request transfer:</b> Subject to the provisions of Rule 29.02, a depositor (hereinafter in this chapter referred to as “the transferring depositor”) may request an authorised depository agent with whom the Transferring Depositor’s securities account is maintained, to transfer any securities held in the Transferring Depositor’s securities account to another securities account belonging to himself or to another depositor (hereinafter in this chapter referred to as “the Recipient Depositor”) maintained either with that agent or with another authorised depository agent or authorised direct member provided always that the request is made in accordance with the rules and directions issued by the Depository.</p> <p>(2) <b>Request for transfer by any other person:</b> Notwithstanding Rule 29.01(1), the Depository may issue directions to allow any</p>	<p><b>Rule 29.01 Transfer of securities:</b></p> <p>(1) (No change)</p> <p>(2) (No change)</p>

Rule	Existing Rules	Amended Rules
	<p>other person apart from the Transferring Depositor to request for a transfer of securities between securities accounts.</p>	<p>(3) <b>Transfers of unlisted securities: The restrictions on transfers of securities imposed via the approved reasons for transfer of securities prescribed by the Depository, shall not apply to transfers of unlisted securities between securities accounts.</b></p>
<p>Rule 31.01</p>	<p><b>Rule 31.01 Requirement to have an account:</b></p> <p><i>Pursuant to section 38(2) of the Act, unless a person already has a securities account, he shall open a securities account before acquiring any prescribed securities issued pursuant to-</i></p> <p>(a) <i>a bonus issue, a rights issue;</i></p> <p>(b) <i>an exercise of any right or option to acquire securities in the share capital of the issuer; or</i></p> <p>(c) <i>an offer for sale.</i></p>	<p><b>Deleted.</b></p>
<p>Rule 31.02</p>	<p><b>Rule 31.02 Entitlement:</b></p> <p>(1) <b>Basis:</b> Subject to the Foreign Ownership Regulations, a depositor's entitlement to bonus issue, rights issue or any other rights or options shall be determined on the basis of the amount of <i>prescribed</i> securities held by the depositor provided that he acquired such securities cum entitlement in accordance with Rule 21.03.</p>	<p><b>Rule 31.02 Entitlement:</b></p> <p>(1) <b>Basis:</b> Subject to the Foreign Ownership Regulations, a depositor's entitlement to bonus issue, rights issue or any other rights or options shall be determined on the basis of the amount of <b>deposited</b> securities held by the depositor provided that he acquired such securities cum entitlement in accordance with Rule 21.03.</p>

Rule	Existing Rules	Amended Rules
	(2) <b>Fractional entitlement:</b> Fractional entitlement belonging to the same depositor with multiple accounts shall be determined by the issuer in accordance with the procedures as may be laid down in the prospectus, if any.	(2) (No change)
Rule 31.05	<p><b>Rule 31.05 No physical securities and crediting in third parties' names:</b></p> <p>Subject to any exemptions made pursuant to any securities laws, where the <i>exercise of rights enumerated in Rule 31.01 involves the issuance of new securities</i> by the issuer, a depositor shall not request for -</p> <p>(a) the new securities to be delivered in the form of scrip; or</p> <p>(b) the new securities to be credited into a securities account other than one of those from which the securities are to be <i>credited</i> for the exercise of rights by the depositor.</p>	<p><b>Rule 31.05 No physical securities and crediting in third parties' names:</b></p> <p>Subject to any exemptions made pursuant to any securities laws, where the issuance of new securities by the issuer <b>pursuant to a bonus issue, a rights issue, an exercise of any right or option to acquire securities in the share capital of the issuer or an offer for sale, is in relation to deposited securities</b>, a depositor shall not request for -</p> <p>(a) the new securities to be delivered in the form of scrip; or</p> <p>(b) the new securities to be credited into a securities account other than one of those from which the securities are to be <b>debited</b> for the exercise of rights by the depositor.</p>
Rule 31A.01	<p><b>Rule 31A.01 Requirement to have an account:</b></p> <p><i>Pursuant to section 38(2) of the Act, unless a person already has a securities account, he shall open a securities account before acquiring any prescribed securities issued pursuant to-</i></p> <p>(a) <i>a conversion of any debt securities; or</i></p> <p>(b) <i>an exercise of any right or option to acquire securities in the share capital of an issuer.</i></p>	<b>Deleted.</b>

Rule	Existing Rules	Amended Rules
Rule 31A.07	<p><b>Rule 31A.07 No physical securities and crediting in third parties' names:</b></p> <p>Subject to any exemptions made pursuant to any securities laws, where it involves non equity securities, a depositor shall not request for -</p> <p>(a) the new securities to be delivered in the form of scrip; or</p> <p>(b) the new securities to be credited into a securities account other than one of those from which the securities are to be debited for the exercise of rights by the depositor.</p>	<p><b>Rule 31A.07 No physical securities and crediting in third parties' names:</b></p> <p>Subject to any exemptions made pursuant to any securities laws, where <b>the exercise of rights in relation to deposited</b> non-equity securities involves the issuance of new securities by the issuer, a depositor shall not request for -</p> <p>(a) the new securities to be delivered in the form of scrip; or</p> <p>(b) the new securities to be credited into a securities account other than one of those from which the securities are to be debited for the exercise of rights by the depositor.</p>
Part VII No. 10	<p><u>Name</u> Record of depositors fee</p> <p><u>Rate</u> 10 sen</p> <p><u>Basis</u> Per account holder per request</p> <p><u>Levied On</u> Registrar (Listed company)</p> <p><u>When</u> Billed on request</p>	<p><u>Name</u> Record of depositors fee</p> <p><u>Rate</u> 10 sen</p> <p><u>Basis</u> Per account holder per request</p> <p><u>Levied On</u> <b>Issuer</b></p> <p><u>When</u> Billed on request</p>

Rule	Existing Rules	Amended Rules
Part VII No. 11	<p><u>Name</u> Additional Issues fee <i>(existing listed counter)</i></p> <p><u>Rate</u> RM 2 (subject to a minimum fee of RM50)</p> <p><u>Basis</u> Per account holder allotted pursuant to a corporate action exercise</p> <p><u>Levied On</u> Listed Company</p> <p><u>When</u> Upon allotment</p>	<p><u>Name</u> Additional Issues fee</p> <p><u>Rate</u> RM 2 (subject to a minimum fee of RM50)</p> <p><u>Basis</u> Per account holder allotted pursuant to a corporate action exercise</p> <p><u>Levied On</u> <b>Issuer</b></p> <p><u>When</u> Upon allotment</p>
Part VII No. 17	New Fees & Charges	<p><u>Name</u> <b>Securities of Unlisted Public Companies (“SUPCO”) Fee</b></p> <p><u>Rate</u> 4 sen per 1000 shares p.a. OR RM2000 p.a. OR 4 sen per 1000 shares p.a. times (x) 50% of the total units of issued securities (whichever is higher)</p> <p><u>Levied On</u> <b>Unlisted Public Companies</b></p>

Rule	Existing Rules	Amended Rules
		<u>When</u> Billed annually