

**RULES OF BURSA MALAYSIA DEPOSITORY SDN BHD**  
**RULE AMENDMENTS IN RELATION TO**  
**VERIFICATION AND ATTESTATION OF ACCOUNT OPENING DOCUMENTATION**

The following sets out the rule amendment to expand the categories of persons allowed to verify and attest the signing of the relevant securities account opening documentation.

**1.0 RULE 5.02A**

**Rule 5.02A(2)**

1.1 Rule 5.02A(2) be amended as follows:

- (2) Notwithstanding Rule 5.02A(1) and subject to Rule 5.02A(3) an authorised depository agent may decide to waive the requirement under Rule 5.02A(1) for the presence of the individual before the authorised depository agent and instead permit the individual to submit the ~~Form FMN050 prescribed application form~~ which has been executed by the individual in the presence of any one of the persons stated hereunder and duly verified and attested by the person in the manner provided under the prescribed form –
- (i) dealer's representative;
  - (ii) authorised officer of the authorised depository agent;
  - (iii) Notary Public;
  - (iv) officer of ~~an exempt dealer~~ a registered person referred to under the third column of Item 1(b) of Schedule 4 of the Capital Markets and Services Act 2007, who is authorised by ~~the exempt dealer~~ such registered person to perform ~~pass through activities~~ the activities referred to under the second column of the said Item 1(b) of Schedule 4; or
  - (v) authorised officer of:
    - (aa) a licensed bank as defined in the Banking and Financial Institutions Act 1989; or
    - (bb) an Islamic bank licensed under the Islamic Banking Act 1983,and with which the individual holds an account; or
  - ~~(v)~~ (vi) such other persons as may be approved by the Depository from time to time provided that notification of such approval is forwarded to the Commission within three market days from the date of such approval.

**Rule 5.02A(5)**

1.2 Rule 5.02A(5) be deleted in its entirety.

- (5) ~~Deleted Definition:—Where reference is made to the following terms in Rule 5.02A, it shall be construed respectively as follows:-~~

## ANNEXURE 1

- ~~(i) “**pass through activities**” means the act of an exempt dealer, of soliciting and accepting orders from its clients to transact in securities and passing such orders to a participating organisation for execution; as referred to in the context of the Guidelines.~~
- ~~(ii) “**exempt dealer**” means Islamic banks and licensed institutions as declared to be exempt dealers under the Securities Industry (Exempt Dealer) Order 1996, of which may be revised from time to time.~~
- ~~(iii) “**Guidelines**” means Guidelines for Exempt Dealers on Pass Through Activities issued jointly by the Commission and Bank Negara Malaysia on 24 April 2003.~~

[End of Rule Amendment]